

## THE AUSTRALIAN NATIONAL UNIVERSITY

### COUNCIL MINUTES

The 439<sup>th</sup> meeting of the Council was held at 9:15am on Friday 7 April 2017, in the R C Mills Room, Level 3, Chancelry Building, ANU campus, Canberra.

#### **Present:**

The Chancellor, Professor Gareth Evans AC QC (in the Chair)  
The Vice-Chancellor, Professor Brian P. Schmidt AC  
The Pro-Chancellor, Ms Robin Hughes AO  
Mr Graeme Samuel AC  
Dr Doug McTaggart  
Ms Naomi Flutter  
Mr Peter Yu  
Ms Natasha Stott Despoja AM  
Professor Catherine Waldby  
Professor Tim Senden  
Professor Jan Provis  
Ms Deborah Veness  
Ms Alyssa Shaw  
Mr James Connolly

#### **Apology:**

Professor Suzanne Cory AC

#### **In attendance:**

Deputy Vice-Chancellor (Academic) – Professor Marnie Hughes-Warrington  
Deputy Vice-Chancellor (Research) – Professor Margaret Harding  
Pro-Vice Chancellor (University Experience) – Professor Richard Baker  
Pro-Vice Chancellor (Education and Global Engagement) – Professor Shirley Leitch  
Executive Director, Administration and Planning – Mr Chris Grange  
Chief Financial Officer – Mr Alastair Sinton  
Chair, Academic Board – Professor Jacqueline Lo

#### **Chancelry staff:**

Director, Corporate Governance and Risk Office – Ms Kate Molloy  
Associate Director, Corporate Governance and Policy – Mr Andrew Heath  
Senior Governance Officer, Corporate Governance and Risk Office – Leslie McDonald  
Director, Office of the Vice-Chancellor – Dr Chris Price

### PART 1: PROCEDURAL ITEMS

#### **1. ANNOUNCEMENTS**

Mr Geoff Knuckey, Chair of the Audit and Risk Management Committee, joined Council by telephone, for discussion about the University's annual financial statements for 2016.

#### **2. LEAVE OF ABSENCE**

Professor Suzanne Cory was an apology, due to international travel commitments. In addition, Ms Naomi Flutter advised the need to leave the meeting earlier at 11:45am as she was also travelling overseas.

Ms Natasha Stott Despoja foreshadowed that she is likely to be an apology for the 26 May 2017 meeting of Council due to the National Indigenous Constitutional Convention being held at Uluru in May. Mr Peter Yu advised Council that he will also be attending the Convention and will aim to join the Council meeting, noting that he is also the ANU keynote speaker for the University's National Sorry Day event later that afternoon.

No other member sought leave of absence.

### **3. DISCLOSURE OF MATERIAL PERSONAL INTEREST**

No member disclosed a material personal interest in any matter on the agenda.

### **4. ARRANGEMENT OF AGENDA**

No other items were declared confidential, nor were additional items starred.

Agenda item 15 – Research School of Physics and Engineering Precinct Master Plan – was starred to brief Council more fully about the Plan and its purpose.

The Council agreed to re-arrange the agenda to deal with matters relating to the 2016 annual financial statements (those being agenda items 9, 10, 23 and 24) immediately after dealing with procedural items. This was followed by all confidential agenda items and remaining non-confidential agenda items.

No items were unstarred.

Unstarred items were approved on the motion of the Vice-Chancellor.

### **5. COUNCIL MINUTES**

Council resolved to confirm the minutes of meetings held on 16 February 2017 (6/2017) and, subject to minor amendment, the report from Council Planning Day held over 16 and 17 February 2017 (8/2017).

