COUNCIL

The 418th meeting of the Council will be held at 9.15am on Friday 21 February 2014 at University House, Common Room, Balmain Crescent, ANU campus, Canberra. Any additional papers and briefing materials will be available in the Common Room from 8.30am.

Apologies and enquiries to the Governance Office by telephone on (02) 6125 2113 or email at: Head.governance@anu.edu.au

Kate Molloy
Director
Corporate Governance and Risk Office

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IMPORTANT INFORMATION FOR MEMBERS

CONFIDENTIALITY
Members of Council and others receiving the agenda are reminded of the need for careful discretion in the use and communication of Council business, referring to the Director, Corporate Governance and Risk Office, or other appropriate officer of the Council when in doubt.

Council business marked or declared to be confidential is not at any stage to be communicated to others without prior reference to the Chair or the Director, Corporate Governance and Risk Office. Only papers considered especially confidential are so marked.

All matters relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential.

CONDUCT OF COUNCIL MEMBERS
Members of Council are 'directors' for the purposes of the Commonwealth Authorities and Companies Act 1997 ('the CAC Act') as they are responsible for the entire control and management of the University. Members of Council are also 'officers' for the purposes of the CAC Act because they are concerned in, or take part in, the management of the University. Division 4, sections 21 to 27 of the Commonwealth Authorities and Companies Act 1997, sets out rules for the conduct of directors and officers and there are penalties for contravention.

A member of Council who has a material personal interest in a matter that is being considered, or is about to be considered, by Council must disclose the nature of the interest at a meeting of Council. The disclosure must be made as soon as possible after the relevant facts have come to the member’s knowledge and must be recorded in the minutes of the meeting. Unless the Council (or the responsible Minister) otherwise determines, the member must not be present during any deliberation by Council on the matter and must not take part in any decision of Council on the matter. Further, for the purpose of making this determination, any member who has a material personal interest in the matter to which the disclosure relates must not be present during any deliberation by Council on whether to make the determination and must not take part in making the determination.

An officer of the University must at all times act honestly in the exercise of his or her powers and in the discharge of his or her duties.

An officer of the University must not make improper use of inside information or of his or her position as an officer in order to gain advantage for himself or herself or for another person, or to cause detriment to the University or to another person.

SUBMISSION OF ITEMS BY MEMBERS OF COUNCIL
Members of Council should communicate to the Vice-Chancellor matters which they wish to have included on the agenda for a meeting of Council. Full details and documentation relating to any items to be included in the agenda should be submitted at least 16 days before the meeting.

AGENDA ON THE INTERNET
The agenda and minutes for meetings of Council are available on the Internet at the URL:

http://about.anu.edu.au/governance-structure/council/meetings
PART 1 – PROCEDURAL ITEMS

* 1 ANNOUNCEMENTS AND APOLOGIES

No apologies have been received for this meeting.

The Chair may speak.

* 2 LEAVE OF ABSENCE

Subsection 15(1)(d) of the *Australian National University Act 1991* provides that if a member of the Council (other than an ex officio member) is absent without leave of the Council from three consecutive meetings of the Council, the member’s office becomes vacant.

No Leave of Absence has been granted for this meeting.

The Chair invites members to seek leave from meetings of Council which they expect to be unable to attend.

* 3 DISCLOSURE OF MATERIAL PERSONAL INTEREST

In accordance with sections 27F to 27H of the *Commonwealth Authorities and Companies Act 1997*, members of Council are required to declare any direct or indirect material personal interest in matters on the agenda.

* 4 ARRANGEMENT OF AGENDA

1. The Chair will ask whether any items should be starred for discussion.
2. The Vice-Chancellor will move that the unstarred items be dealt with as proposed in the agenda.
3. The Chair will invite members to foreshadow matters to be raised under Agenda Item 26, Other Business.

* 5 MINUTES

RECOMMENDATION

It is recommended that the Council confirm

(a) the minutes of the meeting held on 6 December 2013 (944/2013);
(b) the Council Resolution (4/2014) without a Meeting dated 3 January 2014.
THE AUSTRALIAN NATIONAL UNIVERSITY

COUNCIL MINUTES

The 417th meeting of the Council was held at 9.15am on 6 December 2013 in the R C Mills Room, Chancelry.

Present:
The Chancellor, Professor Gareth Evans AC QC (in the Chair)
The Vice-Chancellor, Professor Ian Young AO
Ms Ilana Atlas
Professor John Close
Dr Vince FitzGerald
Ms Robin Hughes AO
Mr Matthew King
Ms Martine Letts
Professor Andrew MacIntyre
Dr Doug McTaggart
Mr David Miles AM
Mr Graeme Samuel AC
Professor Tim Senden
Mr Cameron Wilson

Apologies:
Apologies were received from Mr Arjuna Mohottola.

In attendance:
Deputy Vice-Chancellor (Research), Professor Margaret Harding
Deputy Vice-Chancellor (Academic), Professor Marnie Hughes-Warrington
Pro Vice-Chancellor (Innovation and Advancement), Professor Mick Cardew-Hall
Pro Vice-Chancellor (Research and Research Training), Professor Jenny Corbett
Pro Vice-Chancellor (International and Outreach), Dr Erik Lithander
Executive Director, Administration and Planning, Mr Chris Grange
Chair, Academic Board, Professor Fiona Wheeler
Chief Finance Officer, Mr David Sturgiss
Acting Director, Corporate Governance and Risk, Dr Liz Eedle
Governance Office staff, Ms Leslie McDonald

PART 1: PROCEDURAL ITEMS

1. ANNOUNCEMENTS

There were no announcements.

2. LEAVE OF ABSENCE

No requests for leave of absence were received.

3. DISCLOSURE OF MATERIAL PERSONAL INTEREST

No member disclosed a material personal interest in any matter on the agenda.

4. ARRANGEMENT OF AGENDA

Items 1 to 12, 15, 18, 19, 29 and 30 were starred on the agenda summary sheet. Items 13 and 28 were starred at the meeting. Unstarred items were approved without discussion.

5. COUNCIL MINUTES

Council resolved to confirm
(a) the minutes of the meeting held on 4 October 2013 (923/2013)
(b) the Council Resolution without a Meeting dated 25 October 2013 (924/2013)
(c) the Council Resolution without a Meeting dated 6 November 2013 (927/2013)

PART 2: KEY BUSINESS ITEMS

6. VICE-CHANCELLOR’S REPORT

The following were among the issues raised by the Vice-Chancellor in speaking to his report:

- the University performed very well in the recent ARC grant round, particularly in the main Discovery Grants.
- the Enterprise Agreement has been approved by NTEU members and the NTEU national office; the next step is a vote of all staff of the University scheduled for mid-December.
- this week the Opposition indicated they would oppose their own proposed higher education cuts in the Senate. This would block the implementation of the efficiency dividend and universities would receive full indexation of student grants. However, this decision could be overridden mid-year when the Government takes control of the Senate, and there is also uncertainty about what may arise from reviews now underway.
- there are positive signs in the Philanthropy efforts of the University, including noticeably greater level of awareness of the importance of philanthropy around campus.

The Vice-Chancellor also commented on recent appointments:

- Council members congratulated Professor Andrew MacIntyre on his appointment as Deputy Vice-Chancellor (International) at RMIT. Professor Andrew Walker will act in role of Dean of the ANU College of Asia and the Pacific during a search process, and the University is looking forward to attracting an excellent field of candidates.
- Professor Kiaran Kirk has been appointed as the Dean of the ANU College of Medicine, Biology and Environment, succeeding Professor Andrew Cockburn.
- Professor Michael Raupach has been appointed as head of the Climate Change Institute (CCI).
- The Research School of Population Health is an outstanding contributor to ANU, the only such School ranked ERA 5 in the country.
- The University is looking to finalise three appointments into the Research School of Economics.

****

Resolved:
Council noted the report of the Vice-Chancellor.

7. BUDGET SOLUTIONS UPDATE

****

Resolved
Council noted the report.

8. RECURRENT UNIVERSITY BUDGET 2014

****

Council resolved that:

i. the updated financial forecast for 2013 be noted;
ii. the University Internal Recurrent Allocation Budget for 2014 be approved;
iii. the overall University Budget for 2014 be approved;
iv. the Consolidated Income Statement Budget 2014 be noted;
v. the University 2015-2017 projected Income Statement, Balance Sheet and Statement of Cash-flows be noted; and
vi. the information contained in the proposed 2014 Budgets relating to University Trading Areas be noted.

9. FINANCIAL REPORTS

****

Council noted the information contained in papers 881/2013, 882/2013 and 883/2013

10. GENDER EQUITY INITIATIVES AT ANU

The Vice-Chancellor noted a goal in *ANU by 2020* is to improve the University’s performance in gender equity for senior academic women. ANU compares unfavourably with other Go8 universities for the proportion of women at Associate Professor and Professor levels.

The first paper presented to Council is one authored by the Vice-Chancellor, the second is a proposal from the Gender Institute which has been considered but which would require considerable funding.

Council discussed options which are less funding intensive including requiring that the search for strong women candidates be a proactive one (the Vice-Chancellor has led by example on this). There are issues around opening up Associate Professor and Professor positions in the University, and supporting current academics into emeritus or honorary positions. Council discussion also noted that gender equity is not a women’s issue, it is a workforce issue and relates to workforce development planning. In speaking with women, mentoring through promotion processes was a prominent idea.

The issue of availability of child-care was discussed at length. The Executive Director, Administration and Planning is currently looking at ways of improving coordination across the four centres on campus; they are all small independently operated centres with valuable community networks.

Resolved:
Council noted the report from the Vice-Chancellor and requested a further paper in mid-2014.

PART 3: STRATEGIC ISSUES

11. ANU RESEARCH: 2013 PERFORMANCE AND 2014 PRIORITIES

****

Resolved:
Council noted the presentation on the University’s 2013 performance and 2014 research priorities.

12. THE ANU BUSINESS MODEL

The Vice-Chancellor spoke to his presentation, noting that there should be a more detailed discussion at the Council Retreat in February.

****

Resolved:
Council noted the presentation on the ANU Business Model.

PART 4: OTHER MATTERS FOR DECISION

13. REFURBISHMENT OF HANCOCK WEST FOR ITS

****
14. APPOINTMENTS TO COMMITTEES

Council approved the following appointment to committee:

*Academic Board*
Chair: Professor Nicholas Glasgow to 22 March 2016

15. INTERNAL AUDIT PLAN 2014-2016

Ms Atlas spoke briefly to the plan, and the Vice-Chancellor noted there are parallel processes that review and audit a range of activities and areas in the University. The Plan provides a standard internal audit program for the year, with a focus on enhancing performance.

Council approved the Internal Audit Plan 2014-2016 (931/2013)

16. STUDENT EXPERIENCE OPERATIONAL PLAN

Council resolved that
1. the *ANU by 2020* student experience operational plan, *Towards an Enriched ANU Student Experience* (823a/2013), be approved;
2. the proposal to roll the student experience operational plan up into the University’s Education Portfolio Operational Plan be approved.

17. AUSTRALIAN NATIONAL UNIVERSITY STUDENTS’ ASSOCIATION INC CONSTITUTION

Council approved the amendments to the Constitution of the ANU Students’ Association Incorporated, as passed at an Annual General Meeting of the Students’ Association on 30 May 2013 and an Ordinary General Meeting of the Students’ Association on 20 August 2013 (932/2013).

**PART 5: OTHER MATTERS FOR NOTING**

18. EXECUTIVE SUMMARY AUDIT AND RISK MANAGEMENT COMMITTEE

Council noted the summary of the major items considered by the Audit and Risk Management Committee at its meeting held on 13 November 2013.

19. FINANCE COMMITTEE – SUMMARY OF MAJOR ITEMS CONSIDERED

Council noted the summary of the major items considered by Finance Committee at its meeting held on 22 November 2013.

20. COMMONWEALTH SUPERANNUATION SCHEME ACCOUNT

Council noted:
1. The content of the formal bi-annual review report from the Australian Government Actuary (paper 1181/2013);
2. The University’s response to the recommendations in the AGA report (paper 1182/2013); and
3. The comments included in the background section of the Item.

****

23. EDUCATION PORTFOLIO OPERATIONAL PLAN – PROGRESS REPORT

24. POWER OF ATTORNEY

Council noted the list of transactions over which the Investment Manager exercised the Power of Attorney between 2 October 2013 and 12 November 2013.

25. ACADEMIC BOARD REPORT

Council noted the reports from the meeting of the Academic Board held on 27 September 2013 (997/2013).

26. RESEARCH SCHOOL OF HUMANITIES AND THE ARTS ORGANISATIONAL STRUCTURE

Council noted the Vice-Chancellor’s approval of the changes to the organisational structure of the Research School of Humanities & the Arts with effect from 1 January 2014 (933/2013).

27. UNIVERSITY SEAL REPORT

Council noted the documents to which the University Seal had been affixed since the meeting of Council held on 4 October 2013.

28. SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES

Council noted the report of significant visits and events, grants and consultancies since the last report to Council on 4 October 2013 (934/2013).

****

PART 6: OTHER BUSINESS

29. QUESTION TIME

****

30. OTHER BUSINESS

There were no matters raised under Other Business.

31. NEXT MEETING

It was noted that the next meeting of Council will be held on 21 February 2014.

_________________________________ Date:____________________
Professor the Hon Gareth Evans AC QC
Chancellor
The Australian National University

LE

(**** indicates a removed item which is confidential to Council Members)
THE AUSTRALIAN NATIONAL UNIVERSITY
COUNCIL

RESOLUTION WITHOUT A MEETING

3 JANUARY 2014

APPEALS PANEL NOMINATIONS

PURPOSE
To consider the appointment of members of the Appeals Panel

AUTHOR
Acting Director, Corporate Governance and Risk

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council approve the nominations to the Appeals Panel (4/2014).

BACKGROUND

The ANU Discipline Rules 2011 Part 6 provide for an Appeals Panel appointed by Council. Under Section 18.2 the Appeals Panel is comprised of the following persons:

(a) a person nominated by the Council who:
   (i) is not a member of the staff, or a student, of the University; but
   (ii) may be a member of the Council;
(b) 2 persons appointed after consultation with the President of the Australian National University Students’ Association;
(c) 2 persons appointed after consultation with the President of the Australian National University Postgraduate and Research Students’ Association Inc.;
(d) 6 persons appointed to represent the teaching, research and visual and performing arts functions of the University

Due to recent staff departures and role changes coinciding with the receipt of appeals applications, Council approval by circulation was sought for three new appointments and two re-appointments under S. 18.2(d) of the Discipline Rules. This would allow the Vice-Chancellor to appoint two Appeals Committees within the short timeframe described in Section 20 of the Rules.

APPOINTMENTS

Council was requested to consider the following:

Remove from the Appeals Panel, effective immediately:
   • Dr Paula Newitt, Associate Dean Science, College of Physical and Mathematical Sciences & College of Medicine, Biology and Environment (due to appointment as Dean of Students)
   • Associate Professor Christopher Jones, College of Business and Economics (due to retirement)

Appoint to the Appeals Panel, effective 3 January 2014 – 2 January 2016
   • Professor Amanda Barnard, Associate Dean Rural Clinical School, College of Medicine, Biology and Environment
   • Dr Anna Cowan, Associate Dean (Higher Degrees Research), College of Medicine, Biology and Environment
   • Dr Gregory Barton, Senior Fellow, Research School of Social Sciences, College of Arts and Social Sciences
Renew appointment to the Appeals Panel, effective 17 February 2014 – 16 February 2016:
- Professor Tom Gedeon, Research School of Computer Science, College of Engineering and Computer Science
- Dr Peter Hendriks, School of Culture, History and Language, College of Asia and the Pacific

Resolved:

That the nominations to the Appeals Panel be approved.
PART 2 – KEY BUSINESS ITEMS

C* 6  Confidential to Council Members
7  **SALE OF LITHICON COMPANY**

**PURPOSE**
To receive a report on the sale of the company Lithicon.

**AUTHOR**
Pro Vice-Chancellor (Innovation and Advancement)

**SPONSOR**
Chancellor

**RECOMMENDATIONS**
That Council note the report and presentation on the sale of Lithicon (13/2014).

**ACTION REQUIRED**
For discussion ☑️ For decision ☐ For information ☐

**BACKGROUND**
The sale of Lithicon to FEI, which was completed in early February, is one of the most significant commercialisation outcomes of the University. It is the culmination of 10 years’ work to establish the oil and gas consortium in Applied Mathematics. It demonstrates how two Universities (ANU and UNSW) can work collaboratively together to set up a start-up company and grow into an international operation worth US$68M in the space of 5 years. Key in this also was the Office of Commercialisation (now the Technology Transfer Office).

The outcome for the University is wider than the sale of the company. Of the US$68M (AU$76.4M), US$12M (AU$13.5M) will flow back to ANU related entities and US$5.7M (AU$6.4M) to founding researchers.

Alongside the sale a separate license and research agreement has been struck between the ANU and FEI that will lead to a future revenue stream and associated funded research program. This link to the University is a key reason that Lithicon and FEI will strengthen their activity in Canberra.

A communication program is being developed by the university's Strategic Communications team.

**Attachment:**
7.1 Presentation on the sale of Lithicon (13/2014)
Tim Senden
Head, Dept Applied Mathematics
Deputy Director (Technology Development)
Research School of Physics and Engineering
Dept Applied Mathematics, RSPE

Statistics (approx)
• 5000 citations per decade
• 40 papers per year
• 50 staff & students
• $3.5M annual budget
• $1M recurrent allocation
• 3 undergrad courses
• Collaborations in 3 Colleges
• 3 VC-funded visiting artists

Founded by Prof Barry Ninham in 1971
Chemists, physicists, mathematicians, engineers, artists, etc
Theoreticians and experimentalists working together

"If you get the basics right, the rest will follow."
Barry Ninham
“The group effort is directed towards the fundamental understanding of disordered and porous materials with the aim of predicting properties via imaging, visualisation, theoretical and computational modelling.”
Lithicon Tomography provides the framework

Fractional porosity vs. Permeability (D) plot.

~ 1 mm$^3$ sandstone showing flow simulation.
Heliscan

With design input from School of Art
• Higher geometric and signal fidelity
• > 3 to 10 times faster than conventional CT
• Larger length scales at highest resolution

Under the same conditions a conventional micro-CT could only scan this much.

Heliscan™

40 Gbyte scan of carbonate core (5mm diameter, 20mm long, 3.5 micron resolution)
Since 2006  
*The Digicore Consortium;* Saudi Aramco, ExxonMobil, Shell, Chevron, BP, Total, Schlumberger, Baker Hughes, Abu Dhabi Onshore, Maersk, Petronas, PetroBras, JOGMEC, ONGC, BHP, BG, Conoco Philips, FEI, OMV, EOG, Whiting. *Includes Satellites on wettability and NMR.*

Since 2009  
*Digitalcore (Lithicon - [www.lithicon.com](http://www.lithicon.com)](http://www.lithicon.com)*)
- global technology services company in oil/gas sector
- $30M investment
- Technology out of Statoil and ANU
- CEO Victor Pantano (on leave from ANU)

2010  
Mark Knackstedt, ENI Award

2012  
ANU/UNSW/Digitalcore jointly won Eureka Award  
In top 60 Australian Start-ups  
*Lithicon merger*

2013  
Awarded EIF and NeCTAR funding to build National CT Facility
Recurrent vs. non-recurrent funded
(Salaries in CT group only)
Recurrent leverage

Income vs. expenditure

- Expenditure
- Income (~15-25% ARC/Cat. 1)
- Surplus

+$5M for 2014

$M

CTLab An Australian Centre for Quantitative 3D Analysis

- 200 m² space with visualisation room & 3 colour 3D printers
- 450kV CT
- Three ANU-built 180kV CT (1 nano- and 2 micro-CTs)
- SEM-based mineral mapping, mechanical core mapping
- Sample prep equipment
- Gateways to cloud-based HPC
The main points

- Total endeavor has brought in >$30M over a decade
- The challenges are sociological, not technological
  - Build the team, tie it into the university. It’s never too soon to go to Technology Transfer Office
- Recurrent funding is for strategic fundamental research
  - Look after the institution that hosts you. Build communities.
- Fundamental research leads to applied research
  - Escape the 1-off licensing trap. Build a foundation of understanding, act to interpret industrial problems.
- Applied Research is self-funded and costed by the prospect of commercial returns
  - Don’t sell your infrastructure too cheaply

[Long-term: Once infrastructural returns equal the recurrent costs then project is deemed sustainable and a portion should be reassigned to new strategic research.]
Supplementary notes

Part of instructional material used to teach academics
Non-commercial

All fields

- ARC DP
- Fellowships
- Block grant
- CoE

Key Research Team
Almost all fields

- ARC DP
- Fellowships
- Block grant
- CoE

A specific field

- Consortia
- Analytical services
- Instrument sales
- User fees
Almost all fields

- ARC DP
- Fellowships
- Block grant
- CoE

A specific field

- Consortia
- Analytical services
- Instrument sales
- User fees

Key Research Team

Non-commercial

Commercial

- ARC Linkage
- Spin-off
- International
Almost all fields

- ARC DP
- Fellowships
- Block grant
- CoE

A specific field

- Consortia
- Analytical services
- Instrument sales
- User fees

Key Research Team

CRCs

- ARC Linkage
- Spin-off
- International

Non-commercial

Commercial
In proportion to activity

Non-commercial

Almost all fields

Always a focus back into the fundamental

A specific field

Commercial

Key Research Team

Play to research strengths and keep a focus on the next frontier

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team

Almost all fields

A specific field

Key Research Team
Infrastructural return

Key Research Team

In proportion to activity

Almost all fields | A specific field

Non-commercial

Always a focus back into the fundamental

Commercial

Play to research strengths and keep a focus on the next frontier

Infrastructural return

Presented on LITHICON
Whole of RSPE

- Competitive Govt funding
  - HERDC return
- CRCs
  - HERDC return
- User fees
  - Analyses
  - Fabrication
- Industry
  - International

$5M grid

NB:
- Values scale with edge length, not area
- The darker the shade, the closer to present
C* 8  Confidential to Council Members

PURPOSE
For Council Members to consider the suggested forward look for its 2014 meetings and proposed meeting dates for 2015.

SPONSOR
Chancellor

RECOMMENDATION
That Council consider the suggested forward look for 2014 Council meetings and proposed meeting dates for 2015.

ACTION REQUIRED
For discussion ☑ For decision ☐ For information ☐

COUNCIL MEETINGS

(a) 2014 Council meetings
Council members are invited to discuss how Council meetings in 2014 might be conducted, to most effectively facilitate consideration of strategic issues.

(b) Strategic Issues/Thematic Discussion Topics
A strategic issue or thematic discussion topic is included in the agenda for each Council meeting. The Vice-Chancellor has suggested the following:

- February – Business Models, ANU Performance Culture and Positioning the University
- March – Service Improvement Project – progress report
- May – Philanthropy
- July – Education
- October – International and Outreach, including discussion on student mobility
- December – Research

(c) Site Visits
Following each Council meeting, a site visit is made to an organisational area of the University and presentations given about the operations of that area. The Vice-Chancellor has suggested that the 5 site visits for 2014 might be chosen from the following:

- ANU College of Law
- ANU Student Exchange/ Student Administration Services
- Health Sciences
- College of Asia and the Pacific
- Research School of Earth Sciences

If we can arrange it, Council might like to participate in one of the ANU VC’s Lectures. This will give Council members an opportunity to directly interact with some of our most gifted students.

(d) Proposed 2015 Council meetings
Council members are asked to approve the proposed 2015 meeting schedule and to note that a proposed 2015 site visits schedule will be brought to the 2014 July meeting of Council.

ATTACHMENTS
9.1 2014 Meeting dates
9.2 Proposed 2015 meeting dates
## CONFIRMED COUNCIL MEETING DATES FOR 2014

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<td>28 March</td>
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<td>Major items for approval:</td>
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<td></td>
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<td>- Consolidated Financial Statements</td>
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<td>- Annual Report</td>
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<td>30 May</td>
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<td>25 July</td>
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<td>3 October</td>
<td>17 September</td>
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<td>5 December</td>
<td>19 November</td>
<td>Major item for Approval:</td>
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<td>- Recurrent University budget</td>
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2014 Graduation ceremonies are:

16 to 18 July
16 to 19 December.

(Easter 2014: Fri 18 April – Mon 21 April)
### PROPOSED COUNCIL MEETING DATES FOR 2015

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<td>27 March</td>
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<td>- Annual Report</td>
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<td>29 May</td>
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<td>24 July</td>
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<td>2 October</td>
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<td>Major item for Approval:</td>
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**2015 Graduation ceremonies are:**

15 to 17 July, subject to approval
15 to 18 December, subject to approval.

(Easter 2015: Fri 3 April – Mon 6 April)
PART 3 – OTHER MATTERS FOR DECISION

10. LEGISLATION

PURPOSE
To approve legislation and to note legislation approved by the Vice-Chancellor.

AUTHOR
Corporate Governance and Risk Office

SPONSOR
Vice-Chancellor

RECOMMENDATIONS
1. That the following legislation be approved:


2. That the following legislation made by the Vice-Chancellor be noted:
   Assessment Rules (No. 4) 2013 (7/2014)
   Coursework Handbook Rules 2013 (27/2014)
   Tuition Fees Order (No. 2) 2013 (28/2014)

SUPPORTING MATERIAL

1. Legislation for consideration:

   Membership of the Council (Heads of Faculties and Research Schools) Rules 2014
   The table at rule 3 is to be amended to:
   • delete the School of Regulation, Justice and Diplomacy and add the Regulatory Institutions Network (ANU College of Asia and the Pacific entry) following Council’s approval at its meeting on 4 October 2013 of the abolition of the School of Regulation, Justice and Diplomacy (which comprised the Regulatory Institutions Network and the Asia Pacific College of Diplomacy (APCD)), the relocation of the APCD to the School of International, Political and Strategic Studies and the establishment of the Regulatory Institutions Network as a new College centre;
   • add the School of Law, after the ANU College of Law entry.

   Note: The amendments to legislation put to Council are described in the Background notes. In addition legislation will contain, from time to time, amendments of a minor nature which are not referred to in the Background notes. Examples of such amendments would include correction of terminology, grammatical, spelling or numbering errors.

2. Legislation made by the Vice-Chancellor:

   Assessment Rules (No. 4) 2013 (made by the Vice-Chancellor under subsection 9.5 of the Vice-Chancellorship Statute 2013)
   The Rules were amended to incorporate the following policy changes approved by the Academic Board:
   • new arrangements for assessment review and appeals procedures under the new Student Assessment (Coursework) Policy (at subrule 10(1) and (4) to (9)); and
   • the introduction of hurdle assessments (at subrule 13(2), and defined in subrule 3(1)).
**Coursework Handbook Rules 2013** (made by the Vice-Chancellor under subsection 9.5 of the Vice-Chancellorship Statute 2013)

The Rules were made following the recommendation of the Academic Board that the Graduate Coursework Handbook Rules and the Undergraduate Handbook Rules be merged into one set of Rules covering the publication of coursework information.

**Tuition Fees Order (No. 2) 2013** (made by the Vice-Chancellor under section 4 of the Fees Statute 2006)

The Tuition Fees Order was made to give legislative authority for certain fees for 2014 and sets out a framework for the calculation of those fees.

**ATTACHMENTS**

10.1 Membership of the Council (Heads of Faculties and Research Schools) Rules 2014 (5/2014)
10.2 Vice-Chancellor legislation - Assessment Rules (No. 4) 2013 (7/2014)
10.3 Vice-Chancellor legislation - Coursework Handbook Rules 2013 (27/2014)
10.4 Vice-Chancellor legislation - Tuition Fees Order (No. 2) 2013 (28/2014)
THE AUSTRALIAN NATIONAL UNIVERSITY

Membership of the Council Statute 2010

MEMBERSHIP OF THE COUNCIL (HEADS OF FACULTIES AND RESEARCH SCHOOLS) RULES 2014

The Council of The Australian National University makes these Rules under section 12 of the Membership of the Council Statute 2010.

Dated: 21 February 2014.

Professor the Hon Gareth Evans AC QC FASSA
CHANCELLOR

______________________________

Name of Rules and commencement

1.(1) These Rules are the Membership of the Council (Heads of Faculties and Research Schools) Rules 2014.

(2) These Rules commence on the day after they are registered.

Interpretation

2. In these Rules, unless the contrary intention appears:

Act means the Australian National University Act 1991;

Rules means the Membership of the Council Rules.

Heads of bodies

3. For paragraph 10(1)(k) of the Act and for Part 2 of the Rules, a body mentioned in an item in the following table is to be regarded as a faculty or research school and, for that faculty or research school, the person holding the office mentioned in that item is to be regarded as the dean or head of that faculty or research school as the case requires:

<table>
<thead>
<tr>
<th>Item</th>
<th>Office</th>
<th>Body</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>College Dean</td>
<td>ANU College of Arts and Social Sciences</td>
</tr>
<tr>
<td>2</td>
<td>Director</td>
<td>Research School of Humanities and the Arts</td>
</tr>
<tr>
<td>3</td>
<td>Director</td>
<td>Research School of Social Sciences</td>
</tr>
<tr>
<td>4</td>
<td>College Dean</td>
<td>ANU College of Asia and the Pacific</td>
</tr>
<tr>
<td>5</td>
<td>Director</td>
<td>Australian Centre on China in the World</td>
</tr>
<tr>
<td>6</td>
<td>Director</td>
<td>Crawford School of Public Policy</td>
</tr>
<tr>
<td>7</td>
<td>Director</td>
<td>Regulatory Institutions Network</td>
</tr>
<tr>
<td>8</td>
<td>Director</td>
<td>School of Culture, History and Language</td>
</tr>
<tr>
<td>9</td>
<td>Director</td>
<td>School of International, Political and Strategic Studies</td>
</tr>
<tr>
<td>10</td>
<td>College Dean</td>
<td>ANU College of Business and Economics</td>
</tr>
<tr>
<td>11</td>
<td>Director</td>
<td>Research School of Accounting and Business</td>
</tr>
</tbody>
</table>
Repeal

4. The Membership of the Council (Heads of Faculties and Research Schools) Rules 2013 are repealed.
ASSESSMENT RULES (No. 4) 2013

I, Professor Ian Young, Vice-Chancellor of The Australian National University acting in accordance with subsection 50(3) of the Australian National University Act 1991 and subsection 9.5 of the Vice-Chancellorship Statute 2013 make these Rules for section 8 of the Programs and Awards Statute 2013.

Dated: 16 December 2013.

Professor Ian Young AO
VICE-CHANCELLOR

Name of Rules and commencement

1(1) These Rules are the Assessment Rules (No. 4) 2013.

(2) These Rules commence on 1 January 2014.

Application

2(1) These Rules apply in relation to all undergraduate and graduate coursework programs.

Interpretation

3(1) In these Rules, unless the contrary intention appears:

assessment, in relation to a course, includes any task that is required to be performed by a student for a judgment to be made of the student's level of performance in that course;

Delegated Authority means a person appointed under subrule 4(1);

examination, in relation to a course, includes any task that is required to be performed by a student for the assessment of the student's performance in that course;

final assessment, for a course, means the final task for the course described in subrule 5(1) that must be performed by a student for the satisfactory completion of the course;

further assessment means an assessment required by a Chair of Examiners for a course under subrule 9(3);
**hurdle assessment**, for a course, means an assessment task that tests competency or skills or knowledge considered to be fundamental to passing the course or is required by a discipline-specific or other accreditation authority;

**special assessment**, for a student in relation to a course, means an assessment the student is permitted to take under subrule 11(1) or 12(6);

**special assessment arrangements**, for a student in relation to an assessment for a course, are arrangements approved under rule 8 for the student;

**student** means a person undertaking all or part of a program and who is eligible to undertake, or is undertaking, an assessment;

**supplementary assessment** in relation to a course includes, but is not limited to, assessment by a formal assessment, a practical assessment, a take-home assessment and an essay.

**Appointments**

4(1) An Associate Dean for an ANU College may appoint a member of the staff of the ANU College to be a Delegated Authority for the purposes of these Rules in relation to a course offered by the ANU College.

**Final assessment**

5(1) For the definition of **final assessment** in subrule 3(1), the final assessment for a course is to be in the form of a compulsory examination where the student is required to sit the whole of the examination on a particular day commencing at a particular time, or any other form of assessment, including, but not limited to practical examinations, take-home examinations and essays representing the final task for the course.

**Eligibility to be assessed**

6(1) A student is eligible to be assessed for a course if the person is enrolled in the course and complies with the requirements of the Rules and Orders applicable to taking that course.

**Manner, time and place of assessment**

7(1) Notice of the manner, time and place of final assessments to be conducted during University assessment sessions at the end of semester (including session):

(a) for assessments to be administered by the Registrar - must be published on the University's timetable website by the Registrar not less than 3 weeks before the commencement of the assessment session; and

(b) for assessments to be administered by the ANU College offering the course - must be displayed by the Delegated Authority not less than 3 weeks before the commencement of the assessment session in at least one of the following ways:

(i) on a notice board used for the purpose in the ANU College by which the course is offered;

(ii) to students enrolled in the course in class;

(iii) if possible, on the website for the course.
(2) A failure to comply with subrule (1) is not to be taken to affect the validity of an assessment or of anything done in relation to an assessment under these Rules.

(3) In this rule, a reference to a notice board is to be read as a reference to a notice board that is commonly used for displaying notices to students, including an electronic notice board or an appropriate part of the University's website.

**Special assessment arrangements**

8(1) A student with a temporary or chronic disability who has dealt with the University's Disability Services Centre in relation to his or her disability may ask the Centre to determine special assessment arrangements for the student for an assessment, including, but not limited to, additional reading or writing time, the provision of special equipment, separate accommodation or the services of an amanuensis.

(2) Special assessment arrangements for a student referred to in subrule (1) must be approved by the Registrar.

(3) Details of special assessment arrangements are to be sent by the Registrar to the Delegated Authority who may request changes to the arrangements.

(4) The Registrar is to ensure that the Chair of Examiners for a course is informed of the arrangements.

(5) A student with a temporary or chronic disability or other condition to whom subrule (1) does not apply may ask the Delegated Authority to approve special assessment arrangements in relation to the student.

(6) The Delegated Authority may approve special assessment arrangements requested under subrule (5).

(7) The assessments referred to in subrule (2) or (5) are to be conducted in accordance with the arrangements set out in subrule (1).

**Examiners**

9(1) The Delegated Authority must, at the commencement of the semester (including a session) in which the course is offered, appoint a Chair of Examiners and at least 1 other examiner, for the course.

(2) The Chair of Examiners for a course must:

   (a) ensure that the academic performance of each student attempting the course is adequately and fairly assessed; and

   (b) ensure that no student is failed in the course unless the student's performance in assessments in the course has been reviewed by at least 2 of the examiners appointed in relation to the course; and

   (c) submit to the examiners' meeting for the course a written report setting out, for each student examined, the marks and the grade of result that the examiners recommend be awarded to that student.
Before submitting recommendations under paragraph (2)(c), the Chair of Examiners may require a student to take a further assessment to ensure that the academic performance of the student in that course is adequately and fairly assessed, and any such assessment may be oral, written or practical.

The Delegated Authority must ensure that meetings of examiners are held to consider reports on marks, grades of results and further assessments required by the Chair of Examiners, and to moderate results.

The Chair of Examiners must, before submitting recommendations to the examiners’ meeting for a course:

(a) permit full-time members of the staff who participated in the teaching of the course to examine and comment on the recommendations; and

(b) take into account any matters put to the Chair by those members.

The Chair of Examiners must make final marks and a grade of result recommended for award to each student for a course available to the Delegated Authority, for approval by the Delegated Authority.

The Delegated Authority may approve, for a student for the course:

(a) the marks and the grade of result recommended or amended by the Chair of Examiners; or

(b) in exceptional circumstances, marks and a grade of result that are different from the marks and the grade of result recommended or amended by the Chair of Examiners.

After the Delegated Authority has approved the results for a course for release, the Delegated Authority must send the results to the Registrar.

The Registrar must cause the results in a course to be published.

To avoid doubt, for the purposes of subrule (9), published includes published electronically in an appropriate part of the University’s website, including a part of the website accessible only to a student whose results are so published.

Review of decisions

If, after being notified of the final result for a student in a course approved by the Delegated Authority, the student considers that he or she has received an inappropriate or incorrect result in the course, he or she may apply for a review of the result.

[NOTE: A student who believes that he or she has received an inappropriate or incorrect result in a course is encouraged to follow the procedures for assessment review and appeals set out in the Student Assessment (Coursework) Policy before formally lodging an application under this rule.]

Before formally applying for a review, the student must discuss the disputed result with the Course Convener who must re-examine the relevant work, decide whether to amend the result or not and inform the student accordingly.
If, after discussion with the Course Convener, the student believes that the established criteria of assessment or some aspect of the Assessment Rules or relevant policy or procedure has not been followed, he or she may, within 20 working days of being notified under subrule (2) of the Course Convener’s decision (or within such further period as the Associate Dean determines), submit to the College Student Office a formal request for a review to the Associate Dean.

A request for a review under subrule (3) must:

(a) be in writing; and

(b) state clearly the reason why the result is inappropriate or incorrect; and

(c) include any relevant supporting evidence available to the appellant.

The Associate Dean must consider the appeal and may inform himself or herself in any way on any matter relating to the review, including, but not limited to, seeking recommended outcomes from other parties.

The Associate Dean may:

(a) confirm the final result in a course for a student; or

(b) approve marks and the grade of a final result (which may be different from the marks and the grade of result in a course recommended by the Chair of Examiners) for the student.

The decision of the Associate Dean and the reasons for the decision must be sent in writing by the Associate Dean to the student within 20 working days of receipt of the appeal.

The Associate Dean must also send the results to the Registrar.

Subject to subrule (10), the decision of the Associate Dean following a review of a student's marks and grade of result in the course is final.

An appeal against a decision of the Associate Dean made under this rule may be made to the Dean:

(a) on procedural grounds only;

(b) within 20 working days of the notification of the relevant decision by the Associate Dean to the appellant;

The decision of the Dean following an appeal under subrule (10) is final and must be notified within 20 working days of the Dean's receipt of the appeal to the appellant and the Registrar accordingly.

Except with the approval of the Delegated Authority, a student awaiting the outcome of a review of marks and grade of result in a course may not enrol in another course for which the subject course is a prerequisite until the student is awarded a passing result in the course.

However, if a person seeking a review is already enrolled, he or she is entitled to remain enrolled pending the outcome of the review.
An Associate Dean may, for this rule, appoint a nominee to exercise the powers or perform the functions of the Associate Dean, and a person so appointed may exercise those powers or perform those functions as if the person were the Associate Dean concerned.

**Special examinations**

11(1) The Delegated Authority may permit a student who was eligible to take an examination in a course but was unable to attend the examination to take such special examination as the Delegated Authority determines.

(2) A student seeking permission to take a special examination must lodge an application with the Registrar not later than 3 working days after the time fixed for the completion of the examination that the student failed to attend, or within such further time as the Delegated Authority allows.

(3) An application under subrule (2) is to be accompanied by a statement in writing setting out the circumstances that prevented the student from attending the examination and by such evidence as is available to the student in support of that statement.

**Special consideration etc.**

12(1) A student who considers that his or her academic performance in respect of a course has been adversely affected by illness or other cause during the period of studies to which an assessment relates, may furnish a statement of the circumstances in writing to the Delegated Authority in the ANU College offering the course, together with any medical or other evidence, before the assessment is held.

**Invigilated examinations**

(2) If, during an examination the conduct of which is under the supervision of invigilators:

(a) a student notifies an invigilator that he or she considers that his or her performance in the examination has been adversely affected by illness or other cause in the course of the examination; or

(b) another person present at the examination notifies an invigilator that it appears that the performance of a student in the examination has been adversely affected by illness or other cause in the course of the examination; or

(c) it otherwise appears to an invigilator that the performance of a student in the examination has been adversely affected by illness or other cause in the course of the examination;

the invigilator must, as soon as possible after the completion of the examination, so inform the Delegated Authority in writing.

**Other assessment tasks**

(3) If a student considers that the student's performance in an assessment other than an assessment of the kind referred to in subrule (2) has been adversely affected by illness or other cause during the assessment, the student may, before the conclusion of the assessment, so notify the Delegated Authority.
Upon receiving a notification under subrule (1), (2) or (3), the Delegated Authority must report accordingly to the examiners.

The examiners must take the report into account in making their assessment of the performance of the student in the course.

The Delegated Authority may permit a student to whom this rule applies to undertake further assessment in the course.

**Eligibility for supplementary assessment**

13(1) A student must be offered supplementary assessment for a course if the student achieves a final result for a course of N45%-N49%.

[NOTE 1: This includes ungraded (CRS) courses.]

[NOTE 2: For subrule (1), the student must have achieved a result of N45%-N49%, not NCN.]

A student must be offered a supplementary assessment for a course if the student fails the course because of a requirement that a pass in a hurdle assessment is necessary to achieve a pass in the course and the student achieves a final result for the course of not less than N45%.

[Note: For subrule (2), the student must have achieved a result of N45% or above, not NCN.]

**Offer of supplementary assessment**

14(1) If a student is eligible for supplementary assessment for a course, the student's Notification of Results must show an interim result of PX for the course.

(2) If a student is notified of an interim result of PX for a course, the notification constitutes an offer by the Delegated Authority of supplementary assessment for the course.

(3) However, subrule (2) does not prevent the Delegated Authority from offering a supplementary assessment in writing or in any other way.

(4) A student must accept or reject the offer by notifying, in writing, the Delegated Authority within 7 working days of the offer being made to the student.