## **PART 2: KEY BUSINESS ITEMS**

### **6. VICE-CHANCELLOR'S REPORT**

The Vice-Chancellor introduced his report, highlighting the following items:

#### **Sexual assault on campus**

- The outcomes of a national survey on sexual assault on campus will be released by the Human Rights Commission in May. All Australian universities will release their data on the same day. This will lead to media attention and reinforce the need for action.
- A paper is in development for Executive consideration and proposes:
  - Standards of behaviour to be as much of a threshold for entry in to ANU as academic standards.
  - There will be zero tolerance of behaviour by anyone, staff or student that compromises the safety and wellbeing of another member of the University.
  - The University's leadership must explain those standards clearly and enforce them.
  - Our systems and processes need to be improved, and the Pro Vice-Chancellor (University Experience) and the Director of HR are leading that work.
- The work of the University's student leaders has been especially important in this area.

## National Security College

- Mr James Clapper, former Director of National Security in Obama White House has confirmed that he will visit ANU for one month this year, during June, encompassing the Crawford Australia Leadership Forum (CALF), as a Vice-Chancellor's Distinguished Professor. There is a strong expectation that he will return in 2018 for a period of two to six months and annually, thereafter, as a senior academic visitor.
- Professor Paul Cornish, a world-leading cybersecurity expert from Oxford and Rand Corporation has spent 4 weeks with ANU. During his time, he engaged widely, including advising Westpac on cyber strategies.
- The College hosted US Deputy Assistant Secretary of Defence, Ms Cara Abercrombie, for a secure briefing on the US/Australia alliance.
- The Women in National Security Conference was a landmark event run by the College. The Minister for Defence, Senator Payne, attended the Conference along with Senator Penny Wong, Shadow Minister, and Frances Adamson, Secretary of DFAT.

## IARU Presidents' meeting

- During March, the University hosted leaders from nine other universities, including ETH Zurich, the National University of Singapore, Peking University, the University of California, Berkeley, and the Universities of Cape Town, Copenhagen, Oxford, Tokyo and Yale.
- Initial discussions have been held with Peking University with a view to establishing a significant joint education and research activity at the PKU campus in Shenzhen.
- A new ANU China Office, based in Beijing, has opened with the primary purpose of building upon the ANU profile and connections in China.

## Staffing update

- Director North American Liaison Office: Paul Harris has been appointed to the role. He was formerly a diplomat in the Australian Embassy in Japan, a senior member of the APS, an ANU staff member responsible for the Coombs Forum. He has a mandate to expand and enhance the University's engagement in the US.
- Director of the Coral Bell School: A search resumes to recruit and appoint to this position.
- Vice-President Advancement: The University will soon go to market for appointment to this position. This role will now form part of the Executive leadership of ANU.
- Director of ANU Public Policy and Societal Impact Hub: An international search is underway to appoint to this position.
- China in the World (CIW) is under active review and the University is seeking an outstanding new leader to drive its program and outreach work.

## Other Matters of Note

- Trump's 100 Days – due to international time difference, ANU will lead the world in analysing Trump's first 100 Days. A publication will be launched at the Press Club on the 100<sup>th</sup> Day – 26<sup>th</sup> April. Professor Michael Wesley is leading the project.
- Launch of the Distinguished Educators scheme – the scheme is a tangible commitment to recognising excellence in education at ANU. Each Distinguished Educator will join the new Institute of Innovation in Higher Education at ANU.
- Professor Matthew Gray and a team of researchers from CASS and CMBE were awarded \$4.4 million by the Department of Social Services to conduct the Family, Domestic and Sexual Violence within Aboriginal and Torres Strait Islander Communities Study.

- Professor Steve Blackburn and Professor Tony Hosking (CECS) were awarded \$1.2 million from the Next Generation Technologies Fund to support research into software vulnerability, discovery and mitigation.
- The Duong and Kylie Catchpole from Engineering achieved a new record efficiency in solar energy generation with a breakthrough that could mean more cost-effective solar energy harvesting. The initiative was funded by a \$3.6 million grant from ARENA.
- ANU Engineering PhD student, Francesca Maclean, has been awarded the 2017 Young ACT Woman of the Year for her work to promote gender equity in science, technology, engineering and maths (STEM) at the University.

### **Universities Australia Board**

- The Vice-Chancellor's election to the Board of Universities Australia, the sector's peak body, for a two-year term commencing in May. The role will afford an important opportunity to engage with, and influence, the sector.