(5) A student who fails a course following supplementary assessment may be eligible to be offered supplementary assessment in another attempt at the same course.

**Supplementary assessment**

15(1) The Delegated Authority must determine the form a supplementary assessment is to take.

(2) The Delegated Authority must give adequate notice to the student (at the student's most current address notified to the Registrar) of the form, time and place of the supplementary assessment.
(3) If a student passes a supplementary assessment offered under rule 14, the student is regarded as having passed the course concerned with a result of 50PS.

(4) Except with the approval of the Delegated Authority, a supplementary assessment must be held before the end of the first week of the semester (includes session) next following that to which the assessment relates.

(5) If a student fails the supplementary assessment, the student is regarded as having failed the course concerned with a result of N plus the original mark (for a supplementary assessment mentioned in subrule 13(1)) or (for a supplementary assessment mentioned in subrule 13(2)) a result of NCN.

(6) The Registrar must cause the result in the course in relation to which a supplementary assessment is undertaken to be published.

(7) There is no limit to the supplementary assessments that a student may be offered.

(8) To avoid doubt, for the purposes of subrule (6), published includes published electronically in an appropriate part of the University's website, (including a part of the website accessible only to a student whose results are so published) or otherwise inform the student concerned.

Prerequisite courses

16(1) Except with the approval of the Delegated Authority, a student awaiting the result of a supplementary assessment in a course (the subject course) may not enrol in another course for which the subject course is a prerequisite until the student is awarded a result of 50PS in the subject course.

Repeal

17(1) The Assessment Rules (No. 3) 2013, as amended and in force immediately before the commencement of these Rules, are repealed.
THE AUSTRALIAN NATIONAL UNIVERSITY

Programs and Awards Statute 2013

COURSEWORK HANDBOOK RULES 2013

I, Professor Ian Young, Vice-Chancellor of The Australian National University acting in accordance with subsection 50(3) of the Australian National University Act 1991 and subsection 9.5 of the Vice-Chancellorship Statute 2013 make these Rules for section 8 of the Programs and Awards Statute 2013.


Professor Ian Young AO
VICE-CHANCELLOR

Name of Rules and commencement

1(1) These Rules are the Coursework Handbook Rules 2013.

(2) These Rules commence on the day after they are registered.

Application

2 These Rules apply in relation to a Handbook prepared, or an Order made for a coursework program offered by the University, for any period commencing or continuing on or after the commencement of these Rules.

Purpose of Rules

3 The purpose of these Rules is to provide for the Handbook to be published and to further provide for the Handbook to contain certain Orders (dealing with programs and their content) made under section 6 of the Statute.

Definitions

4 In these Rules, unless the contrary intention appears:
   amending Order means an Order that amends an Order published in the Handbook;
   consolidated Order means a former Order as amended by an amending Order;
   former Order has the meaning given in rule 12;
   Handbook means the Australian National University Coursework Handbook published under rule 6;
   Orders means Orders made under section 6 of the Statute and published under rule 9;
   Statute means the Programs and Awards Statute.
The Handbook

5 There is to be an Australian National University Coursework Handbook.

Publication

6(1) The Handbook is to be kept by use of computer and is to be published electronically.

(2) The Handbook may be published as a continuing document or as a series of documents, each of which relates to a particular session, semester or year.

(3) If the Handbook is published in relation to a particular session, semester or year, the Handbook must state the period of its operation.

(4) If the Handbook is published in relation to a particular session, semester or year, the Handbook must also be published for the next session, semester or year.

(5) If the Handbook is published on the University’s website, the authoritative version of all, or any part, of it at any particular time is the Handbook, or the part of the Handbook, published on the University’s website at that time.

[NOTE: While the authoritative version of the Handbook at any particular time is the Handbook as published on the University’s website at that time, nothing in these Rules prevents the University or the Associate Dean of an ANU College from publishing a handbook in printed form containing all or part of the Handbook as in force at any particular time.]

Division of Handbook

7(1) The Handbook is to be divided into Parts.

(2) One Part may contain descriptive, staffing and introductory matters—it is not part of an Order published under rule 9.

(3) Another Part is to contain a list of courses offered by the University—it is not part of an Order published under rule 9.

(4) Another Part is to contain Orders published by the Associate Deans under rule 9 and may contain additional descriptive and informative material.

Part containing ANU College Orders

8(1) The Part of the Handbook containing Orders published by the Associate Deans of the ANU Colleges under rule 9 is to be divided into Divisions.

(2) Each Division of that Part is to be the responsibility of the Associate Dean of a particular ANU College and is to be identified by the name of that ANU College in the heading to the Division.

(3) However, a Division dealing with double degree programs may be the responsibility of the Associate Deans of more than one ANU College.

ANU College Orders

9(1) The Associate Dean of each ANU College is to publish, in the relevant Part of the Handbook, as much of the Orders as contain, for each course offered in the ANU College:

(a) the course’s name; and
(b) the course’s identifying code; and
(c) the course’s unit value; and
(d) the prerequisites and co-requisites (if any) for taking the course; and
(e) if there are any other courses with which the course is regarded as incompatible—the names of those courses; and
(f) the method of assessment for the course; and
(g) any other conditions to which taking the course is subject.

(2) The Associate Dean of an ANU College must also publish, in the Handbook, as much of the Orders as detail the requirements for completion for each degree program, double degree program, graduate diploma program, graduate certificate program and other coursework programs offered in the ANU College.

(3) The Associate Dean of an ANU College may publish, in the relevant Part of the Handbook, other material that does not form part of the Orders mentioned in subrule (1), but in which the Orders are contained or to which the Orders relate, including material relating to:

(a) staffing or teaching; or
(b) intended teaching patterns; or
(c) syllabuses of particular programs; or
(d) methods of assessment; or
(e) book lists; or
(f) descriptions or examples of typical program and double degree program patterns; or
(g) other descriptive information.

Conflict between Handbook and Statutes, Rules etc

10(1) Material included in the Handbook that is not part of an Order may reflect the content of Statutes, Rules or other Orders.

(2) If there is conflict between anything contained in the Handbook and a Statute, Rules or other Orders of the University, the Statute, Rules or other Orders prevail and the Handbook must be interpreted accordingly.

(3) However, nothing in subrule (2) gives legislative status to any part of the Handbook that is not part of an Order.

Access to Handbook

11(1) The University must ensure reasonable access to the Handbook by students and the public.

(2) The University is taken to have given students and the public reasonable access to the Handbook if it makes the Handbook available electronically on its website and maintains the Handbook in that form on that website.

Amending Orders

12(1) If the Associate Dean of an ANU College amends an Order published in the Handbook (the former Order), the University must consolidate the former Order with the amending Order and replace the former Order with the consolidated Order in the Handbook.
When a former Order is replaced by a consolidated Order, the former Order ceases to have effect unless provision is made in the consolidated Order for its continued effect in particular circumstances.

If all or part of an amending Order is published as part of a consolidated Order, the consolidated Order must indicate, by footnote or otherwise, the date of publication of the amending Order or part of the amending Order.

An amending Order has no effect other than as part of a consolidated Order.

Commencement of Orders

Subject to any contrary provision for commencement of Orders in a Statute or Rules, and any relevant direction by the Deputy Vice-Chancellor, a new or amending Order takes effect:

(a) if the new Order is expressed to commence on a day after its publication—from the first moment of that day; or
(b) if the amending Order is expressed to commence on a day after its publication—from the first moment of the day after the publication of the relevant consolidated Order; or
(c) if the new Order is expressed to commence on a day before its publication—subject to subrule (2), from the first moment of that day; or
(d) if the amending Order is expressed to commence on a day before the publication of the relevant consolidated Order—subject to subrule (2), from the first moment of that day; or
(e) otherwise—from the first moment of the day after the new or relevant consolidated Order is published.

A new or amending Order, or a provision of such an Order has no effect if, apart from this subrule, all or part of the Order is expressed to take effect before the new or relevant consolidated Order is published and as a result:

(a) the rights of a person (other than the University) at the time of publication would be adversely affected; or
(b) liabilities would be imposed on a person (other than the University) about anything done or omitted to be done before the time of publication.

Repeal and saving

The Graduate Coursework Handbook Rules 2013 and the Undergraduate Handbook Rules 2013, (“the repealed Rules”) as amended and in force immediately before the commencement of these Rules, are repealed.

However, an Order made under the repealed Rules and in force immediately before the commencement of these Rules continues in force as an Order made under these Rules and may be amended or repealed accordingly.
THE AUSTRALIAN NATIONAL UNIVERSITY

Fees Statute 2006

TUITION FEES ORDER (No. 2) 2013

The Vice-Chancellor of The Australian National University makes this Order under section 4 of the Fees Statute 2006.

Dated: 18 December 2013

Professor Ian Young AO
VICE-CHANCELLOR

[General Note:
The University is permitted to charge fees under section 41 of the Australian National University Act 1991 and section 19-85 of the Higher Education Support Act 2003 ('the HES Act'). The Acts are accessible on the Internet at the following website:

The Fees Rules relate to types of fees which the University is permitted to charge under the HES Act and which the Council has authorised for collection. The Rules are designed to be consistent with the HES Act and with Guidelines issued by the Minister under that Act. The Guidelines are accessible on the Internet at the following website:
http://www.innovation.gov.au

The Orders are intended to complement the Rules by setting out a framework under which particular fees can be calculated.]

1 Name of Order and commencement
1.1 This Order is the Tuition Fees Order (No. 2) 2013.
1.2 This Order commences on the day after it is registered.

2 Interpretation
2.1 In this Order, unless the contrary intention appears words and expressions used in this Order and in the Fees Rules have the same respective meanings as they have in the Fees Rules.

3 Application
3.1 This Order applies for 2014 to persons to whom the Fees Rules apply.
3.2 Notwithstanding anything else in this Order, if the Vice-Chancellor or a Deputy Vice-Chancellor arranges for a program to be offered in conjunction with another tertiary education institution or educational provider, the arrangement prevails over this Order and this Order is to be interpreted accordingly.

3.3 In addition to and notwithstanding anything else in this Order, if the Vice-Chancellor or a Deputy Vice-Chancellor enters into a contract with a private or government organisation for a program to be offered, in relation to a person undertaking that program the contract prevails over this Order and this Order is to be interpreted accordingly.

4 Fees
4.1 The indicative tuition fees set out in Schedules 1 and 2 apply for 2014 to a student to whom this Order applies.

5 Calculation of particular domestic student fees etc
5.1 Particular domestic student tuition and contribution amounts are to be calculated in accordance with the guidelines set out on the following website:
http://studyat.anu.edu.au/fees_and_charges.html

6 Calculation of particular international student fees etc
6.1 Particular international student tuition and contribution amounts are to be calculated in accordance with the guidelines set out on the following website under International students:

7 5% cap on fee increase
7.1 Until 31 December 2014, a student who commenced before 2013 and remains in his or her current program is to have a 5% cap (compounded annually) applied to the fees he or she paid for his or her commencement year.

7.2 On and after 1 January 2015, all students are liable for the tuition fee applied to each year, regardless of commencement date.

8 Extension of program arrangements for higher degree research students
8.1 A student enrolled in a higher degree research program who has successfully obtained an extension of program beyond the normal time limit is to pay fees as follows:

(a) a domestic student:
   is to be granted an exemption scholarship in 2014;

(b) an international student resident in Australia:
   is to pay a subsidized fee of $3,000 (non-laboratory) or $4,000 (laboratory) for a period of 6 months in 2014;

(c) an international student resident overseas:
   is not required to pay the subsidized fee mentioned in paragraph 8.1(b).
9 Student Services and Amenities Fee

9.1 All students enrolled at the University are liable to pay the applicable Student Services and Amenities Fee mentioned in Schedule 3 unless the Schedule provides otherwise.

10 Repeal

10.1 The Tuition Fees Order 2013, as amended and in force immediately before the commencement of this Order, is repealed.

SCHEDULE 1
INDICATIVE FEE SCHEDULE
Indicative Fees as at 30/11/2013 and may be subject to change.

<table>
<thead>
<tr>
<th>ANU College/School</th>
<th>Program</th>
<th>2014 Domestic Fee (per 48 units)</th>
<th>2014 International Fee (per 48 units)</th>
<th>2015 Domestic Fee (per 48 units)</th>
<th>2015 International Fee (per 48 units)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ARTS AND SOCIAL SCIENCES</td>
<td>Undergraduate Awards (except DART coded courses)</td>
<td>19,845</td>
<td>25,984</td>
<td>20,837</td>
<td>28,582</td>
</tr>
<tr>
<td></td>
<td>Undergraduate Awards (DART coded courses)</td>
<td>22,512</td>
<td>27,307</td>
<td>23,638</td>
<td>30,038</td>
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<tr>
<td></td>
<td>Graduate Coursework (except DART coded courses)</td>
<td>19,824</td>
<td>30,111</td>
<td>20,815</td>
<td>33,122</td>
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<tr>
<td></td>
<td>Graduate coursework (DART codes)</td>
<td>22,512</td>
<td>31,593</td>
<td>23,638</td>
<td>34,752</td>
</tr>
<tr>
<td></td>
<td>Research MPhil/PhD</td>
<td>---</td>
<td>28,683</td>
<td>---</td>
<td>31551</td>
</tr>
<tr>
<td>ASIA AND THE PACIFIC</td>
<td>Undergraduate awards</td>
<td>19,845</td>
<td>25,984</td>
<td>20,837</td>
<td>28,582</td>
</tr>
<tr>
<td></td>
<td>Graduate Diploma</td>
<td>19,845</td>
<td>25,984</td>
<td>20,837</td>
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<tr>
<td></td>
<td>Graduate coursework including non-award (5150)</td>
<td>22,491</td>
<td>31,593</td>
<td>23,616</td>
<td>34,752</td>
</tr>
<tr>
<td></td>
<td>Research (MPhil/PhD)</td>
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<td>25,984</td>
<td>---</td>
<td>28,582</td>
</tr>
<tr>
<td>IPS</td>
<td>Graduate Coursework</td>
<td>22,491</td>
<td>31,593</td>
<td>23,616</td>
<td>34,752</td>
</tr>
<tr>
<td></td>
<td>Oslo Semester</td>
<td>22,491</td>
<td>22,492</td>
<td>23,616</td>
<td>24,741</td>
</tr>
<tr>
<td></td>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>25,984</td>
<td>---</td>
<td>28,582</td>
</tr>
<tr>
<td>RJD</td>
<td>Graduate Coursework</td>
<td>22,491</td>
<td>31,593</td>
<td>23,616</td>
<td>34,752</td>
</tr>
<tr>
<td></td>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>25,984</td>
<td>---</td>
<td>28,582</td>
</tr>
<tr>
<td>Crawford School</td>
<td>Preliminary Programs:</td>
<td>10,608</td>
<td>10,608</td>
<td>11,138</td>
<td>11,669</td>
</tr>
<tr>
<td></td>
<td>EMDV/PubPol/IDEC Prep (5085)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
</tr>
<tr>
<td></td>
<td>EMDV/POGO Bridging</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
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<tr>
<td></td>
<td>IDEC Bridging (5083)</td>
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<td>25,984</td>
<td>---</td>
<td>28,582</td>
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<tr>
<td></td>
<td>Maths Bridging (5086)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
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<tr>
<td></td>
<td>Grad non-award (5082)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
</tr>
<tr>
<td></td>
<td>28,683</td>
<td>33,181</td>
<td>30,117</td>
<td>36,499</td>
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<tr>
<td>--------------------------------</td>
<td>--------</td>
<td>--------</td>
<td>--------</td>
<td>--------</td>
<td></td>
</tr>
<tr>
<td>Graduate Coursework (bar those below)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Master of Natural Hazards</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
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<td>MAAPD</td>
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<td>30,111</td>
<td>20,837</td>
<td>33,122</td>
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<tr>
<td>Research (MPhil/PhD/DrPubPol)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
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</table>

### BUSINESS AND ECONOMICS

<table>
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<tr>
<th>CBE</th>
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<tbody>
<tr>
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<td>30,111</td>
<td>27,283</td>
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<td>Graduate coursework</td>
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<td>31,593</td>
<td>27,283</td>
<td>34,752</td>
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<tr>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
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### ENGINEERING AND COMPUTER SCIENCE

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<th>CECS</th>
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<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
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<tr>
<td>Graduate Coursework</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
</tr>
<tr>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
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### LAW

<table>
<thead>
<tr>
<th>LAW</th>
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</thead>
<tbody>
<tr>
<td>Undergraduate awards</td>
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<td>30,111</td>
<td>23,616</td>
<td>33,122</td>
</tr>
<tr>
<td>Juris Doctor</td>
<td>22,491</td>
<td>30,111</td>
<td>23,616</td>
<td>33,122</td>
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<tr>
<td>Graduate coursework (bar those below)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>GDLP</td>
<td>See note⁴</td>
<td>See note⁴</td>
<td>See note⁴</td>
<td>See note⁴</td>
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<tr>
<td>Military Law program</td>
<td>31,593</td>
<td>---</td>
<td>33,173</td>
<td>---</td>
</tr>
<tr>
<td>Research (MPhil/PhD/SJD)</td>
<td>---</td>
<td>30,111</td>
<td>---</td>
<td>33,122</td>
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### MEDICINE AND HEALTH SCIENCES

<table>
<thead>
<tr>
<th>MEDICINE</th>
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</thead>
<tbody>
<tr>
<td>Undergraduate awards</td>
<td>49,004</td>
<td>62,551</td>
<td>51,454</td>
<td>68,806</td>
</tr>
<tr>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
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<tr>
<td>MD²</td>
<td>3,500</td>
<td>3,500</td>
<td>3,500</td>
<td>3,500</td>
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<td>MPH⁶</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
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<td>CHAM⁹</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
</tr>
<tr>
<td>JCSMR</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Research (MPhil/PhD)</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
</tr>
<tr>
<td>Graduate Coursework</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Institute of Population Health (NCEPH, APHCR, CMHR, ACERH, Menzies)</th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(Research) MPhil/PhD</td>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>36,499</td>
</tr>
<tr>
<td>Graduate Coursework</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
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</table>

### SCIENCE

<table>
<thead>
<tr>
<th>SCIENCE</th>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Undergraduate awards</td>
<td>23,602</td>
<td>30,111</td>
<td>24,782</td>
<td>33,122</td>
</tr>
<tr>
<td>Graduate Coursework (bar those below)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Course Type</td>
<td>2013</td>
<td>2014</td>
<td>2015</td>
<td>2016</td>
</tr>
<tr>
<td>-----------------------------------</td>
<td>--------------------</td>
<td>--------------------</td>
<td>--------------------</td>
<td>--------------------</td>
</tr>
<tr>
<td>GCert &amp; GDip Theory &amp; Practice of Science Centres Research (MPhil/PhD)</td>
<td>19,845</td>
<td>19,845</td>
<td>20,837</td>
<td>21,830</td>
</tr>
<tr>
<td>---</td>
<td>33,181</td>
<td>---</td>
<td>---</td>
<td>36,499</td>
</tr>
</tbody>
</table>

### NATIONAL SECURITY COLLEGE

<table>
<thead>
<tr>
<th>Course Type</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
<th>2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSC Graduate coursework</td>
<td>22,491</td>
<td>31,593</td>
<td>23,616</td>
<td>34,752</td>
</tr>
<tr>
<td>NSC Research (MPhil/PhD)</td>
<td>---</td>
<td>25,984</td>
<td>---</td>
<td>28,582</td>
</tr>
</tbody>
</table>

### OTHER

<table>
<thead>
<tr>
<th>Associate Degrees (CIT)</th>
<th>Associate Degree (Eng)</th>
<th>Associate Degree (Sci)</th>
<th>Domestic and International fees charged at equivalent rates to cognate undergraduate programs for courses undertaken on the ANU Campus. CIT-based courses will be charged at CIT rates.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Associate Degrees (ANU)</td>
<td>Social Studies</td>
<td>Business</td>
<td>Science &amp; Technology</td>
</tr>
<tr>
<td>Study Abroad 7</td>
<td>---</td>
<td>14,024 $ / per semester</td>
<td>---</td>
</tr>
<tr>
<td>Higher Doctorates 2</td>
<td>---</td>
<td>1,575</td>
<td>1,575</td>
</tr>
<tr>
<td>Board of Studies/ Centrally Approved Courses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Writing for Government</td>
<td>2,481</td>
<td>3,764</td>
<td>2,605</td>
</tr>
<tr>
<td>UN Development Internship</td>
<td>2,811</td>
<td>3,949</td>
<td>2,952</td>
</tr>
</tbody>
</table>

### NOTES

1. Some courses taken at overseas institutions may cost up to $600 per unit.
2. Flat rate, non-refundable administrative and examination charge.
3. For domestic students the fees are based on the fees for the individual courses in which the students enrol.
4. The GDLP has several different fee rates dependent on the way a student configures their program. Schedule 2 illustrates these fees in detail.
5. This is a flat rate as required by contractual obligation for this Program.
6. For the MPH, common courses within the CHMD program will be charged as CASS related courses.
7. The Study Abroad rate is determined by using the price for 12 units of undergraduate Science and 12 units of undergraduate Arts and is an indicative program fee only. This is the fee to be charged to nominated sponsors as a flat program fee per semester.
8 The courses ENGN8110, ENGN8120, ENGN8130 and ENGN8140 are part of our joint MEP with UniSA and will be charged the following for DTF students (per unit): 2014 $468 and 2015 $491.