#### **Resolved:**

That Council note the Vice-Chancellor's report.

## **7. PRO-CHANCELLORSHIP**

The Chancellor highlighted the following items in relation to the Pro-Chancellorship:

- Current and prospective external members are eligible for consideration;
- One-on-one consultations will be conducted by the Chancellor in coming weeks to ascertain members' interest in being considered for appointment to the role;
- A decision on the Pro-Chancellorship will be made at the 26 May meeting of Council.

#### **Resolved:**

That Council consider the appointment criteria and note the decision timelines for the Pro-Chancellorship.

## **8. NEW AUSTRALIAN NATIONAL UNIVERSITY ACT – UPDATE**

The Chancellor advised since his update to Council at its 2 December 2016 meeting, that one issue remains, limits on borrowing by the University, where the Department of Finance proposes that the borrowing powers of the University should be drawn from the borrowing provisions in the *Public Governance Performance and Accountability Act 2013* (PGPA Act) and *PGPA Rule*, rather than the *ANU Act*.

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#### **Resolved:**

Council note current status of work relating to the re-enactment of the *Australian National University Act*, in response to recommendations of the Walker review.

## **9. UNIVERSITY CONSOLIDATED FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2016**

The Vice-Chancellor introduced the item highlighting the positive result for 2016 and a turnaround of the 2015 financial position where the University had a sizeable structural deficit.

Mr Alastair Sinton, Chief Financial Officer, presented the 2016 Annual Financial Statements to Council, highlighting the key outcomes and features for the reporting period.

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Mr Geoff Knuckey, Chair of the Audit and Risk Management Committee (ARMC), joined Council by telephone and spoke to his memorandum dated 23 March 2017, outlining the processes which had been followed by the Finance and Business Services Division, the Australian National Audit Office (ANAO) and the Audit and Risk Management Committee during the preparation and submission of the University's Consolidated Financial Statements for the year ended 31 December 2016, the Annual Financial Statements for subsidiary entities of the University, the lead up to audit clearance and sign off by the Australian National Audit Office.

Council was informed that on 23 March 2017, the ARMC recommended that the 2016 Annual Financial Statements for the University be adopted, subject to the receipt of clearance from the ANAO. The ANAO provided its clearance in respect of the University's Financial Statements and its subsidiary entities in draft final close out letters accompanying the Council agenda papers. Final close out letters were issued by ANAO in respect of the University's Financial Statements and in respect of ANU subsidiary entities, on 7 April 2017.

Council noted that the Australian National Audit Office reported that, as part of its review, all matters had been dealt with and that an unqualified audit has resulted.

Mr Knuckey commended the Chief Financial Officer, Mr Alastair Sinton and Finance team for their quality work to produce the University's Annual Financial Statements and the thoroughness of documentation to satisfy the requirements of the Audit and Risk Management Committee.

Council endorsed Mr Knuckey's comments, thanked him for his role, and thanked University management for the very high standard of professionalism in the preparation and presentation of the 2016 Annual Financial Statements.

**Resolved:**

That Council:

1. Note the overview of the University's 2016 results.
2. Note a report on the Audit and Risk Management Committee's consideration of the Australian National University Consolidated Financial Statements for the year ended 31 December 2016.
3. Note a Management Representation letter from the Vice-Chancellor and Chief Financial Officer on the 2016 Financial Statements to the Australian National Audit Office.
4. Subject to receipt of clearance from the Australian National Audit Office:
  - (a) authorise the Chancellor to sign the Council's Representation letter to the Australian National Audit Office;
  - (b) adopt the Australian National University Consolidated Financial Statements for the year ended 31 December 2016; and
  - (c) authorise the Chancellor, Vice-Chancellor and Chief Financial Officer to sign the Statement by the Council that attaches to the Consolidated Financial Statements.

**10. UNIVERSITY SUBSIDIARY ENTITIES FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2016**

Council noted the following comments in relation to the 2016 financial results for the University's subsidiary entities, comprising ANU Enterprise Pty Ltd (ANUE), Australian Scientific Instruments Pty Limited (ASI) and the Social Research Centre (SRC) (the Group)

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**Resolved:**

That Council note the 2016 Draft Annual Financial Statements for the following:

1. ANU Enterprise Pty Limited;
2. Australian Scientific Instruments Pty Limited; and
3. The Social Research Centre Pty Limited.

## 11. UNION COURT REDEVELOPMENT

The Executive Director (Administration and Planning) provided an update in relation to the Union Court Redevelopment project:

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- Teaching spaces and timetabling - Additional teaching spaces will be provided using a building located at 7-11, Barry Drive. This facility will resolve current issues in relation to the timetable span of hours and examination timetabling. In addition, the building will provide 'hot desking' for staff affected by noise whilst construction is underway.
- Medical services - Changes to the provision of medical services will see an expanded service offering provided by the National Health Cooperative (NHC), with medical and ancillary services, such as medical imaging, occupational therapy, physiotherapy, sports rehabilitation, amongst other services. A key feature of the NHC offer will be an expanded counselling service to better meet demand and respond to the needs of the on campus population. NHC operates under a membership model at \$100 per family for staff to access all general practitioner services. Students will automatically qualify for membership. The Cooperative will bulk bill for its services and long term counselling – an especially high demand offering - will also be bulk-billed. Importantly, the Cooperative will be able to offer a far wider range of services at the same cost of current operations. This represents a breakeven outcome for the University.
- A further benefit will be that ANU, along with the University of Canberra, will be able to offer medical students placements in the NHC clinic, as part of their study regime.

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### **Resolved:**

That Council:

1. Note the Status Report as presented.
2. Endorse the design development as presented to enable final design development and the contractor (Lend Lease) to submit the costs of construction.
3. Approve the variations to scope proposed in the paper, \*\*\*\*\*.

## 12. WORKERS COMPENSATION SELF-INSURANCE PROJECT UPDATE

Council noted that the Safety, Rehabilitation and Compensation Commission (SRCC) reviewed the University's application for Workers Compensation self-insurance on 8 March 2017. \*\*\*\*\*

### **Resolved:**

That Council:

1. Note the update on the Workers' Compensation Self-Insurance Project and the issues arising.

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## PART 3: STRATEGIC ISSUES

### 13. ACADEMIC PLAN

The Deputy Vice-Chancellor (Academic) presented the draft *ANU Academic Plan 2017-2023*. It has been circulated widely throughout the University and feedback sought through various forums, including through the University's Education Committee and Academic Board. The Plan underpins the University's strategic objective of building on a culture of academic excellence so that ANU will be recognised, unambiguously, as one of the world's great universities.

The previous plan, the Education Plan, had focused on structural changes to ATAR; and improvements to curricula, with a move to flexible double degrees and sub-degree places, opening new pathways for prospective students to ANU program offerings. The *ANU Academic Plan 2017-2023*, as presented, focuses on *diversity* to enable ANU students to build their own opportunities for their careers, with online programs a key feature of the student learning offer. Of note, the draft Academic Plan also places emphasis on building a performance culture and an environment that supports staff and student wellbeing. The development of key performance indicators (KPI's) and Institutional Performance Indicators (IPI's), which will serve as internal lead indicators, are designed to drive academic excellence across the University.

It is expected that the framework for monitoring success against the Academic Plan will include twice yearly status reporting through University Education Committee and Academic Board, and annual reporting to Council. Additional methods for monitoring interim successes against the Plan will be considered by a subgroup of University Education Committee.

Key discussion points that followed, included the need for:

- regenerating academic recruitment policies and procedures to reflect the performance expectations of the world's best universities, and global best practice in diversity;
- ensuring all staff with teaching responsibilities meet the requirements of an ANU Higher Education Academy fellowship (or equivalent);
- designing and implementing a multi-dimensional instrument that captures student sentiment concerning learning and teaching, and staff sentiment concerning learners and the learning environment;
- constructing state of the art physical and digital infrastructure in Union Court, as a major rejuvenation effort; and
- ensuring that design approaches to new teaching spaces are multi-purpose and respond to the broad range of needs, from large flat floor teaching spaces for large courses, to small spaces which enable students to learn together in groups.