9 CHMD8004 will be charged the following (per unit) if taken as part of the Master of Culture, Health and Medicine program: DTF - 2013 $394, 2014 $414 and 2015 $434. ISF - 2013 $597, 2014 $627 and 2015 $658.

10 Coursework courses taken as part of a research program will be charged at the relevant coursework rate.

**SCHEDULE 2 – OTHER FEES**

<table>
<thead>
<tr>
<th>Course</th>
<th>Subject</th>
<th>Cat.</th>
<th>Units</th>
<th>DTF 2014</th>
<th>ISF 2014</th>
<th>DTF 2015</th>
<th>ISF 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts</td>
<td>LEGW</td>
<td>8100</td>
<td>3</td>
<td>$1,092</td>
<td>$1,359</td>
<td>$1,147</td>
<td>$1,495</td>
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<tr>
<td>Legal Ethics</td>
<td>LEGW</td>
<td>8101</td>
<td>3</td>
<td>$1,092</td>
<td>$1,359</td>
<td>$1,147</td>
<td>$1,495</td>
</tr>
<tr>
<td>Criminal Practice</td>
<td>LEGW</td>
<td>8106</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
</tr>
<tr>
<td>Family Law Practice</td>
<td>LEGW</td>
<td>8107</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
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<tr>
<td>Wills Probate and Admin</td>
<td>LEGW</td>
<td>8114</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
</tr>
<tr>
<td>Admin Law Practice</td>
<td>LEGW</td>
<td>8118</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
</tr>
<tr>
<td>Government Law Practice</td>
<td>LEGW</td>
<td>8124</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
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<tr>
<td>Consumer Law Practice</td>
<td>LEGW</td>
<td>8135</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
</tr>
<tr>
<td>Employ &amp; Indust Practice</td>
<td>LEGW</td>
<td>8136</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
</tr>
<tr>
<td>Plan &amp; Env Practice</td>
<td>LEGW</td>
<td>8137</td>
<td>3</td>
<td>$993</td>
<td>$1,299</td>
<td>$1,043</td>
<td>$1,429</td>
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<td>Becoming a Practitioner</td>
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<td>3</td>
<td>$1,473</td>
<td>$1,881</td>
<td>$1,547</td>
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<td>$0</td>
<td>$0</td>
<td>$0</td>
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</table>
ANU is to charge the fee in 2014 to all students* as follows:

- $281 for full-time students (to be indexed each year)
- $140.50 for part-time students (to be indexed each year)

<table>
<thead>
<tr>
<th>Student cohort</th>
<th>Liable?</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commonwealth supported students</td>
<td>Yes</td>
<td>A government funded loan scheme will be available enabling eligible* students in this cohort to defer payment of the SA fee known as SA HELP.</td>
</tr>
<tr>
<td>Domestic tuition fee (DTF) paying students</td>
<td>Yes</td>
<td>A government funded loan scheme will be available enabling eligible* students in this cohort to defer payment of the SA fee known as SA HELP.</td>
</tr>
<tr>
<td>Research Training Scheme students (Domestic PhD or MPhil)</td>
<td>Yes</td>
<td>A government funded loan scheme will be available enabling eligible* students in this cohort to defer payment of the SA fee known as SA HELP.</td>
</tr>
<tr>
<td>Domestic cross-institutional students (inbound)</td>
<td>No</td>
<td>Cross-institutional students coming from another institution are not eligible to defer the debt through SA HELP.</td>
</tr>
<tr>
<td>Domestic exchange students (outbound)</td>
<td>Yes</td>
<td>A government funded loan scheme will be available enabling eligible* students in this cohort to defer payment of the SA fee known as SA HELP.</td>
</tr>
<tr>
<td>Study abroad students (inbound)</td>
<td>Yes</td>
<td>Inbound study abroad students coming from another institution are not eligible to defer the debt through SA HELP.</td>
</tr>
<tr>
<td>Exchange students (inbound)</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>International student fee (ISF) paying students</td>
<td>Yes</td>
<td>International students are not eligible to defer the debt through SA HELP.</td>
</tr>
</tbody>
</table>

NOTES

1 *Eligible students include all Australian citizens and some permanent humanitarian visa holders enrolled in an award program.

2 In spite of Schedule 3, students in the following online only programs are exempt from the Student Services and Amenities fee:
   - 6353 Graduate Certificate in Australian Migration Law and Practice
   - 6312 Graduate Diploma in Legal Practice

3 In spite of Schedule 3, students enrolled in the following transnational offshore program are exempt from the Student Services and Amenities fee:
   - 7812XTSING Master of Management

4 Students who are in the following programs who have concurrent full-time enrolment at a secondary school or secondary College are exempt from the Student Services and Amenities Fee:
   - Bachelor of Studies
   - Diploma of Studies
11 WORONI CONSTITUTION

PURPOSE To consider and approve the ANU Student Media Association (Woroni) Constitution.

AUTHOR Director, Corporate Governance and Risk Office

SPONSOR Vice-Chancellor

RECOMMENDATION That the ANU Student Media Incorporated Association (Woroni) Constitution be approved (8/2014).

ACTION REQUIRED
For discussion ☐ For decision ☑ For information ☐

SUPPORTING MATERIAL

In July 2013, Woroni established a Constitutional Review Committee, sponsored by the ANU Student Media Board of Editors, to review the ANU Student Media Constitution, identify changes needed to improve the day to day operational efficiency of Woroni and to consider future directions.

Following the review a number of changes were made to overhaul inconsistencies and outdated sections. It should be noted that further amendment of Clause 9 will be presented to Council for approval over the course of the year that will ensure the newspaper masthead clearly identifies that Woroni is ANU’s student newspaper.

The following amendments of the Woroni Constitution were passed by the ANU Student Media Board of Editors at its Ordinary General Meeting held on 1 November 2013:

PUBLICATIIONS

Use of the masthead
Amend Section 9 to make clear that only the word “Woroni” is constitutionally protected:

9 The Association must publish a hard copy and online publication with the masthead Woroni

Press Council Principles
Ensure that there is provision in the Constitution to have the updated version of the Press Council Principles, as issued, to automatically replace the current Principles contained in the Constitution

10 A publication of the Association may not be published without the approval of the Board. The Board may only authorise publication where:

(a) the publication complies in its entirety with the Principles, as issued from time to time by The Australian Press Council, outlined in Schedule 1 of this Constitution and is not otherwise contrary to this Constitution or any regulations or orders
Streamline the approval process for publications and for publishing on any other media
Amend Section 11 to make the restriction of having 6 editors apply only to the publication of the newspaper and add a new section that gives the Board power to establish its own process for approving web articles or radio podcasts:

11. Notwithstanding section 10, the Board may only authorise publication of Woroni where at least six (6) Members of the Board vote in favour of authorising a publication.

11.1 The Board of Editors must set up a process for approving the publication of any other media as appropriate.

Election of Board of Editors
To ensure potential editors have proven a commitment to/understanding of Woroni, amend wording in 7.6.7 to read:

7.6.7 A nomination is only valid where the nominee has previously made at least three (3) contributions over a period greater than two weeks duly recorded by the Deputy Editor-in- Chief.

The new Constitution was proposed for approval and submission to University Council for approval. Members of the Association present, unanimously approved the following motion:

“That the ANU Student Media Association adopt the new Constitution as presented today by the Board of Editors and seek the approval of the University Council for this Constitution to be adopted officially.”

ATTACHMENT
11.1 Amended Australian National University Student Media Constitution (8/2014)
AUSTRALIAN NATIONAL UNIVERSITY
STUDENT MEDIA
INCORPORATED ASSOCIATION
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NAME

1 The name of the Association is “Australian National University Student Media”.

DEFINITIONS

2 Except where the contrary intention appears, in this Constitution:

(a) “Academic week” means any week of the calendar year that includes at least one (1) week-day that falls during a teaching period of the University;
(b) “Academic year” means the period between the first day of the first teaching period of the University and the last day of the last teaching period of the University in a calendar year;
(c) “ACT” means the Australian Capital Territory;
(d) “the Act” means the Associations Incorporation Act (ACT) 1991;
(e) “College” means an ANU College established by the University Council;
(f) “Association” means Australian National University Student Media Incorporated;
(g) “AGM” means the Annual General Meeting of the Association;
(h) “Council” means the University Council of The Australian National University;
(i) “Editor” means a member of the Board of Editors described in section 7;
(j) “OVC” means the Office of the Vice-Chancellor;
(k) “Undergraduate student” means a student enrolled in a unit or program of study for a Bachelors degree or any other undergraduate award of the University;
(l) “University” means The Australian National University, an institution of higher education established under the Australian National University Act (Cth) 1991;
(m) “Vice-Chancellor” means the Vice-Chancellor and President of The Australian National University;
(n) “Working day” means a 24 hour period during any day or days that do not fall on a weekend or a public holiday day, and that do not fall during an examination period of the Colleges.

OBJECTS

3 The objects of the Association are:

(a) to produce interesting, entertaining, informative, recognised and regular content and publications across print, multimedia, and online media forms for the students of the University;
(b) to contribute to a sense of University identity and reflect the scholarly and cultural diversity of the University community;
(c) to promote open public dialogue and debate in the University community;
(d) to promote awareness of the variety of curricular and extra-curricular activities undertaken by students of the University;
(e) to discover and develop the creative talents of students at the University in journalism and the media arts;
(f) to promote best practice in professional journalism; and
(g) to innovate and explore new media forms.

4 The assets and income of the Association shall be applied solely in furtherance of its above-mentioned objects and no portion shall be distributed directly or indirectly to the
members of the organization except as bona fide compensation for services rendered or expenses incurred on behalf of the Association.

MEMBERSHIP

5 Membership of the Association is open to all undergraduate and postgraduate students of the University. There are two classes of membership: ordinary and honorary life.

5.1 Ordinary membership

Any person who:

(a) is an undergraduate or postgraduate student of the University; and
(b) has not notified the Deputy Editor-in-Chief, in writing, that she/he does not wish to be a member.

is an ordinary member of the Association.

5.2 Honorary life membership

Honorary life membership of the Association may be conferred upon any person who has significantly contributed to the life and development of ANUSM by a two-thirds vote at any general meeting of the Association.

5.43 Rights of associate and honorary life members

Associate and honorary life members shall not vote at any meeting or election of the Association, shall not constitute a quorum, and shall not be eligible for election to the Board, but shall otherwise have rights equal to ordinary members.

5.4 Cessation of membership

An ordinary member ceases to be a member of the Association at such time if:

(a) they cease to fulfil the conditions in sub-sections 5.1 and 5.2 respectively; or
(b) their membership is revoked.

An honorary life member ceases to be a member if her/his membership is revoked by a general meeting of the Association.

5.5 Membership fee

There is no fee payable for membership of the Association as an ordinary, associate or honorary life member.

5.6 Members liabilities

No member of the Association will be personally liable to contribute towards the payments of the debts and liabilities of the Association or the costs, changes and any expenses of the dissolution or winding up of the Association.
PUBLIC OFFICER

6 The Board shall appoint a member of the Board to be the Public Officer of the Association.

6.1 Duties

The Public Officer will, within fourteen (14) working days of their appointment, notify the relevant authority in writing of the appointment and supply their full name and address. The Public Officer will also supply any and all documents required by the relevant authority and shall perform any other duties as required by the relevant legislation.

6.2 Vacancy

The office of Public Officer becomes vacant if the person holding that office:

(a) dies; or
(b) becomes bankrupt, applies to take the benefits of a law for the relief of bankrupt or insolvent debtors or compounds with their creditors; or
(c) suffers from mental or physical incapacity; or
(d) resigns their office by writing to the Editor-in-Chief; or
(e) ceases to be resident in the ACT.

If the office of Public Officer becomes vacant the Board will, within fourteen (14) days after it becomes vacant, appoint another person to fill the vacancy.

BOARD OF EDITORS

7 The Board of Editors is the committee of the Association for the purposes of the Act.

7.1 Powers of the Board of Editors

The Board, subject to the Act, the regulations, this Constitution, and to any resolution passed by the Association in general meeting:

(a) controls and manages the affairs of the Association; and
(b) may exercise all functions that may be exercised by the Association other than those functions that are required by this Constitution to be exercised by a general meeting of the Association; and
(c) has power to perform all acts and do all things that appear to the Board to be necessary or desirable for the proper management of the affairs of the Association.

7.2 Duties of the Board of Editors

The Board, subject to the Act, the regulations, this Constitution, and to any resolution passed by the Association in general meeting, must:

(a) implement the objects of the association;
(b) ensure that Members of the Board act in accordance with this Constitution and their respective duties;
(c) appoint, encourage, manage and supervise sub-editors, staff writers and contributors of publications.
7.3  Membership of the Board of Editors

7.3.1  The Board of Editors has eight (8) members, including:

(a)  the Editor-in-Chief;
(b)  the Deputy Editor-in-Chief;
(c)  the Managing Editor; and
(d) five (5) General Editors.

7.3.2  The Editor-in-Chief, Deputy Editor-in-Chief and Managing Editor are Officers of the Association.

7.4  Duties of Office Bearers

7.4.1  Editor-in-Chief

The Editor-in-Chief is the President of the Association and Chairperson of the Board. Subject to the Act, the regulations, this Constitution and to any resolution passed by the Association in general meeting, the Editor-in-Chief must:

(a)  convene and chair meetings of the Board;
(b)  chair meetings of the Association;
(c)  be the spokesperson of the Association on matters of concern to members of the Association;
(d) be primarily responsible for the management and supervision of the Association’s employees, in conjunction with the other Officers of the Association;
(e) actively promote the Constitution and objects of the Association;
(f)  ensure that all publications of the Association comply with the Constitution and objects of the Association; and
(g)  report on the activities of the Association at general meetings of the Association.

7.4.2  Deputy Editor-in-Chief

The Deputy Editor-in-Chief is the Secretary of the Association and Deputy Chairperson of the Board. Subject to the Act, the regulations, this Constitution and to any resolution passed by the Association in general meeting, the Deputy Editor-in-Chief must:

(a)  convene general meetings of the Association;
(b) create and maintain all records, decisions, policies and minutes of the Association;
(c)  ensure that all members of the Association have adequate notice of general meetings of the Association;
(d) create and maintain an accurate record of the published and unpublished contributions made to publications of the Association; and
(e) perform the role of Acting Editor-in-Chief when the Editor-in-Chief is on leave or otherwise unable to perform the duties of the office.
7.4.3 Managing Editor

The Managing Editor is the Treasurer of the Association. Subject to the Act, the regulations, this Constitution and to any resolution passed by the Association in general meeting, the Managing Editor must:

(a) create and maintain the books and financial records of the Association;
(b) manage the Association’s business relationships;
(c) prepare and manage the budget of the Association;
(d) report on the state of the budget at each Board meeting of the Association;
(e) prepare the annual financial statements of the Association.

7.5 Duties of General Editors

Subject to the Act, the regulations, this Constitution and to any resolution passed by the Association in general meeting, the General Editors must:

(a) perform any duties assigned to them by the Board;
(b) provide assistance to the Officers of the Association in the performance of their duties as requested by the Board; and
(c) manage and supervise sub-editors, staffwriters and contributors of publications.

7.6 Election of the Board of Editors

The Board of Editors is a rotational committee. Except where the contrary intention appears, four Members of the Board shall be elected by the ordinary members of the Association every six (6) calendar months to replace four retiring Members of the Board.

7.6.1 The term of office for a Member of the Board begins on the day following the last day of the examination period immediately following an election and ends on the last day of the examination period two semesters hence.

7.6.2 Members of the Board who have served twelve (12) calendar months must retire.

7.6.3 The Board must arrange an election to fill vacancies on the Board at least two (2) calendar months prior to vacancies being created.

7.6.4 The Returning Officer for elections for the Board must be a staff member of the University appointed by the Vice-Chancellor.

7.6.5 Elections for the Board must:

(a) be held by online ballot open to all ordinary members of the Association;
(b) be accompanied by at least two (2) official notices of the election delivered to all ordinary members of the Association by email at least once each week in the two weeks preceding the election;
(c) be accompanied by prominent and informative notices posted at the primary thoroughfares at each College and in Union Court; and
(d) be held over the course of five (5) consecutive academic days.
(e) be held in the last week of the teaching period of the semester prior to vacancies being created.

7.6.6 Nominations to fill vacancies on the Board must:

(a) be made to the Returning Officer at least three (3) weeks prior to the opening of the poll and include the name, student number, year of study, one (1) example of written, videographic, photographic or multimedia work which is the original work of the nominee, a short biography of two hundred (200) words or less, and any other submission the current board members deem necessary;
(b) following the close of nomination period, be displayed online (excluding student number) during the two (2) weeks prior to the opening of the poll and be viewed online by all voting ordinary members of the Association prior to casting their ballots;
(c) be invited at least five (5) weeks prior to the opening of the poll by email to all ordinary members of the Association.

7.6.7 A nomination is only valid where the nominee has previously made at least three (3) contributions over a period greater than two weeks duly recorded by the Deputy Editor-in-Chief.

7.6.8 Ascertaining result of poll

(a) The poll is to be conducted by optional preferential vote.
(b) The four (4) validly nominated ordinary members with the most votes following the distribution of preferences shall be declared elected to the Board.
(c) The Board may make regulations for the management of elections which are consistent with section 7.6.

7.7 Election of Office Bearers

7.7.1 The term of office for an Officer Bearer of the Association is six (6) calendar months or the remainder of their term of office as a Member of the Board, whichever is the lesser.

7.7.2 At the first meeting of a newly elected Board following an election, a secret ballot must be held for the Officer Bearers. This ballot is to be conducted by compulsory preferential vote.

7.8 Casual Board Vacancies

7.8.1 Where a casual vacancy exists on the Board, the Board must within two (2) days of being notified advertise the vacancy and invite written applications from ordinary members to fill the vacancy.

7.8.2 Written applications to fill the vacancy should take the same form of nominations in 7.6.6(a).
7.8.3 The application period must be open for at least two (2) calendar weeks.

7.8.4 The Board will consider applications to fill the vacancy, conducting interviews if necessary, and may decide to appoint the most meritorious applicant to the Board. A decision to appoint an applicant must be made within two (2) weeks from the close of nominations and be supported by at least five (5) Board members or the majority of the current membership of the Board, whichever is greater.

7.8.4 The Board may decide that the applications received are unsatisfactory and re-advertise for applications.

7.9 Removal and Resignation of Board Members

7.9.1 Where valid grounds exist for removal, a member of the Board may be removed by:

(a) a motion of no-confidence supported by two thirds of those present and voting at a general meeting of the Association; or

(b) a motion of no-confidence supported by all other members of the Board.

Three (3) working days notice must be given of any motion of no-confidence to the Board member who is the subject of the motion for the motion to be valid.

7.9.2 Valid grounds for removal of a member of the Board are:

(a) failure to attend three (3) or more meetings of the Board without apologies and an accompanying reasonable excuse;

(b) a breach or breaches of this Constitution or any regulations or orders made under this Constitution.

7.9.3 A Board member may resign from the Board in writing to the Editor-in-Chief.

PUBLICATIONS

8 The Association may publish a publication or publications in the name of the Association. A publication may be in hard copy and/or digital format.

9 The Association must publish a hard copy and online publication with the masthead **Woroni**

9.1 **Woroni** must be published at least once every two academic weeks of the academic year. Special editions of **Woroni** must be published for University Orientation Week and Bush Week.

10 A publication of the Association may not be published without the approval of the Board. The Board may only authorise publication where:

(a) the publication complies in its entirety with the Principles, as issued from time to time by The Australian Press Council, outlined in Schedule 1 of this
Constitution and is not otherwise contrary to this Constitution or any regulations or orders;

(b) the publication does not contain any material which may impose civil or criminal liability on the Association or members of the Board.

11 Notwithstanding section 10, the Board may only authorise publication of Woroni where at least six (6) Members of the Board vote in favour of authorising a publication.

11.1 The Board of Editors must set up a process for approving the publication of any other media as appropriate.

CO-OPTION OF ASSOCIATION MEMBERS

12 The Board may co-opt other ordinary members of the Association to a publication of the Association to perform the roles of sub-editors, staff writers and contributors, or in any other role that the Board may create from time to time.

12.1 Where the Board has a position/s to fill for a publication, the Board must publicly advertise the position/s and call for applications. The Board must make appointment decisions on merit.

12.2 Once appointed, a sub-editor, staff writer or contributor holds their role until removed by the Board.

12.3 Co-opted members enjoy indemnity from the Association for duties performed for the Association under section 25.

EMPLOYEES

13 The Board may appoint employees of the Association from time to time in accordance with relevant laws of the Australian Capital Territory and the Commonwealth, and any applicable industrial relations award or enterprise agreement. Employees of the Association must enjoy the same conditions and entitlements as employees of the University.