Council noted that the five other portfolio plans are now at advanced draft stage and College level plans will be developed alongside to ensure consistency and coherence in the mapping of implementation actions. It is proposed that the draft plans will be provided for Council feedback at its next meeting on 26 May 2017, with final plans submitted for approval at the 21 July 2017 meeting of Council.

At the conclusion of the discussion, the Chancellor thanked the Deputy Vice-Chancellor (Academic) for her presentation about the *ANU Academic Plan 2017-2023*.

**Resolved:**

That Council endorse the *ANU Academic Plan 2017-2023*.

## **PART 4: OTHER MATTERS FOR DECISION**

### **14. ANU ANNUAL REPORT 2016**

**Resolved:**

That Council approve the 2016 Annual Report for submission to the Federal Minister for Education and Training and tabling in the Australian Parliament. Members will receive copies of the printed Annual Report for 2016 as soon as it is available.

### **15. RESEARCH SCHOOL OF PHYSICS AND ENGINEERING PRECINCT MASTER PLAN**

Council noted that the scale of the proposed development under the Precinct Master Plan is second only to the site of the Australian Nuclear Science and Technology Organisation (ANSTO) research establishment at Lucas Heights, New South Wales. The proposed \$50 million investment takes a

precinct-wide view to consolidate these areas of academic and research activity across the ANU campus into an integrated and cohesive footprint delivering on a 30 year vision. Importantly, the investment will address critical risks associated with ageing infrastructure buildings.

**Resolved:**

That Council approve the Precinct Master Plan for the Research School of Physics and Engineering (RSPE).

**16. ASSET NAMING PROPOSAL – MICHAEL GORE PHYSICS EDUCATION CENTRE**

**Resolved:**

That Council approve the proposal to name the Physics Education Centre the 'Michael Gore Physics Education Centre'.

**17. ASSET NAMING PROPOSAL – JOHN C HARSANYI PROFESSOR OF ECONOMICS CHAIR**

**Resolved:**

That Council approve the naming proposal for the John C. Harsanyi Professor of Economics Chair, following its endorsement by the Naming Committee.

**18. AMENDMENT OF THE ANU LAW STUDENTS' SOCIETY CONSTITUTION**

**Resolved:**

That Council approve amendment of the ANU Law Students' Society Constitution.

**PART 5: OTHER MATTERS FOR NOTING**

**19. COUNCIL MEMBERSHIP – NOMINATIONS TO MINISTER**

The Chancellor briefed Council about the further meeting of the Nominations Committee, held on 15 March 2017 \*\*\*\*\*

**20. STUDENT ENROLMENTS REPORT**

**Resolved:**

That Council note the accompanying summary report on student enrolments and load, based on first half year 2017 data.

**21. ANU SOCIALLY RESPONSIBLE INVESTMENT REPORT 2016**

The Vice-Chancellor introduced the report noting the University's commitment to transparency in its reporting.

In discussion, the following points were made:

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- The University's investment in a windfarm demonstrates its commitment to investing in the renewable energy sector;

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- The necessity of taking a long term view of the University's investment holdings where year on year, the domestic portfolio may experience levels of volatility, but over a 30 year horizon, improved performance, and, most importantly, upholding investment decisions to achieve an overall reduction in CO<sub>2</sub> carbon intensity in the total domestic portfolio, is expected; and
- The desire to release the ANU Socially Responsible Investment Report for 2016, together with the index holdings, to key stakeholders across the ANU community.

**Resolved:**

That, \*\*\*\*\*, Council approve the draft ANU Socially Responsible Investment Report for 2016, together with the index holdings, for distribution to key stakeholders across the University.

## **22. WORK, HEALTH AND SAFETY PERFORMANCE REPORT FEBRUARY**

Council noted the University's work health and safety performance for the 1 January to 28 February 2017 calendar month including data on incidents, workers' compensation claims and key WHS activity, as well as updates on key projects relevant to staff.