MEETINGS

14 General Meetings

A general meeting of the Association shall be called by the Deputy Editor-in-Chief at the direction of the Board, or upon receipt of a written request signed by at least twenty (20) ordinary members. There is no limit to the number of general meetings which may be held each year.

14.1 A general meeting must only be held on an academic day.

14.2 Except where the nature of the business proposed to be dealt with at a general meeting requires a special resolution of the Association, notice of the time, place and provisional agenda of a general meeting shall be given by advertisement to members at least seven (7) days prior to the meeting.

14.3 Advertisement shall be effected by:

(a) the placement of a notice in an official newspaper, website, or similar publication that the ANU Student Media might produce; and
prominent and informative notices posted at the primary thoroughfares at each College and in Union Court.

14.4 Matters for inclusion on the agenda of a general meeting, other than those matters requiring a special resolution, may be submitted by any member and must be given to the Deputy Editor-in-Chief at least two (2) academic days prior to the meeting. In any case there shall be an item on the agenda under which any other business may be raised by those present at the meeting.

14.5 The quorum at a general meeting shall be twenty (20) ordinary members.

14.6 Decisions at a general meeting must be by a majority vote of ordinary members present. In the event of a tie, the chairperson shall have a casting vote as well as a deliberative vote. At the request of any five (5) ordinary members present at the general meeting, a secret ballot shall be held. Voting by proxy shall not be permitted at any general meeting of the Association.

14.7 A general meeting must be run in accordance with standing orders determined by the Board.

14.8 The Chairperson at a general meeting is the Editor-in-Chief.

15 Annual General Meetings

15.1 The Annual General Meeting (AGM) of the Association must be convened by the Board within five (5) months of the end of the financial year, and must be held on an academic day. The financial year of the Association shall commence on the last day of December in each year, and end on the last day of November in the following year (in accordance with section 17.6.1 of this constitution).

15.2 The Editor-in-Chief’s Annual Report, the Managing Editor’s Annual Report, draft budget and duly audited Annual Financial Statements and such other reports as the Board determines shall be presented at the AGM and shall be made available for inspection by members at least fourteen (14) calendar days before the AGM.

15.3 The AGM must be conducted in accordance with the rules for general meetings with the additional provision that a notice of the AGM shall also be sent to every member for whom the Association has a University email address.

16 Board Meetings

16.1 The Board must meet at least once each fortnight during the academic year. Meetings of the Board may be convened at any time by the Editor-in-Chief.

16.2 The quorum at a meeting of the Board is five (5) members of the Board and must include at least two (2) Officers of the Association.

16.3 The Deputy Editor-in-Chief must provide notice to all members of the Board by email.

16.4 Decisions at a Board meeting shall be by a majority vote of members present. In the event of a tie, the chairperson shall have a casting vote as
well as a deliberative vote. At the request of any two (2) members present at the Board meeting, a secret ballot shall be held. Voting by proxy shall not be permitted at any Board meeting.

16.5 When necessary, the Board may approve motions through email or other such technologically mediated communicative forms, as long as the process to technologically approve such a motion has been previously authorized by the Board at any Board meeting. Any Board member may ask to postpone electronic discussion of the motion and ask for approval at a Board meeting.

FINANCE

17 The Association and the Board will exercise all due-care and diligence in the management of the financial affairs of the Association.

17.1 Members’ Benefit

No member of the Association shall benefit from any funds held by the Association except by way of bona fide remuneration for liabilities incurred on behalf of the Association.

17.2 Honoraria for Members of the Board

A general meeting of the Association may authorise the payment of honoraria to the Members of the Board.

17.3 Budget

The Managing Editor will prepare a budget, to be presented to the first meeting of the Board of the calendar year, for approval. The Managing Editor will report to each meeting of the Board on the current budgetary position of the Association.

17.4 Audited Statements

The Managing Editor will prepare all relevant financial statements and records for audit and presentation at the AGM in compliance with all relevant legislation. The Managing Editor must ensure that the audit is completed at least fourteen (14) working days before the AGM. In accordance with the relevant legislation, the Managing Editor must lodge the annual return of the Association within six (6) months of the end of each financial year.

17.5 Other Financial Provisions

17.5.1 The financial year of the Association shall commence on the first day of December in each year, and end on the last day of November in the following year.

17.5.2 The Association may maintain such bank accounts in its name as the Board deems necessary.

17.5.3 Withdrawal of monies from any bank account of the Association may only be authorised by any two (2) of the Editor-in-Chief, Deputy Editor-in-Chief and Managing Editor.

17.5.4 No person may incur liabilities on behalf of the Association without consulting the Editor-in-Chief or the Managing Editor.
Should a situation arise where actions taken in good faith have caused expenditure to exceed a budget estimate, then this fact must be reported to the next meeting of the Board.

17.6 Association Books

Subject to the relevant legislation the Deputy Editor-in-Chief must keep in their custody or under their control all records, books and other documents relating to the Association. The records, books and other documents of the Association shall be open to inspection in the office of the Association at any reasonable hour.

AUDITOR

18 An auditor, not being a member of the Association, will be appointed by the Board and will audit the financial affairs of the Association each financial year and certify as to the correctness of the Annual Financial Statements.

FREEDOM OF INFORMATION

19 Specified Documents

Upon receipt of a request in writing, the Board shall supply to any member of the Association within fourteen (14) days copies of:

(a) this Constitution, as amended;
(b) any regulations or orders of the Association;
(c) the minutes of any general meeting or Board meeting;
(d) audited financial statements and Managing Editor’s reports; (e) the current draft or approved budget; and
(f) the most up-to-date version of the record of the published and unpublished contributions made to publications of the Association maintained by the Deputy Editor-in-Chief.

20 General Freedom of Information

20.1 Upon receipt of a request in writing, the Board must within fourteen (14) days grant to any member of the Association access to documents or electronic records other than those referred to in section 19 produced by the Association, its officers, employees or the Board, except that the Deputy-in-Chief may restrict access to protect the confidentiality of any document or records.

20.2 Access to any document or record not produced by the Association, its officers, employees or the Board but which has been submitted to or is held by the Association explicitly or implicitly in confidence, must only be granted with the permission of the other parties to that document or record. All disputes concerning access shall be resolved by the Board.

COMMON SEAL

21 The Association will keep and maintain a Common Seal of the Association. The Common Seal must:

(a) be kept in the custody of the Editor-in-Chief; and
(b) not be attached to any instrument except by the authority of the Board and the attaching of the common seal must be attested by the
signatures of two (2) members of the Board, one (1) of whom must be the Editor-in-Chief or the Managing Editor.

INTERPRETATION

22 The Deputy Editor-in-Chief of the Association is empowered to interpret this Constitution. An alternative interpretation of this Constitution may only be made by:

(a) Two-thirds of those members present and voting at a meeting of the Board; or
(b) Two-thirds of those members present and voting at a general meeting of the Association.

REGULATIONS AND ORDERS

23 Subject to this Constitution, the Board may make such regulations or orders as it sees fit to assist the convenient conduct of the Association's business. These regulations may include, but are not necessarily limited to, standing orders to govern the conduct of any meeting of the Association or its members, methods by which meetings and elections are to be advertised, and electoral regulations to govern the conduct of any election held by the Association. These regulations or orders must be advertised and made available to all members.

ALTERATION OF THE CONSTITUTION

24 This Constitution may be amended by special resolution at any general meeting of the Association in accordance with section 15 except that at least twenty-one (21) days notice of the special resolution shall be given to members and a decision to amend the Constitution must require the support of three-quarters (75%) of ordinary members present and voting.

24.1 Full notice of proposed amendments to the Constitution shall be given to members at the same time and in the same way as notice of the general meeting at which the amendments are to be proposed.

24.2 The Deputy Editor-in-Chief must ensure that, once passed at a general meeting, amendments to the Constitution are submitted to the Council for ratification and thence to the relevant local authority.

24.3 No amendment to the Constitution will have any effect until ratified by the Council and, if necessary, by the relevant local authority.

INDEMNITY

25 All members and employees of the Association are indemnified by the Association against losses or expenses incurred by them in or about the discharge of their respective duties except against any liability that by law would otherwise attach to them in respect of any negligence, default, breach of duty or breach of trust of which they may be guilty in relation to the Association.

DISSOLUTION OR WINDING-UP

26 A motion may be put to dissolve or wind up the Association, subject to sub-sections 27.1, 27.2 and 27.3.

26.1 A motion to dissolve or wind up the Association may be considered at any general meeting of the Association where twenty-one (21) days’ notice of
such a general meeting has been given to members, accompanied by a
notice of intention to propose a motion to dissolve or wind up the
Association.

26.2 A motion to dissolve or wind up the Association must be passed by a
special resolution, at least three quarters (75%) of those present and
voting at a general meeting held in accordance with section 15.

26.3 In the event of the Association being dissolved, the amount that remains after
such dissolution and the satisfaction of all debts and liabilities shall be
transferred to another organisation with similar purposes which is not carried
on for the profit or gain of its individual members.

GRIEVANCES AND DISPUTES

27 Grievances and Disputes Panel

The Association has a Grievances and Disputes Panel to hear and resolve
grievances and disputes between members of the Association and members
of the Association and the Board. The Panel may also hear complaints about
publications of the Association from non-members.

27.1 Membership of the Panel

The Grievances and Disputes Panel has three (3) members:

(a) one (1) ordinary member of the Association appointed by the
Board who must not be a member of the Board or a co-opted
member;
(b) one (1) ordinary member of the Association elected at the
Annual General Meeting of the Association who must not be a
member of the Board or a co-opted member; and
(c) one (1) staff member of the University appointed by the Vice-
Chancellor.

The ordinary members of the Panel appointed by the Board and the Annual
General Meeting must be appointed annually. A general meeting
may remove a Panel member.

27.2 Grievances and Disputes

The Grievances and Disputes Panel may hear and resolve grievances and
disputes relating to:

(a) an alleged breach or breaches of this Constitution or any
orders or regulations made under this Constitution by an
ordinary member of the Association and/or the Board;
(b) an alleged breach of the Press Council Principles in Schedule
1 of this Constitution by an ordinary member of the Association
and/or the Board;
(c) an alleged breach of the Code of Conduct in Schedule 2 of this
Constitution by an ordinary member of the Association and/or the
Board.

In determining whether to uphold or dismiss a complaint the
Grievances and Disputes Panel must apply accepted principles of
natural justice, including the provision of a fair and timely hearing and
published reasons for a decision.
27.3 Remedies

If a complaint is upheld, the Grievances and Disputes Panel may:
(a) revoke or suspend the membership of the Association of the person/s found to be in breach;
(b) impose a fine (which may not exceed $100AUD) on the person/s found to be in breach, the non-payment of which will result in the revocation of membership;
(c) where the complaint relates to a breach of the Press Council Principles in Schedule 1, require the publication concerned to promptly and prominently publish the decision and/or issue a retraction.

RIGHT TO APPEAL

28 Right of appeal of disciplined member

28.1 A disciplined member may appeal a decision of the Grievances and Disputes Panel at a general meeting.

28.2 The disciplined member must notify, in writing, the Deputy Editor-in-Chief of their intention to appeal within 7 academic days of being informed of the decision of the Grievances and Disputes Panel.

28.3 The Deputy Editor-in-Chief on receipt of a notice under subsection (2) must notify the Board within 1 academic day. The Board must subsequently direct the Deputy Editor in Chief to call a general meeting of the association within 21 academic days of when notice of the appeal was received.

28.4 At this general meeting of the association called under subsection (3):

28.4.1 the only business which may be considered is the appeal against the Panel's decision;

28.4.2 the Grievances and Disputes Panel and the member must be given the opportunity to make representations in relation to the appeal orally or in writing, or both; and,

28.4.3 the members present must vote by secret ballot on the question of whether the resolution made under section 28.3 should be confirmed or revoked.
SCHEDULES

Schedule 1 – Press Council Principles

The freedom of the press to publish is the freedom, and right, of the people to be informed. These are the justifications for upholding press freedom as an essential feature of a democratic society. This freedom includes the right to publish the news, without fear or favour, and the right to comment fairly and responsibly upon it.

The freedom of the press is important more because of the obligation it entails towards the people than because of the rights it gives to the press. Freedom of the press carries with it an equivalent responsibility to the public. Liberty does not mean licence.

The Board must ensure that publications of the Association are published in line with the Principles in this Schedule.

Principles

1. Publications should take reasonable steps to ensure reports are accurate, fair and balanced. They should not deliberately mislead or misinform readers either by omission or commission.

2. Where it is established that a serious inaccuracy has been published, a publication should promptly correct the error, giving the correction due prominence.

3. Where individuals or groups are a major focus of news reports or commentary, the publication should ensure fairness and balance in the original article. Failing that, it should provide a reasonable and swift opportunity for a balancing response in an appropriate section of the publication.

4. News and comment should be presented honestly and fairly, and with respect for the privacy and sensibilities of individuals. However, the right to privacy is not to be interpreted as preventing publication of matters of public record or obvious or significant public interest. Rumour and unconfirmed reports should be identified as such.

5. Information obtained by dishonest or unfair means, or the publication of which would involve a breach of confidence, should not be published unless there is an over-riding public interest.

6. Publications are free to advocate their own views and publish the by-lined opinions of others, as long as readers can recognise what is fact and what is opinion. Relevant facts should not be misrepresented or suppressed, headlines and captions should fairly reflect the tenor of an article and readers should be advised of any manipulation of images and potential conflicts of interest.

7. Publications have a wide discretion in publishing material, but they should balance the public interest with the sensibilities of their readers, particularly when the material, such as photographs, could reasonably be expected to cause offence.

8. Publications should not place any gratuitous emphasis on the race, religion, nationality, colour, country of origin, gender, sexual orientation, marital status, disability, illness, or age of an individual or group. Where it is relevant and in the public interest, publications may report and express opinions in these areas.
Schedule 2 – Code of Conduct

Board members, sub-editors and staff are expected to carry out their duties honestly, responsibly and impartially to the best of their ability. This Code of Conduct applies to Board members, sub-editors and staff during those times in which they perform work for the Association. It does not apply to conduct engaged in outside of official work.

**Exercising care and diligence**

All Board members, sub-editors and staff members should:

(a) carry out their duties in a professional, responsible and conscientious manner;
(b) carry out official directions and policies in a faithful, impartial and transparent manner;
(c) ensure decisions can be seen to be reasonable, fair and appropriate to the circumstances based on consideration of all the relevant facts;
(d) report genuinely suspected or known fraud or corrupt conduct to appropriate authorities;
(e) take reasonable steps to ensure adequate protection of all confidential information;
(f) take reasonable steps to ensure compliance with intellectual property laws;
(g) maintain as appropriate the confidentiality of Association dealings when interacting with outside organisations and others within the Association;
(h) maintain adequate security over Association property, facilities and resources and information;
(i) ensure that Association resources are managed effectively and efficiently.

**Respect and fair treatment of people**

This obligation covers the conduct of Board members, sub-editors and staff members in their dealings with others including students, staff of the University and members of the community.

All Board members, sub-editors and staff members should:

(a) treat others with respect;
(b) treat others with courtesy, fairness and equity;
(c) engage in conduct that is respectful of differences and non-discriminatory on the basis of sex, race, sexuality, disability, cultural background, religion, marital status, age, union affiliation, political conviction or family responsibilities;
(d) avoid behaviour that may be reasonably perceived as harassing, intimidating, overbearing, bullying or physically or emotionally threatening;
(e) be responsive, courteous and prompt in Association dealings.
C*12. Confidential to Council Members
13. VOLUNTARY CODE OF BEST PRACTICE FOR THE GOVERNANCE OF AUSTRALIAN UNIVERSITIES

PURPOSE
To consider the University's conformance with the Voluntary Code of Best Practice for the Governance of Australian Universities.

AUTHOR
Director, Corporate Governance and Risk Office

SPONSOR
Chancellor

RECOMMENDATION
That the University's conformance with the Voluntary Code of Best Practice for the Governance of Australian Universities be confirmed for the 2013 reporting period (10/2014).

ACTION REQUIRED
For decision ☑

SUPPORTING MATERIAL
Council adopted the Voluntary Code of Best Practice for the Governance of Australian Universities on 23 September 2011.

Voluntary Code – Statement of Conformance
The attached statement is presented to Council to demonstrate the University's conformance with the Voluntary Code.

ATTACHMENT
13.1 Voluntary Code of Best Practice in University Governance (147/2011)
13.2 Statement of conformance with Voluntary Code (10/2014)
VOLUNTARY CODE OF BEST PRACTICE FOR THE GOVERNANCE OF AUSTRALIAN UNIVERSITIES

1: A university should have its objectives and/or functions specified in its enabling legislation.¹

2: A university's governing body should adopt a statement of its primary responsibilities, to include:
   (a) appointing the Vice-Chancellor as the Chief Executive Officer of the university, and monitoring his/her performance;
   (b) appointing other senior officers of the university as considered appropriate;
   (c) approving the mission and strategic direction of the university, as well as the annual budget and business plan;
   (d) overseeing and reviewing the management of the university and its performance;
   (e) establishing policy and procedural principles, consistent with legal requirements and community expectations;
   (f) approving and monitoring systems of control and accountability, including general overview of any controlled entities. A controlled entity is one that satisfies the test of control in s.50AA of the Corporations Act;
   (g) overseeing and monitoring the assessment and management of risk across the university, including commercial undertakings;
   (h) overseeing and monitoring the academic activities of the university;
   (i) approving significant commercial activities of the university.

A university's governing body, while retaining its ultimate governance responsibilities, may have an appropriate system of delegations to ensure the effective discharge of these responsibilities.

3: A university should have the duties of the members of its governing body and sanctions for the breach of these duties

¹ In the case of a university established under the Corporations Act, a reference to enabling legislation is taken to mean its constitution and/or, where applicable, the Corporations Act.
specified in its enabling legislation. Other than the Chancellor, the Vice-Chancellor and the Presiding Member of the Academic Board, each member should be appointed or elected ad personam. All members of the governing body should be responsible and accountable to the governing body. When exercising the functions of a member of the governing body, a member of the governing body should always act in the best interests of the university.

Duties of members should include the requirements to:
(a) act always in the best interests of the university as a whole, with this obligation to be observed in priority to any duty a member may owe to those electing or appointing him or her;
(b) act in good faith, honestly and for a proper purpose;
(c) exercise appropriate care and diligence;
(d) not improperly use their position to gain an advantage for themselves or someone else; and
(e) disclose and avoid conflicts of interest (with appropriate procedures for that purpose similar to those for public companies).

There should be safeguards, exemptions and protections for members of a university’s governing body for matters or things done or omitted in good faith in pursuance of the relevant legislation. Without limitation, this should include such safeguards, exemptions and protections as are the equivalent of those that would be available were the member a director under the Corporations Act. A university (with the exception of those subject to the Corporations Act) should have a requirement included in its enabling legislation that its governing body has the power (by a two-thirds majority) to remove any member of the governing body from office if the member breaches the duties specified above. A member should be required, automatically, to vacate the office if he or she is, or becomes, disqualified from acting as a Director of a company or managing corporations under Part 2D.6 of the Corporations Act.

4: If permitted by its enabling legislation, a university should develop procedures;
a) to provide that the Chancellor and Deputy Chancellor hold office subject to retaining the confidence of the governing body; and

b) to deal with removal from office if the governing body determines that such confidence is no longer held.

5: Each governing body should make available a programme of induction and professional development for members to build the expertise of the governing body and to ensure that all members are aware of the nature of their duties and responsibilities.

6: On a regular basis, at least once each two years, the governing body should assess its performance, the performance of its members and the performance of its committees. The Chancellor should have responsibility for organising the assessment process, drawing on external resources if required. On an annual basis, the governing body should also review its conformance with this Code of Best Practice and identify needed skills and expertise for the future.

7: The size of the governing body should not exceed 22 members. There should be at least two members having financial expertise (as demonstrated by relevant qualifications and financial management experience at a senior level in the public or private sector) and at least one member with commercial expertise (as demonstrated by relevant experience at a senior level in the public or private sector). Where the size of the governing body is limited to less than 10 members, one member with financial expertise and one with commercial expertise would be considered as meeting the requirements. There should be a majority of external independent members who are neither enrolled as a student nor employed by the university. There should not be current members of any State or Commonwealth parliament or legislative assembly other than where specifically selected by the governing body itself.

8: A university should adopt systematic procedures for the nomination of prospective members of the governing body for those categories of members that are not elected. The responsibility for proposing such nominations for the governing body may be delegated to a nominations committee
of the governing body that the Chancellor would ordinarily chair.

Members so appointed should be selected on the basis of their ability to contribute to the effective working of the governing body by having needed skills, knowledge and experience, an appreciation of the values of a university and its core activities of teaching and research, its independence and academic freedom and the capacity to appreciate what a university’s external community needs from it.

To provide for the introduction of new members consistent with maintaining continuity and experience, members’ terms should generally overlap and governing bodies should establish a maximum continuous period to be served. This should not generally exceed 12 years unless otherwise specifically agreed by the majority of the governing body.

9: A university should codify its internal grievance procedures and publish them with information about the procedure for submitting complaints to the relevant ombudsman or the equivalent relevant agency.

10: The annual report of a university should be used for reporting on high level outcomes.

11: The annual report of a university should include a report on risk management within the organisation.

12: The governing body should oversee controlled entities by:
(a) ensuring that the entity’s board possesses the skills, knowledge and experience necessary to provide proper stewardship and control of the entity;
(b) appointing some directors to the board of the entity who are not members of the governing body or officers or students of the university;
(c) ensuring that the board of the entity adopts and regularly evaluates a written statement of its own governance principles;
(d) ensuring that the board documents a clear corporate and business strategy which reports on and updates annually the entity’s long-term objectives and includes an annual
business plan containing achievable and measurable performance targets and milestones; and
(e) establishing and documenting clear expectations of reporting to the governing body, such as a draft business plan for consideration and approval before the commencement of each financial year and at least quarterly reports against the business plan.

13: A university should assess the risk arising from its involvement in the ownership of any entity (including an associated company as defined in the Accounting Standards issued by the Australian Accounting Standards Board), partnership and joint venture. The governing body of a university should, where appropriate in light of the risk assessment, use its best endeavours to obtain an auditor’s report (including audit certification and management letter) of the entity by a State, Territory or Commonwealth Auditor-General or by an external auditor.

14: A University should disclose in its Annual Report its compliance with this Code of Best Practice and provide reasons for any areas of non-compliance.
## Principle 1

**ANU has specified its objectives and/or functions in enabling legislation.**  
Sections 5, 6 of ANU Act 1991 (Cth).

## Principle 2

**Council has adopted a statement of its primary responsibilities (including all except (b) of the listed responsibilities).**  
On 13 August 2004, Council adopted a ‘Statement of Primary Responsibilities’ (491/2004) which was added to on 27 May 2011. On 23 September 2011, Council delegated to the Vice-Chancellor its authority to appoint other senior officers of the University. On 26 July 2013, Council delegated to the Vice-Chancellor its authority to make Rules in addition to the Council’s power in relation to specified Statutes.

The University Council, while retaining its ultimate governance responsibilities, may have an appropriate system of delegations to ensure the effective discharge of these responsibilities.

See ANU’s ‘Delegations of Authority’ policy (201212728) located at:  

For Financial delegations of authority see:  

For Executive Delegations see:  
- [http://about.anu.edu.au/__documents/delegations/category/01exec.pdf](http://about.anu.edu.au/__documents/delegations/category/01exec.pdf)

See also:  
- Terms of Reference for Finance Committee located at:  
- Audit and Risk Management Committee Charter, located at:  
  - [http://about.anu.edu.au/__documents/committees/armc_charter.pdf](http://about.anu.edu.au/__documents/committees/armc_charter.pdf)

## Principle 3

**ANU’s enabling legislation specifies the duties of the members of the University Council and sanctions for the breach of those duties.**  
- For duties of Council members see sections 18A, B, C, D, E, F of ANU Act 1991 (Cth).
- For sanctions of Council members see subsection 15(1)(k) of ANU Act 1991 (Cth).

Except for the Chancellor, Vice-Chancellor, members of University Council are elected ad personam.

- See section 3 of ANU Act 1991 (Cth) for definition of ‘ex officio’ member.  
- See also s 10(1) of ANU Act 1991 (Cth) for constitution of Council.

The ANU has appropriate conflict of interest procedures similar to those of a public company.

- For procedures related to conflicts of interest and material personal interest see 27F, 27G, 27H, 27J, 27K of Commonwealth Authorities and Companies Act 1997 (Cth) and s 18F of ANU Act 1991 (Cth).
- See also the ‘Conflict of Interest and Commitment Policy’ (which applies to all staff) located at:  
See also the ‘Code of Conduct’ Policy (which applies to all staff) located at: https://policies.anu.edu.au/ppl/document/ANUP_000388.

|----------------------------|--------------------------------------------------------------------------------------------------|

<table>
<thead>
<tr>
<th>University Council to have the power (in enabling legislation) to remove, by at least a 2/3 majority, a member who has breached his/her duties.</th>
<th>See subsection 15(1)(k) of ANU Act 1991 (Cth).</th>
</tr>
</thead>
</table>

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<tr>
<th>Member of University Council must automatically vacate his or her office if he or she becomes disqualified from acting as a director of a company or managing a corporation under Part 2D.6 of the Corporations Act 2001 (Cth).</th>
<th>See subsection 15(1)(j) of the ANU Act 1991 (Cth).</th>
</tr>
</thead>
</table>

### Principle 4

Procedures should be developed to provide that the Chancellor and Pro-Chancellor hold offices subject to retaining the confidence of Council and to deal with removal of office if such confidence is no longer held.

*On 7 December 2012, Council approved revised Chancellor and Pro-Chancellor Statutes.*

### Principle 5

University Council must make available a program of induction and professional development for members.

- See induction handbook
- See Council resolution (Minute item 11 of meeting held on 13 August 2004) for the commencement of induction program and continual assessment of performance and conformity with National Governance Protocol for HEPs.
- An induction session is held for new Council members, including meetings with the Chancellor, Vice-Chancellor and Chief Finance Officer
- The following presentations have been given to Council in 2013 and discussed in the form of strategic issue topics:
  1. 15 February – Strategic Communications and Public Affairs
  2. 22 March – International Education and Student Mobility
  3. 24 May – Information and Communications Technology Program of Works; Online Education; and Melbourne Engagement Strategy
  4. 27 July – Innovation ANU
  5. 4 October – ANU Education: Equity and Indigenous Targets; and Enhancing a Performance Culture at ANU
  6. 6 December – ANU Research: 2013 Performance and 2014 Priorities; and ANU Business Model
- 2 Council members attended the University Australia Higher Education Conference 2013.
The professional development programme ensures that all members are aware of the nature of their duties and responsibilities. - See Council resolution for the commencement of induction program and continual assessment of performance and conformity with National Governance Protocol for HEPs
- See Handbook for Council members
- on 4 October 2013, Council considered an item and briefing from the University Counsel on the Public Governance, Performance and Accountability Act 2013 which will replace the CAC Act 1977 and the Financial Management and Accountability Act 1997 from 1 July 2014.
- a statement of the role and responsibilities is included in the letter of appointment sent to appointed and elected Council members.

### Principle 6

**At least once each 2 years, Council assesses its performance, the performance of its members and the performance of its committees.**

In December 2012 and January 2013, the Chancellor met with Council members to give and receive feedback on individual performance and to discuss the performance of Council and related governance issues including the general role of Council, structure and membership and Council meetings. A report on the outcome was tabled at the Council retreat held on 15 February 2013 as part of a Council discussion on aspects of its operations and performance. A program of strategic discussion topics and site visits was agreed by Council on 22 March 2013. Reports on the self-assessment of the Finance Committee and the Audit and Risk Management Committee were considered by Council on 25 May 2012 and 21 September 2012 respectively.

**Annually, Council reviews its conformance with the Code of Best Practice and identifies needed skills and expertise for the future.**

Needed skills and expertise for the future were discussed at the Council retreat held on 15 February 2013. Council reviews its conformance to the Code on an annual basis.

### Principle 7

**The size of Council does not exceed 22 members.**

- See subsections 10(1), (2), (3), (4), (5), (6), (7), (8), (9), (10) of ANU Act 1991 (Cth).
- See attached ‘Governing Body Membership Register’ for ANU’s current compliance to principle 7 generally.

**Council has at least two members with financial expertise.**

See subsection 10(4) of ANU Act 1991 (Cth).

**Council has at least one member with commercial expertise.**

See subsection 10(5) of ANU Act 1991 (Cth).

**Council has a majority of external independent members who are neither enrolled as students nor employed by the ANU.**

- See subsections 10(1), (2), (6) of the ANU Act 1991 (Cth).
- See “Council Guidelines – Nominations Committee of Council”

**There should not be current members of any State or Commonwealth parliament or legislative assembly other than where specifically selected by the Council.**

- See subsection 10(6)(a) of the ANU Act 1991 (Cth).
- See “Council Guidelines – Nominations Committee of Council”
Principle 8
The ANU has adopted systematic nomination procedures for those categories of prospective members that are not elected.
- See subsections 10(1)(q), (2), (3), (4), (5), (6) of the ANU Act 1991 (Cth).
- See “Council Guidelines – Nominations Committee of Council”.

Members so appointed are selected on the basis of their ability to contribute to the effective working of the Council.
See subsection 10(3) of the ANU Act 1991 (Cth).

Member’s terms overlap and Council has established the maximum period to be served.
- For appointed members’ terms see “Council Guidelines - Nominations Committee of Council” (members’ terms generally not to exceed eight years). This maximum period for new Council members was confirmed at the Council retreat held on 17 February 2012.
- For elected members see Membership of the Council Rules 2013 located at: http://about.anu.edu.au/__documents/rules/mshipcouncilrules.pdf
- For terms of current members, see: http://about.anu.edu.au/governance-structure/council/council-membership

Principle 9
ANU codifies its internal grievance resolution procedures and publishes this information.
For staff grievance resolution processes, see: https://policies.anu.edu.au/ppl/document/ANUP_000466
- For grievances related to Discrimination and Harassment see:
  - Procedure for Grievance Resolution (located at: https://policies.anu.edu.au/ppl/document/ANUP_000500);
  - For grievances related to students see:
  - Student Complaint Resolution Procedure
  - Appeals & Grievances

Principle 10
The ANU annual report must be used to report on high-level outcomes.
See ANU Annual Report 2012‘ located at: http://about.anu.edu.au/profile/annual-reports

Principle 11
The Annual Report includes a report on risk management within the
See section of 2012 Annual Report headed ’Risk management‘.
**Principle 12**

The University Council should oversee ANU controlled entities by:

- Ensuring that the entity’s board possesses necessary skills etc.
- Appointing some directors to the board who are not members of the University Council or officers or students of the ANU, where possible.
- Ensuring that the board regularly adopts and evaluates a written statement of its own governance principles.
- Ensuring that the board documents a corporate and business strategy, including a business plan.
- Establishing and documenting clear reporting expectations.

The University’s description of conformance is attached.

**Principle 13**

The ANU should assess the risk arising from its involvement in the ownership of any entity (including an associated company as defined in the Accounting Standards issued by the Australian Accounting Standards Board), partnership and joint venture. Council should, where appropriate in light of the risk assessment, use its best endeavours to obtain an auditor’s report (including audit certification and management letter) of the entity by a State, Territory or Commonwealth Auditor-General or by an external auditor.

The University’s description of conformance is attached.

**Principle 14**

The ANU should disclose in its Annual report its compliance with the Code of Best Practice and provide reasons for any areas of non-compliance.

See section of 2012 Annual Report headed ‘Governance’.
Statement on Conformance with Principle 12

The governing body should oversee controlled entities by:

a) ensuring that the entity's board possesses the skills, knowledge and experience necessary to provide proper stewardship and control of the entity;
b) appointing some directors to the board of the entity who are not members of the governing body or officers or students of the university;
c) ensuring that the board of the entity adopts and regularly evaluates a written statement of its own governance principles;
d) ensuring that the board documents a clear corporate and business strategy which reports on and updates annually the entity’s long-term objectives and includes an annual business plan containing achievable and measurable performance targets and milestones; and
e) establishing and documenting clear expectations of reporting to the governing body, such as a draft business plan for consideration and approval before the commencement of each financial year and at least quarterly reports against the business plan.

Statement on Conformance

The Australian National University has five relevant controlled entities:
ANU Enterprise Pty Ltd
ANU (UK) Foundation
BRU Holdings Pty Ltd
SA2 Holdings Pty Ltd
ANU Section 68 Pty Ltd

(a), (b)
All controlled entities’ boards possess the skills, knowledge and experience necessary to provide proper stewardship and control of the entity.

The ANU Enterprise and ANU (UK) Foundation boards each comprise a mix of senior ANU staff and independent external directors, with appropriate skills experience in business, development, accounting and higher education.

The BRU Holdings, SA2 Holdings and ANU Section 68 boards comprise the ANU Chief Financial Officer and ANU Investment Director. These entities are specific purpose vehicles relating to participation in a building development (ANU Section 68), and construction and operation of student accommodation (BRU Holdings, SA2 Holdings). Board membership is appropriate in the circumstances of the limited scope of the entities.

(c), (d), (e)
ANU Enterprise Governance Principles were approved by Council on 10 December 2004 (1395/2004). A revised Constitution was approved on 5 December 2008 (1336/2008). ANU Enterprise provides an annual business plan and proposed budget, as well as regular reports on financial performance and other operational matters, to the University Finance Committee and to Council. ANU Enterprise is audited by the Australian National Audit Office as required by section 12 of the CAC Act 1997.

ANU (UK) Foundation is constituted in England and Wales, for the purpose of facilitating donations and bequests that may arise in the United Kingdom. To date, no relevant donations or bequests have occurred. The entity is presently non-operational, however Directors and Trustees Reports and Financial Statements are provided annually to Council. ANU (UK) Foundation is exempted from the requirement for Australian National Audit Office audit. Accounts are prepared by an independent accountant.

BRU Holdings and SA2 Holdings are specific purpose vehicles relating to construction and operation of student accommodation. Budgets and business plans are considered and approved annually by the University Finance Committee, and considered by the Council. Regular reports are also received outlining financial performance and forecast occupancy levels. BRU Holdings and SA2 Holdings are audited by the Australian National Audit Office as required by section 12 of the CAC Act 1997.
Statement on Conformance with Principle 13

A university should assess the risk from its involvement in the ownership of an entity (including an associated company as defined in the Accounting Standards issued by the Australian Accounting Standards Board), partnership and joint venture. The governing body of a university should, where appropriate in light of the risk assessment, use its best endeavours to obtain an auditor’s report (including audit certification and management letter) of the entity by a State, Territory or Commonwealth Auditor-General or by an external auditor.

Statement on Conformance

Investments in associated entities including Joint Ventures are only considered after due diligence and risk assessment has been undertaken by the University’s Investment Office. The identification of potential risks associated with investment transactions and the management of these risks forms part of the Investment Director’s responsibilities. The University endorses AS/NZS ISO 31000:2009 Risk management – Principles and guidelines and has implemented a Risk Management policy.

The Investment Advisory Committee, a sub-committee of the Finance Committee, considers significant investments and risk structures. The Investment Advisory Committee comprises the Vice-Chancellor, Chair of Finance Committee Chief Finance Officer, and six persons with expertise in finance and investments. Other partnerships or joint ventures may be entered into by the University on the basis of recommendations by the Senior Executive after due diligence and input from a range of offices and committees.

The University Technology Transfer Office and Business Development Office within the Innovation & Advancement portfolio also have a significant role in overseeing frameworks for developing associated entities from research to operational ventures such as spin-off companies in relation to utilisation of ANU Intellectual Property.

For the purposes of equity accounting of associated entities, ANU obtains copies of the most recent financial statements. Based on the risk profile of the entity, an external auditor generally undertakes the audit of associates. The University has not historically sought to acquire a management letter, as the audit reports are unqualified.
PART 4 – OTHER MATTERS FOR NOTING

C*14 - 17.  Confidential to Council Members
18. POWER OF ATTORNEY

PURPOSE To note a list of documents signed under Power of Attorney by the Investment Manager and Acting Investment Manager between 27 November 2013 and 14 January 2014.

AUTHOR Investment Manager

SPONSOR Vice-Chancellor

RECOMMENDATION That Council note that the Investment Manager and the Acting Investment Manager exercised the Power of Attorney over the attached transactions between 27 November 2013 and 14 January 2014 (15/2014).

BACKGROUND

This Power of Attorney was granted to the Investment Manager and executed under the Common Seal of the University on 4 September 2003.

ATTACHMENT

18.1 List of transactions over which the Investment Manager and Acting Investment Manager exercised Power of Attorney between 27 November 2013 and 14 January 2014 (15/2014)
## POWER OF ATTORNEY 27 NOVEMBER 2013 TO 14 JANUARY 2014

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<tr>
<th>No.</th>
<th>Date</th>
<th>Reference</th>
<th>Description</th>
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<tr>
<td>1087</td>
<td>27/11/2013</td>
<td>200601687</td>
<td>Endoluminal Sciences Pty Ltd (Company) Pre-emption Waiver re the issue by the company of 55,000 ordinary shares to Reidsdale on conversion of 44,225 convertible notes on behalf of the Long Term Investment Pool</td>
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<td>27/11/2013</td>
<td>201211749</td>
<td>Annex 3 Instructions to Sign Form re the university’s holding of $3,000,000 Lloyds 03 debt instrument on behalf of the Long Term Investment Pool</td>
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<td>1089</td>
<td>28/11/2013</td>
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<td>Request for Direct Credit of Payments re the University’s holding of Paragon Care Limited on behalf of the Long Term Investment Pool</td>
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<td>1090</td>
<td>02/12/2013</td>
<td>200604210</td>
<td>Appointment of Proxy (The Chairman of the Meeting) to vote as directed by the University at the Valad ICA Property Development Fund No 3 Pty Ltd on behalf of the Long Term Investment Pool</td>
</tr>
<tr>
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<td>11/12/2013</td>
<td>200601687</td>
<td>Endoluminal Sciences Pty Ltd (Company) Pre-emptive Waiver re the issue by the Company of 58,820 ordinary shares to Reidsdale on conversion of 44,225 convertible notes on behalf of the Long Term Investment Pool</td>
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<td>11/12/2013</td>
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<td>Name Correction Request and Indemnity re the University’s holding of Industria REIT on behalf of the Long Term Investment Pool</td>
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19. UNIVERSITY SEAL REPORT

PURPOSE
To inform Council of the uses of the University Seal.

AUTHOR
Manager, Vice-Chancellor’s Office

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the document to which the University Seal has been affixed since the meeting of Council held on 6 December 2013.

ACTION REQUIRED
For discussion ☐ For decision ☐ For information ☑

SUPPORTING MATERIAL

The University Seal Statute 2002 came into effect on 11 June 2002. The Statute provides that the seal of the University must not be used except upon the order of the Council or as provided by the Statute. Section 5 of the Statute provides that:

1. Affixing of seal to other documents
   a. If a document is required to be under the seal of the University but the affixing of the seal is not authorised by section 4, the Chancellor or the Vice-Chancellor may direct the custodian to affix the seal of the University to the document, and, at the first opportunity, the Chancellor or the Vice-Chancellor, as the case requires, must report to the Council the action so taken.

A list of documents to which the University Seal has been affixed since the meeting of Council held on 6 December 2013 is as follows:

20 JANUARY 2014 DEED OF INDEMNITY & ACCESS – COUNCIL MEMBER – CAMERON WILSON
20. ACADEMIC BOARD REPORT

PURPOSE  To note a report from the Academic Board.

AUTHOR  Chair, Academic Board

SPONSOR  Vice-Chancellor

RECOMMENDATION  That Council note a report from the meeting of the Academic Board held on 29 November 2013 (286/2013).

ACTION REQUIRED  For discussion ☐  For decision ☐  For information ✓

ATTACHMENT
20.1 Academic Board report (286/2013)
REPORT TO COUNCIL
ACADEMIC BOARD MEETING 6, 2013
of Friday 29 November 2013
(extracted from the unconfirmed minutes)

Part 1 – Procedural Items

Item 3 Minutes

The Board confirmed the Minutes (996/2013) of Meeting 5/2013 of the Academic Board held on 27 September 2013.

Part 2 – Information Flow

Item 8 Reports from Senior Executive Officers

8.1 Report from the Deputy Vice-Chancellor (Academic)

The Board noted the report from the Deputy Vice-Chancellor (Academic) as follows:

1. Office of Learning and Teaching (OLT) Australian Awards for University Teaching
Dr Carol Hayes, Senior Lecturer from ANUCAP in Japanese Studies, has received an Award at the Australian Awards for University Teaching in Humanities and the Creative Arts. This latest award for ANU highlights both Dr Hayes’ innovations, and the University’s continuing success in the national recognition of outstanding teaching. It was one of twenty-one awards given nationally.

2. Higher Education Academy (HEA)
Eight members of staff have been accredited as Fellows with the HEA. Professor Michael Martin has been conferred the status of Principal Fellow and other staff from across the campus as Senior Fellow or Fellow. Two further applications are in progress. Professor Hughes-Warrington congratulated the Colleges on this success.

3. Diploma of Languages
The University has received confirmation from the Federal Government of an increase in the Diploma of Languages load by 35 EFTSL in 2014. ANU has had a leading role in the initiative that has seen the award of Diploma of Languages load across the sector.

4. Shell Australia Innovation Challenge
Professor Malcolm Sambridge and his project team have been awarded the Education prize for their ‘Australian Seismometers in Schools Network’. Professor Hughes-Warrington congratulated RSES on this outreach activity.