In respect of student safety reporting, Council approved the intention to amend the reporting category of 'unwanted sexual attention'.

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In light of the extensive discussions canvassing reporting approaches, Council settled on the following incident reporting categories:

1. Sexual assault and/or harassment
2. Assault (non-sexual)
3. Harassment and/or bullying (non-sexual)
4. Stalking
5. Sexual assault and/or sexual harassment (historic/ pre-University)

**Resolved:**

That Council:

1. Note the WHS safety performance report for staff and the student safety report for the period, 1 January to 28 February 2017.
2. Note the 2015-2016 summary report on student safety incidents;
3. Approve the proposal to amend the student safety incident reporting category of 'unwanted sexual attention', and to adopt the following categories for the reporting of incidents:
  - Sexual assault and/or harassment
  - Assault (non-sexual)
  - Harassment and/or bullying (non-sexual)
  - Stalking
  - Sexual assault and/or sexual harassment (historic/pre-University)
4. Agree to keep the incident reporting system under review in the light of evolving experience.

## **23. AUDIT AND RISK MANAGEMENT COMMITTEE - 2016 REPORT ON CHARTER RESPONSIBILITIES AND INTERNAL AUDIT PROGRAM**

**Resolved:**

That Council note:

1. The summary of activities undertaken by the Audit and Risk Management Committee (ARMC), in fulfilment of its Charter responsibilities; and
2. The internal audit work completed during 2016.

**24. AUDIT AND RISK MANAGEMENT COMMITTEE – EXECUTIVE SUMMARY**

Council noted the summary of major items considered by the Audit and Risk Management Committee at its meeting held on 23 March 2017.

**25. FINANCE COMMITTEE – EXECUTIVE SUMMARY**

Council noted a summary of major items considered by the Finance Committee at its meeting held on 23 March 2017.

**26. CAMPUS PLANNING COMMITTEE – EXECUTIVE SUMMARY**

Council noted the summary of major items considered by the Campus Planning Committee at its meeting held on 10 February 2017.

**27. CAMPUS PLANNING COMMITTEE REPORT ON 2016 PERFORMANCE**

Council noted the results of the Campus Planning Committee self-assessment of its 2016 performance.

**28. ACADEMIC BOARD REPORT**

Council noted the unconfirmed minutes from the Academic Board meeting held on 7 March 2017 (525/2017).

**29. POWER OF ATTORNEY**

Council noted the list of transactions over which the Investment Manager exercised Power of Attorney between 8 February 2017 and 1 March 2017.

**30. UNIVERSITY SEAL REPORT**

Council noted that there had been no documents to which the University Seal had been affixed since its last meeting held on 16 February 2017.

**31. REPORT OF SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES**

Council noted the report of significant visits and events, grants and consultancies.

**32. REPORT ON EMERITUS PROFESSORS AND EMERITUS FELLOWS APPOINTMENTS****Resolved:**

That Council note the names of those entered on the Roll of Emeritus Professors and Emeritus Fellows since March 2016.

**33. HEALTH AND MEDICAL SCIENCES REVIEW – IMPLEMENTATION UPDATE****Resolved:**

That Council note the progress report by the Deputy Vice-Chancellor (Research) highlighting implementation status of major reforms underway following the review of the University's Health and Medical Sciences.

## **PART 6: OTHER BUSINESS**

### **34. QUESTION TIME**

No questions were raised during Question Time.

### **35. OTHER BUSINESS**

Council noted the need to reschedule its February 2018 meeting so as to not clash with O-Week. A revised date will be considered for Council approval at its 29 September 2017 meeting.

No other business was raised.

### **36. NEXT MEETING**

Council noted that its next meeting will be held on Friday 26 May 2017 and the farewell dinner for Pro-Chancellor, Ms Robin Hughes AO, and Ms Kate Molloy, will be held the evening prior on 25 May 2017.

\_\_\_\_\_  
Professor the Hon Gareth Evans AC QC  
Chancellor  
The Australian National University

Date: \_\_\_\_\_

***(\*\*\*\*\* indicates a removed item which is confidential to Council Members)***