5. Study Group Australia
ANU College’s ownership has been transferred to Study Group Australia. The Deputy Vice-Chancellor (Academic) thanked ASQO, ANU College, ANU Enterprise and all who had been involved in making this achievement possible.

It was noted that ANU College has been part of ANU Enterprise up until the transfer. It would continue under similar conditions and offer the same programs as an international pathway for students. The partnership provided for strengthened quality assurance arrangements and opportunities for new programs. TEQSA has accredited the arrangement.
In response to a question about Commonwealth Supported Places (CSP), Professor Hughes-Warrington advised that the majority of places are designated for the Diploma of Languages. She foresaw no significant change to the current allocations for the future with regard to ANU College given current Commonwealth restrictions on sub-degree places.

8.2 Report from the Deputy Vice-Chancellor (Research)

The Board noted the following report from the Deputy Vice-Chancellor (Research). Other information is available in the December edition of Research News.

1. Prestigious Awards
Members and Colleges were asked to inform the Office of the Deputy Vice-Chancellor (Research) of all prestigious awards for inclusion in the monthly research newsletter. The Shell Australia Innovation Challenge presentations had been held last evening. Four teams from ANUCPMS were represented at the awards: Professor Martin Banwell (Agriculture and Food), Mr Neil Radford (Manufacturing and Hi-Tech Design), Professor Malcolm Sambridge (Education), and Dr Victor Pantano (Minerals and Energy). The team led by Malcolm Sambridge was awarded the prize for education for the NCRIS-supported outreach program, the ‘Australian Seismometers in School Network’ receiving the award in the education category.

2. ARC Results
ANU has achieved outstanding results in the 2014 ARC Funding announcement. Taking into account all ARC Schemes announced so far in 2013, ANU is placed third by a slim margin in terms of funding into the University.

3. ERA 2015
Aggressive planning is in progress around ERA 2015. This includes monthly briefings and regular website updates for engagement and planning. The University is awaiting confirmation from the ARC on the rules and timeline and is progressing on the basis of information that has been made available.

4. Pathways to PhD Working Party Update
Professor Corbett advised that the Working Party would be meeting early in 2014. Some informal discussion has commenced. The work of the group will cover areas across honours and intersect with concurrent discussions by the Honours Working Party. ‘Pathways to PhD’ was a major topic at the Deans and Directors of Graduate Studies meeting held recently in Hobart.

Item 9 Report from the Chair

The Board noted the Chair’s report (1125/2013) on:

- Membership changes
- Academic Board Self-Assessment Survey
- Nominating Hot Topics
- Academic Board Meeting 1/2014, 25 February. This will be the last meeting before elections for membership of the Board are conducted.

The Deputy Vice-Chancellor (Academic) reported on behalf of the Vice-Chancellor on the appointment of an incoming Chair of the Academic Board. The appointment is made by Council on the recommendation of the Vice-Chancellor. As Professor Wheeler’s term finishes before the March meeting of Council, the Vice-Chancellor’s recommendation to appoint Professor Glasgow as Chair for a term of two years to 22 March 2016 has been submitted for Council approval at its meeting on 6 December 2013. An election to fill the consequential vacancy in the position of Deputy Chair will be held early in 2014. Professor Hughes-Warrington congratulated Professor Wheeler on her chairing and Professor Glasgow on his nomination.
Item 10  Report on Enrolments

The Deputy Vice-Chancellor (Academic) spoke to the summary report on student enrolments based on full-year 2013 enrolment data. ANU student numbers have reached almost 21,000 with a commensurate increase in EFTSL. While international student numbers continue to increase, there is a flattening in domestic enrolments. Characteristics impacting domestic demand are detailed in the report. ANU has the highest median ATAR in the sector which has its advantages and disadvantages in terms of recruitment. ANU Extension (formerly ANU Secondary College) is an important feeder for outstanding students into the University and has been very successful. The University has been advised that its request to the Commonwealth Government for additional places for Associate Degree and Indigenous Enabling places has not been successful to date, but ANU will persist with these important equity requests.

Professor Hughes-Warrington advised that planning is in progress for the changes of preference campaign in the current UAC round.

A question was asked about whether it was intended to engage with the University community more widely in discussions about the student profile and numbers and a vision for ANU. The Deputy Vice-Chancellor (Academic) observed that in accordance with Budget Solutions, the most appropriate approach to answering the question would involve drawing upon the collective talents of staff and students in consultation.

The Chair thanked Planning and Statistical Services for their excellent report.

The Board noted the report (1130/2013) on student enrolments.

Part 3 – Strategy and Planning

Hot Topic

Item 12  Indigenous Engagement

Professor Craig introduced the item observing that while there is much goodwill at ANU for greater Indigenous engagement, better organisation and commitment is needed to achieve substantial gains in this area. The longer-term view and activities reflected in the recommendations before the Board were in contrast to the more short-term options of the RAP.

The Director, Tjabal Centre, Ms Martin, provided an overview of progress being made and positive experiences with undergraduate programs, noting that there is good involvement by the wider academic community with the Tjabal Centre. She reported on:

. The Indigenous Enabling Program run in partnership with ANU College and the Equity Office for the first time in Semester 1, 2013. Of the eight enrolments, seven completed the program (one withdrawal) and 3 entered the Associate Degree program (2 deferred) with 2 now approved for admission to a Bachelor's degree.
. Work on mature-age student pathways (with the Offices of the Pro Vice-Chancellor (Student Experience) and Deputy Vice-Chancellor (Academic)).
. ANU’s success rate for students graduating from undergraduate and graduate coursework and research programs (2011 = 31; 2012 = 12; 2013 = 46).
. The strong student interest in science areas is evident from the number of students undertaking Science degrees (20 enrolments), Medicine (5 enrolments), and the number of enrolments in science related courses within programs.
. Ways to improve recruitment of undergraduate students. The Community Engagement Strategy builds on the importance of a ‘whole’ of community approach to promoting ANU as a place of study. The Tjabal Centre has commenced a process of ongoing engagement with schools to reach every Indigenous student in the catchment area, communities, and School Principals on future pathways to and from University. Letters were sent to all Principals for the 2013-14 admission round and applications through UAC are slightly higher. Other strategies include targeting advisers across schools to encourage students to build a future for themselves and for their families. A database is planned of Years 11 and 12 students who have expressed an interest in higher education.

The Director, National Centre for Indigenous Studies, Professor Dodson, advised that:
ANU has the highest graduate enrolment of any University in Australia. Most pursue graduate coursework programs.

PhD enrolments are of a particular demographic, i.e. mature-age with families, part-time, and working to support themselves.

ANU is working towards ‘growing its own’ Indigenous graduate students and staff. Undergraduate students continuing on to postgraduate study tend to struggle unless they have scholarships. The shift towards a younger cohort requires support to be built around it at the undergraduate level, i.e. financial support, teaching and training and encouragement to consider an academic career.

NCIS conducts a rigorous enrolment process to assess HDR applicants for their capacity and the requisite writing skills etc to complete successfully.

Non-Indigenous HDR students undertake thesis topics of relevance to the Indigenous community. 2013 saw the first graduation of a student, an Indigenous student, since the NCIS became an enrolment Centre. One non-Indigenous student has submitted. Two more students are due to submit in 2014.

Comments arising in discussion included:

- Highlighting the experiences and success stories from graduates may provide an incentive for prospective students and help to focus on outcomes.
- It is important that engagement efforts be co-ordinated through the Tjabal Centre.
- ANU’s Indigenous employment strategy has not been very successful to date and the ANU by 2020 goal that ‘by 2015, the percentage of Indigenous academic staff will be above the national sector average’ is unachievable.
- Comparisons of undergraduate student enrolments and attrition rates with other universities are difficult to make for a range of reasons. ANU compares favourably on completion rates.
- Recruitment strategies are also being directed at the early years of high-school, i.e. students in Years 8-9 or earlier. ANU conducts the Principals’ Recommendation Scheme and the Regional Partnership Program run by ANU Student Equity which includes campus visits. The ‘Who Are Scientists?’ program held at Kioloa Coastal Campus in October is an example of successful engagement with students from regional schools about undergraduate study, being a scientist, and also about non-academic career pathways.

The Deputy Vice-Chancellor (Academic) advised that:

(i) The Government’s efficiency dividend applies to Indigenous enrolments. The University wishes to see commensurate adjustments to the reporting requirement;
(ii) An audit of scholarships is being undertaken with a view to changes for 2015. The University is exploring options for Indigenous students to be able to hold more than one scholarship in order to help cover both accommodation and living expenses.

The Board noted the ground that is being made with Indigenous student recruitment at ANU. The University needs to create its own pathways to HDR and employment; this is a long-term process. With regard to staff recruitment, the aim is to produce graduates who have the right qualifications to gain employment in competition, rather than for Indigenous focussed employment.

The Board:

1. Agreed to adopt the issue of Indigenous Engagement as a biannual ‘Hot Topic’;
2. Referred to the University Access and Equity Committee the request that steps be taken to:
   (i) Identify and promote the necessary actions required to meet the measures of success in relation to Indigenous engagement stated in the ANU by 2020 plan;
   (ii) Develop processes by which Indigenous engagement can be embedded in the wider ANU community;
   (iii) Explore means to more effectively coordinate the various Indigenous engagement activities across the University including employment, education and RAP related activities;
   (iv) Develop a response plan to the recommendations in the Behrendt Review.

Item 13  Academic Board Self-Assessment Survey Report

The Chair spoke to the Academic Board Self-Assessment Survey Report (1127/2013). She
noted that the response rate of 39% should be borne in mind in interpreting the results. The Report provided an overview of areas where the Board has performed well and areas for improvement.

It was suggested that two matters of substance emerging from the responses were:
- That the Academic Board is a committee of Council and that this may not be widely understood by members;
- Communication with Council is an underdeveloped role for the Academic Board which could be more pro-active in this arena.

Extending an invitation to the Chancellor and/or Pro-Chancellor to join a meeting of the Board was suggested as a way for entering into a meaningful dialogue.

The Board:

1. Noted the Academic Board Self-Assessment Survey Report (1127/2013);
2. Referred the Survey analysis and response to a group comprising the Steering Committee and two Board members for consideration and advice to be provided to the Board on substantive issues and other observations;
3. Endorsed that the Chair write to invite the Chancellor and Pro Chancellor to a meeting of the Academic Board.

Action: Nominations for the Survey Group should be submitted to the Chair or Secretariat by 20 December 2014.

Part 4 – Academic Quality and Standards

Item 14 Annual Report on Student Progress, Grievances and Discipline

The Board noted the Annual Report (1061/2013) on student progress, grievances and discipline.

Item 15 Report on Mental Health

The Pro Vice-Chancellor (Student Experience) spoke to the Report from the Mental Health Working Party (1062/2013). He outlined the processes initiated in 2013 by the President, ANUSA, Ms Sladojevic, and other students, the round table discussion to scope the issues and gather information, and the working party. Critical incidents reported to the Counselling Centre were around 80 in 2013 which is an indication of the amount of stress students are under and of the need for more resources in this area. Funding is still to be addressed, including for one full-time adviser’s position and a half-time (0.5) position to run internships for PhD students to provide support. The Mental Health Advisory Group will oversee implementation of the recommendations.

The Dean, Medicine and Health Sciences and Dean of the Medical School, congratulated Professor Baker and all involved in producing the Report which will have significant benefits for ANU and the community more widely. He suggested that a clinical academic staff member be included in the membership of the Advisory Group.

The Board recommended the Report from the Mental Health Working Party (1062/2013) to the Vice-Chancellor for adoption and that the recommendations as set out following be approved for implementation:

i. That the University approve the draft ANU Mental Health Strategy 2013-2015;
ii. That the University approve the establishment of a Mental Health Advisory Group, to be chaired by the Pro Vice-Chancellor (Student Experience), to oversee the implementation and evaluation of the ANU Mental Health Strategy 2013-2015. The Group should report to the Student Experience Committee and include wide staff and student representation. Its terms of reference should be similar to those of the Working Party and it should meet four times per year;
iii. That the University approve the draft Wellbeing Operational Plan 2014 template (Attachment E of the Report);

iv. That a comprehensive mental health communications strategy be developed and implemented. This should include information targeted at sub-groups in the University community and a list of resources and services available to members of the ANU community. The information should be reviewed annually for accuracy. The development and implementation of the communication strategy would be overseen by the Mental Health Advisory Group;

v. That Facilities and Services investigate further the characteristics of spaces that support good mental health and wellbeing. This investigation might be undertaken in cooperation with other universities and peak bodies such as the Group of Eight and Universities Australia.

**Action:** Mental Health Advisory Group to be established (r.ii), the membership to include a clinical academic staff member: Pro Vice-Chancellor (Student Experience)

**Item 16  KPI B5: Student Experience of Learning and Teaching (SELT)**

The Deputy Vice-Chancellor (Academic) spoke to the report on Semester 1, 2013, SELS results for courses with an agreement rate of <50% and on progress against the ANU by 2020 targets in relation to the overall satisfaction rate for the student experience of learning support. This was the third evaluation report to be made to the Board. Some Colleges have been focussing on courses in the 70-80% band and others on those with a <50% agreement rate. An analysis indicates a marked improvement in some Colleges in addressing <50% agreement courses, but a dip in results against the University’s KPI because of the increase in the number of courses being evaluated, and a tighter clustering of results in the 60-80% band.

Members noted that:

. Work to improve SELT reports is in progress;
. Results in ANUCECS continue to improve. The agreement rate for Contracts has risen which is an important achievement for ANUCoL and a product of the work being done in this area;
. In relation to its role to insure the ‘maintenance of the highest standards in teaching’, the Board receives reports and notes areas for improvement but does not comment on resource issues;
. Reasons for the decline in the evaluation response rate since 2010 are not known. The electronic response system is improving and experiencing fewer problems. ANUCAP is being invited to give a presentation to the University Education Committee on the College’s success in raising the response rate. Other Colleges would also be asked to report on actions being taken to address low response rates;
. Colleges are being encouraged to support early-career teachers through mentoring and training, including participation in the ‘Lecturing as Performance’ course.

The Board:

1. Noted improvements in some Colleges in addressing courses with an Overall Satisfaction rate of <50% for the student experience of learning;
2. Noted that the overall satisfaction rate results for the student experience of learning support have dipped from 2012 to Semester 1, 2013 against the ANU by 2020 target of 65% by 2015;
3. Noted the responses made by Colleges in the ANU by 2020 KPI B5 spread-sheet, including a memo from ANUCASS on ‘Response to SEL evaluations, Semester 1, 2013: School of Music Overview’;
4. Noted the cases made by Colleges for the retention of courses with an Overall Satisfaction rate of <50% for 2 (or 3) consecutive semesters and the recommendation that they be considered by the Vice-Chancellor;
5. Noted that discussion is continuing on strategies to improve the Overall Satisfaction rate.
Item 17  Coursework Grade Distribution

The Board noted the quality assurance reports (1098/2013) from ANU Colleges with the highest and lowest percentage of HD grades and highest percentage of Fail grades awarded in Semester 1, 2013.

Item 18  Assumed Knowledge and Requisite Review

The Board:

1. Noted the College response to the Assumed Knowledge and Requisite audit and the Colleges that are moving some requisites to assumed knowledge;
2. Endorsed that overrides on requisites for 1000-level courses be treated as a waiver of admission requirements where these 1000-level courses are core courses for the admitting degree;
3. Endorsed that in cases of course incompatibility, an override should not be generated but should be dealt with under the ANU Credit Policy;
4. Noted the ‘ Overrides requiring further discussion’ section;
5. Endorsed that where cases are made to maintain the use of overrides in significant numbers, these manual interventions be undertaken by Colleges rather than the Division of Student Administration.

Action: Implementation to be taken forward through the office of the Registrar, DSA.

Item 19  Academic Progress

The Board recommended for approval by the Vice-Chancellor:

1. That academic progress be determined at the Career level;
2. That consequential amendments be made to the Academic Progress Rules.

Item 20  Review of ANU Principals’ Recommendation Scheme

The Board recommended that the Vice-Chancellor:

1. Note the report on the ANU Principals’ Recommendation Scheme;
2. Approve that all programs with a minimum published ATAR of 80 be added to the PRS program listing;
   Note: The listing will be updated as appropriate on an annual basis.
3. Approve that Engineering and Software Engineering be included but stipulate a minimum ATAR of 75;
4. Approve that the current Principals’ Recommendation Scheme be extended to all schools nationally and renamed the ANU Schools Recommendation Scheme;
5. Approve that successful applicants receive an early offer in the early January UAC round.

Item 21  Recognition of Excellence in Master Degrees by Coursework

The Board noted the Report (1099a/2013) from the Awards Subgroup and that the proposal for a University-level prize designated for excellence in Master degrees by coursework addresses a gap and an inequity in the ANU’s suite of awards for recognising outstanding student academic achievement. An appropriate name was still being explored.

The Pro Vice-Chancellor (Research and Research Training) commented that the J G Crawford Prize is also open to Master students, i.e. the conditions provide: “One prize is available for award to a Master degree graduate each year, whose program of study has been composed of or included research and preparation of a thesis.” Students who excel in the research and preparation of a thesis component of a 96-unit coursework program may be eligible for nomination for both prizes, although the emphasis on the thesis in the J G Crawford Prize is likely to advantage the MPhil and longer Master theses. Information published about the prizes needs to be worded appropriately to ensure that students are aware of the respective criteria, including eligibility for the J G Crawford Prize.
The Board:

1. Recommended for approval by the Vice-Chancellor the proposal (as detailed in the Awards Subcommittee Report 1099a/2013) that a University-level prize be available for award to students who have completed an AQF Level 9 or Level 9 Extended program of at least 96 units, with sufficient distinction across both coursework and research components. Where credit for prior study (either ANU or external) is claimed as part of the 96-unit program, the level of achievement in that prior study will be included in the assessment of “sufficient distinction”;

2. Noted that the prize – a framed certificate and $1,000 – will be presented at conferring ceremonies;

3. Noted that nominations for the prize will be considered by the Awards Subgroup of CAAC, and that no maximum or minimum number of prizes will be specified for award in any year;

4. Noted advice on potential names for this prestigious prize;

5. Noted that discussion on identification of funds to support alumni events for University Medallists and regular on-campus events for undergraduate scholarship holders is being taken forward by the Deputy Vice-Chancellor (Academic);

6. Noted that Colleges will be asked to review their processes for identifying Medal nominees for report back to CAAC in early 2014.

Item 22 Research in AQF Level 9 Qualifications

The Deputy Vice-Chancellor (Academic) advised that discussions at EQSC and University Education Committee meetings of a definition of ‘research’ to satisfy the AQF requirement for Level 9 qualifications had been extensive and had elicited a number of issues and different perceptions. A prescribed minimum has been agreed and recommended but concerns remain. Adoption of the definition on a provisional basis would enable the accreditation of programs leading up to the 2015 date for compliance with the AQF. Professor Hughes-Warrington suggested that the AQFC be advised that the current wording “substantial research-based project” is problematic and that they be asked for policy advice.

The Board:

1. Endorsed for approval by the Vice-Chancellor a provisional definition of ‘research’ (detailed following) to satisfy the AQF requirement for Level 9 qualifications for adoption by ANU with effect from 1 January 2015:

‘The requirement of “demonstration of the application of knowledge and skills… to plan and execute a substantial research-based project, capstone experience and/or piece of scholarship” within the AQF is met by:

Course/s assembled in a program to the value of 12 units, where no less than 50% of the assessment involves the demonstration of appropriate research skills. The default composition of these 12 units will be one 12-unit course or two 6-unit courses.

Alternatively, in cases where professional accreditation requirements mean that it is necessary to embed research skills across a program, no less than 12.5% of the assessment in the program must involve the demonstration of appropriate research skills.

The demonstration of research skills may include completion of a research project with or without formulation of a research question, or a relevant internship. This research will be required to be articulated at the time of both accreditation and re-accreditation.’

2. Noted that the statement defining ‘research’ is an absolute minimum which the University expects would be exceeded in most Level 9 programs;

3. Noted that the statement defining ‘research’ will not be reported as a definable auditable object to TEQSA in relation to AQF compliance;

4. Endorsed that the provisional definition of ‘research’ be referred to the ‘Pathways to PhD’ working group for further advice to be provided through the University Education Committee to the Academic Board;

5. Requested that concurrently, the Deputy Vice-Chancellor (Academic) consult the AQFC for policy advice with a view to the development of a longer-term ‘research’ descriptor at Level 9 for application across the sector;
6. Noted the guidelines at UNE, James Cook University, and the University of Queensland on the volume of learning to be devoted to research in Master Degrees (Coursework).

**Note:** This discussion does not affect Level 9 (Extended) qualifications.

**Item 23  AQF Level 10 Implementation Plan**

The Board noted the updated AQF Level 10 Implementation Plan Schedule (1128/2013).

**Item 24  Review of Teaching Forums, ANU College of Arts and Social Sciences**

The Board received an overview from Dr Gustavson of the ANUCASS Review of Teaching Forums (1126/2013) which the Vice-Chancellor had instituted in August 2013. The Panel had been asked to assess the state of any proposal to adopt forum teaching, including evidence of governance of teaching innovations, communication and consultation about innovations, alignment with University strategy and wider developments and management of changing resourcing needs. Dr Gustavson spoke to the findings of the Review and summarised the implementation measures that are currently in progress. The Report and recommendations are under consideration by the College Executive and a further report will be made to the Board in 2014.

The Board:

1. Noted the Review of Teaching Forums, College of Arts and Social Sciences Report (1126/2013);
2. Noted that work is underway in ANUCASS to implement the findings.

**Action:** The Dean, ANUCASS to be asked to provide a written progress report for submission to the Academic Board by the end of 2014.

**Part 5 – Policy Development**

**Item 25  Academic Programs and Courses Accreditation**

The Board recommended for approval by the Vice-Chancellor, the revised Academic Programs and Courses Accreditation Policy (277e/2013).

**Item 26  Structure and Wording of Coursework Award Requirements (Program Orders)**

The Board recommended for approval by the Vice-Chancellor the introduction of the Structure and Wording of Coursework Award Requirements (Program Orders) Policy (1100/2013).

**Item 27  Credit Policy**

The Board recommended for approval by the Vice-Chancellor the revised Credit Policy (1101/2013).

**Item 28  Student Assessment (Coursework)**

The Board recommended for approval by the Vice-Chancellor:

1. The amended Student Assessment (Coursework) Policy (623a/2013) for implementation from 1 January 2014; and
2. That consequential amendments to incorporate ‘assessment hurdles’ be made to the Assessment Rules.

**Item 29  English Language Admission Requirements**
The Deputy Vice-Chancellor (Academic) noted the review had addressed opportunities for improvements in the area of English language admission requirements. The new “Case for English Language Equivalency” which replaces the “English Language Waiver” procedure will allow the University to be clearer and more transparent about decisions in this space. The newly created Alliance site enables waiver applications to be processed quickly and in a timely way.

The Board recommended for approval by the Vice-Chancellor the revised English Language Admissions Requirements for Students Policy (503a/2013).

**Item 30 Satisfactory Academic Progress for US Financial Aid and Return of Title IV Funds**

The Board recommended for approval by the Vice-Chancellor:

1. The revised US Financial Aid Satisfactory Academic Progress policy (1102/2013);
2. The new Return of Title IV Funds procedure (1103/2013); and
3. That the Return to Title IV Policy be revoked.

**Item 31 Student Academic Study Load and Progression (Coursework)**

The Board recommended that the Vice-Chancellor approve the following with a date of effect of 1 July, 2014:

1. The new Student Academic Study Load and Progression Procedure (1105a/2013) and overload form;
2. That undergraduate time-limits be measured by career, with a 10-year time-limit set for all undergraduate degrees;
3. The revised Student Academic Study Load and Progression Policy (1116/2013);
4. That the time-limit provisions of the Undergraduate Awards Rules be amended.

**Item 32 Infectious Diseases and Immunisation for Students Undertaking Clinical Placements in Health Facilities**

The Board recommended for approval by the Vice-Chancellor:

1. The revised Infectious Diseases and Immunisation for Students Undertaking Clinical Placements in Health Facilities Policy (1106/2013); and
2. The new Infectious Diseases and Immunisation for Students Undertaking Clinical Placements in Health Facilities Procedure (1106/2013).

**Item 33 Disruptive Behaviour**

The Board recommended for approval by the Vice-Chancellor that the ‘Guideline: Disruptive Behaviour: Guidelines for a coordinated ANU response’ be revoked.

**Item 34 Nomenclature and Post-Nominals**

The Board recommended for approval by the Vice-Chancellor the Nomenclature and Post-Nominal Policy (1107/2013).

**Item 35 Glossary: Student Policies and Procedures**

The Board recommended for approval by the Vice-Chancellor, the following definitions for inclusion in the Glossary: Student Policies and Procedures:
Assessment Hurdle
An assessment task that tests competency or skills or knowledge that is considered as fundamental to passing the course, or, is required by a discipline specific or other accreditation authority.

Compulsory Courses
Courses that are required to be completed to satisfy some or all of the requirements of an Award and cannot normally be replaced by alternative courses. Compulsory courses are offered every calendar year.

Written or spoken comments provided by lecturers, tutors, or software, for students about formative or summative assessment tasks that give students guidance about how to perform future tasks more successfully, and/or explain marks and grades they have been given for tasks already completed. See also “formative assessment”, “formative feedback”, “summative assessment”.

Feedback on Assessment
Written or spoken comments provided by lecturers, tutors, or software, for students about formative or summative assessment tasks that give students guidance about how to perform future tasks more successfully, and/or explain marks and grades they have been given for tasks already completed. See also “formative assessment”, “formative feedback”, “summative assessment”.

Item 36 Clinical Professorial Promotions

The Dean, Medicine and Health Sciences and Dean of the Medical School, outlined the proposal to introduce a promotion track for clinicians to Level E at ANU. Promotion from Level A through Level D has been strengthened but a potential clinical track has not been part of the considerations at level E to date. The proposed criteria had been framed around the approach at the University of Melbourne and other Go8 universities.

The Board recommended to the Vice-Chancellor that the ‘Guidelines for Clinical Academic Appointments and Promotions’ (1124/2013) be adopted by the University.

Action: The criteria will be referred to the Promotions Working Party to develop the formal policy and procedure: Secretariat.

Part 6 – Reports from Committees

Item 37 Reports from Committees

The Board noted the following reports:

1. University Education Committee
   Report from Meeting 6/2013 held on 1 November 2013 (1119/2013)

2. University Research Committee
   Report from Meeting 5/2013 held on 25 October 2013 (1118/2013)

3. University Access and Equity Committee
   Report from Meeting 4/2013 held on 25 September 2013 (925/2013)
Part 7 – Accreditation

Item 38 Undergraduate Programs

38.1 New Undergraduate Programs and Plans

The Deputy Vice-Chancellor (Academic) spoke to the proposal for the introduction of a Bachelor of Studies and Diploma of Advanced Studies in 2014 and to the background summarised in the memorandum, ‘ANU Extension and the Bachelor of Studies/Diploma of Advanced Studies proposals’ (1134/2013). They stem from ANU Secondary College (ANUSC) for Years 11 and 12 students run collaboratively with the ACT Education and Training Directorate (ETD) since 2006. The number of students eligible for ANUSC now exceeds the places available. Some ACT schools have been participating well; others to less of an extent. In these circumstances, the University has endeavoured over the past eighteen months to rework the relationship to broker a new arrangement and expand the scheme. The five additional subjects proposed for inclusion have been accepted by the ETD, i.e. Astrophysics, Korean, Mandarin, Engineering and Indonesian.

A preference had been expressed in discussions for an early admission scheme to be attached to existing Bachelor degree programs but this would require all Colleges to agree to the proposal. Second choice was the Diploma of Advanced Studies but no pre-tertiary places have been made available. The final choice was for the accreditation of the Bachelor of Studies with students to complete one subject to count towards their ATAR and then progress to a regular Bachelor degree, and for the proposal for the Diploma to be withdrawn. The Bachelor of Studies was an unusual request driven by the imperative to maintain opportunities for students to commence studies at ANU and access courses in the sciences, engineering, and languages, and teaching that is not otherwise available to them. It would affect more than 240 Year 12 students per year wishing to participate.

It was noted that the nomenclature for the award had been discussed at length. The terminology Bachelor of Studies is compatible with the precedent set by the interdisciplinary shell programs in the Graduate Studies program.

The Chair advised that in view of the Commonwealth Government’s advice, the recommendations before the Board should be amended to withdraw the Diploma of Advanced Studies item.

The Board:

1. Noted the withdrawal of the Diploma of Advanced Studies (759b/2013) proposal;
2. Approved that the Bachelor of Studies 144 units; BSTUD (758b/2013); AQF Level 7 – Bachelor Degree be accredited from 2014 with the following condition:

   Joint Colleges of Science condition: Full review of the program at completion of one intake cycle (November 2015) to consider if an alternative program structure is more appropriate.

38.2 Undergraduate Program Reviews

The item was chaired by the Deputy Chair.

The Board approved the review of the Bachelor of Laws and the recommendation on reaccreditation in the terms of the review documentation (890a/2013 and Attachments 1 and 2) as follows:

ANUCoL
Bachelor of Laws 4300XBLLBA plan (890a/2013); AQF Level 7 Bachelor Degree Recommendation: Reaccredit with no conditions.
Item 39 Undergraduate/Graduate Coursework

39.1 Amendment to Program

The item was chaired by the Deputy Chair.

The Board approved that:

1. New international student admissions into the Vertical Double Degree: Bachelor of Politics, Philosophy and Economics/Juris Doctor (BPPE/JD) (1060/2013) should cease and CRICOS registration be cancelled immediately;
2. New international student admissions into the Juris Doctor (JD) (1060/2013) should cease and CRICOS registration be cancelled from Semester 2, 2014.

Item 40 Graduate Coursework Programs

40.1 Disestablishment of Graduate Coursework Programs and Plans

The Board:

1. Approved the disestablishment of graduate coursework programs and plans (1059/2013) with immediate effect as detailed below;
2. Noted that the host area for the Graduate Studies Program has changed from the Division of Student Administration to the ANU College of Arts and Social Sciences as host College.

Disestablishment of Graduate Coursework specialisations (1059/2013); non-award and multiple AQF levels

ANUCBE
- Graduate Certificate in Management specialising in Project Management 6860SPMGT
- Graduate Certificate in Management specialising in Technology and Innovation 6860STECI
- Graduate Non-Award CBE (Australian Centre for Regulatory Economics) 5140SACORE
- Master of International Management (Mandarin) (Ericsson) 7811SERICM
- Master of Management specialising in Environmental Management 7812SENVMT
- Master of Management specialising in Innovation and Commercialisation 7812INCOM
- Master of Management specialising in Industry Strategy 7812SINDST
- Master of Management specialising in Project Management 7812SPMGT
- Master of Management specialising in Technology Commercialisation 7812STECC
- Master of Management specialising in Technology and Innovation 7812STECI
- Master of Management specialising in Technology and Innovation 7812STECIM

Item 41 Research Programs

41.1 New Research Programs

The Board approved and accredited the Doctor of Philosophy in China in the World (1120a/2013) (plan) 9513 PhD Level 10 – Doctoral Degree from 1 January 2014.

41.2 Amended Research Programs

Consideration of the following was deferred to a future meeting pending further development of the program forms:

ANUCPMS
Centre for the Public Awareness of Science
- Master of Philosophy (plan) MPhil 8067 (1122/2013) Level 9 – Masters Degree (Research)
- Doctor of Philosophy (plan) PhD (1121/2013) Level 10 – Doctoral Degree
Part 8 – Items of Other Business

Item 42  Any Other Business

The Chair thanked the Secretariat for the support provided for the Academic Board during the year.

jab
15 January 2014
21. PRIZES AND SCHOLARSHIPS APPROVALS 2013

PURPOSE
To note Prizes and Scholarships approvals for 2013.

AUTHOR
Director, Corporate Governance and Risk

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the Prizes and Scholarships approved for 2013 (18/2014).

ACTION REQUIRED
For discussion ☐  For decision ☐  For information ☑

ATTACHMENT
21.1 Prizes and Scholarships approvals report 2013 (18/2014)
THE AUSTRALIAN NATIONAL UNIVERSITY

REPORT TO COUNCIL
PRIZES AND SCHOLARSHIPS
Approvals 1 January to 31 December 2013

NEW SCHOLARSHIPS

New Research Scholarships

- Asia and the Pacific Travel Grant (327/2013)
- Professor Darrell Tryon Research Scholarship (1048/2013)
- Ruth Daroesman Graduate Study Grant (1049/2013)
- Sarah-Grace Sarcoma Foundation PhD Scholarship (312/2013)
- The Australian Centre on China in the World Scholarship (Domestic) (325/2013)
- The Australian Centre on China in the World Scholarship (International) (326/2013)

New Coursework Scholarships

- ANU Relocation Grants (1161/2013)
- Bassett Downs Honours Scholarship in Zoology (324/2013)
- Bill Packard Scholarship (1163/2013)
- College Of Business & Economics International Undergraduate Scholarship 1034/2013
- College of Business and Economics International Undergraduate Merit Award (1050/2013)
- College of Engineering and Computer Science Advanced Computing Research & Development Female Excellence Scholarship (1054/2013)
- College of Engineering & Computer Science Computing Excellence Award (1164/2013)
- College of Engineering and Computer Science Engineering Research & Development Female Excellence Scholarship (1055/2013)
- College of Engineering & Computer Science Female Excellence Award (1165/2013)
- College of Engineering and Computer Science International Postgraduate Excellence Award (China) (1056/2013)
- College of Engineering and Computer Science International Postgraduate Scholarship (1156/2013)
- College of Engineering and Computer Science International Postgraduate Merit Scholarship (1157/2013)
- College of Engineering and Computer Science International University Partnership Award (1052/2013)
- Crawford School Indigenous Tuition Fee Scholarship (304/2013)
- DSTO Undergraduate Scholarships for Female Students in Science and Engineering (314/2013)
- Greening Australia Capital Region Honours Scholarship (310/2013)
- IARU-Santander Global Summer Program (GSP) Scholarships (311/2013)
• John Kirby Memorial Scholarship (313/2013)
• Research School of Management Honours Scholarship (1042/2013)
• Scholarship for a Fellowship with the World Bank Group’s Integrity Vice-Presidency (316/2013)
• Science Bachelor of Philosophy (Honours) Relocation Scholarships (328/2013)
• Tall Foundation Honours Scholarship in Archaeology (307/2013)
• Tall Foundation Honours Scholarship in Classics (308/2013)
• “Throw the Switch, Igor” Bursary in English Language (306/2013)

REVISED SCHOLARSHIPS

Revised Coursework Scholarships

• ActewAGL/ACTEW Water Undergraduate Equity Scholarship (301/2013)
• ANU Enterprise Engineering Fourth Year Scholarship (329/2013)
• ANU Enterprise Engineering Second Year Scholarship (330/2013)
• ANU Enterprise Engineering Third Year Scholarship (1026/2013)
• CBE Graduate Scholarship (1047/2013)
• CBE International Graduate Scholarship (1032/2013)
• Christel Larko Music Scholarship (309/2013)
• College of Business and Economics Community Engagement Award (1044/2013)
• College of Business and Economics Graduate Scholarship for Aboriginal or Torres Strait Islanders (1045/2013)
• College of Business and Economics Honours Scholarships (1046/2013)
• College of Business and Economics International Merit Scholarship for Foundation Studies (1033/2013)
• College of Business and Economics Research School of Accounting and Business Information Systems Honours Scholarship (1039/2013)
• College of Business and Economics Relocation Grant (1043/2013)
• College of Business and Economics Research School of Finance, Actuarial Studies and Applied Statistics Undergraduate Merit Award (1041/2013)
• College of Business and Economics Undergraduate Merit Award (1035/2013)
• College of Business and Economics Undergraduate Scholarship for Aboriginal or Torres Strait Islanders (1036/2013)
• College of Engineering and Computer Science Undergraduate Excellence Scholarships (1041/2012)
• Computer Science Research Project Honours Year Scholarship (1027/2013)
• Davey/Kinloch Lodge Accommodation Scholarships (305/2013)
• Dickins Scholarship in Engineering (1028/2013)
• Ian Ross Honours Scholarship (1029/2013)
• Ken Wanganeen Scholarship (1162/2013)
• International University Scholarship (323/2013)
• Leonard Broom scholarship (1040a/2012)
• Lisa Brodribb Women in Engineering Scholarship (1030/2013)
• Mitchell Scholarship (1037/2013)
• National Indigenous Merit Scholarship (320/2013)
• National Indigenous University Scholarship (319/2013)
• National Merit Scholarship (318/2013)
• National University Scholarship (317/2013)
• Neil Vousden Memorial Scholarship (1038/2013)
• Paul Thistlewaite Memorial Honours Year Scholarship (1031/2013)
• Region Scholarship (321/2013)
• Research School of Economics Bob Gregory Honours Scholarship (1040/2013)
• Terrell International Undergraduate Scholarship (322/2013)
“Throw the Switch, Igor” Bursary in English Language (306a/2013)
Westende Travel Grant (1159/2013)

Revised Research Scholarships

• Baume Travel Grant (315/2013)
• Marie Reay Scholarship in Anthropology (303/2013)
• Master of Philosophy in Applied Epidemiology Scholarship (302a/2013)

NEW PRIZES

• Boronia Prize for Excellence in Glass (19/2013)
• Chris Bryant and Mike Gore Prize for Science Outreach (35/2013)
• Damian McMahon Clinical Skills Prize (21/2013)
• First Year Science Communication Prize (33A/2013)
• Hafez Prize for Intermediate Persian Language (1211 A/2013)
• Humanitarian Computing Prize (1223/2013)
• John Molony Prize in History (1227/2013)
• Khayyam Prize for Introductory Persian Language (1212/2013)
• Prize for the Major in Science Communication (37A/2013)
• School of Art Drawing Prize (18/2013)
• Science Communication Research Prize (36A/2013)
• Science Presentation Prize (34A/2013)
• Sharpe & Abel Prize (20/2013)
• Steven Klimidis Memorial Prize in Clinical Psychology 30/2013

REVISED PRIZES

• Ag Institute Australia Prize (1226/2013)
• Ashurst Australia Prize for Corporation Law (16/2013)
• Australian Government Attorney-General’s Department Prize (27/2013)
• Australian Society for Parasitology Prize (1222/2013)
• Brynrefail Prize for Later Year Ancient History (1218/2013)
• Elspeth Young Prize for Social Contribution (24/2013)
• Friends Chamber Music Prizes (1220/2013)
• Oracle Prize for Information Technology Law (1074/2012)
• Richard B Davis Prize for Thai (1225/2013)
• Samuel J Stoljar Prize for Restitution (Supported by Kemp Strang) (26/2013)
• Seyed Hashem Salehzadeh Prize for Introductory Persian (1217/2013)

NEW SUPPORT GRANT – Undergraduate or Postgraduate
• Ben Williams Student Support Grant (1160/2013)

jab/15 January 2014
22. ANU MASTER’S PRIZE FOR EXCELLENCE

PURPOSE
To note the establishment of the ‘ANU Master’s Prize for Excellence’.

AUTHOR
Director, Corporate Governance and Risk Office

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the establishment of the ‘ANU Master’s Prize for Excellence’.

ACTION REQUIRED
For discussion ☐  For decision ☐  For information ☑

SUPPORTING MATERIAL
The Vice-Chancellor has approved the establishment of a University-level prize designated for excellence in Master degrees by coursework, named the ‘ANU Master’s Prize for Excellence’. The Prize addresses a gap and an inequity in the ANU’s suite of awards for recognising outstanding student academic achievement.

Students who have completed an AQF Level 9 or Level 9 Extended program of at least 96 units, with sufficient distinction across both coursework and research components will be eligible for consideration for the award. Where credit for prior study (either ANU or external) is claimed as part of the 96-unit program, the level of achievement in that prior study will be included in the assessment of “sufficient distinction”.

Nominations for the Prize will be considered by the Awards Subgroup of the Coursework Admissions and Awards Committee. No maximum or minimum number of Prizes will be specified for award in any year. The Prize, a framed certificate and $1,000, will be presented at conferring ceremonies.

The proposal for the establishment of an award to recognize excellence in Master degrees by coursework was put forward in a Report from the Awards Subgroup (1099a/2013) in early 2013 and endorsed by the Academic Board at Meeting 6/2013. The Board noted that the J G Crawford Prize is also open to Master degree students. The conditions provide that: “One prize is available for award to a Master degree graduate each year, whose program of study has been composed of or included research and preparation of a thesis.” Students who excel in the research and preparation of a thesis component of a 96 -unit coursework program may be eligible for nomination for both Prizes, although the emphasis on the thesis in the J G Crawford Prize is likely to advantage the MPhil and longer Master theses.

ATTACHMENT
22.1 Vice-Chancellor’s Approval: Memo - ‘ANU Master’s Prize for Excellence’, 21 January 2014 (19/2014)
MEMO

SUBJECT: ANU Master’s Prize for Excellence

TO: Vice-Chancellor

FROM: J Bruce, Secretary
Corporate Governance and Risk Office
Tel: 54080

DATE: 21 January 2014

FILE REF: 201311281

Attached on file is background to the proposal for a University-level prize designated for excellence in Master degrees by coursework to address a gap and an inequity in the ANU’s suite of awards for recognising outstanding student academic achievement. Your approval of 12 December 2013 of the recommendation from Academic Board Meeting 6_2013 is attached:

'that a University-level prize be available for award to students who have completed an AQF Level 9 or Level 9 Extended program of at least 96 units, with sufficient distinction across both coursework and research components.'

[Note: The J G Crawford Prize is also open to Master students, i.e. the conditions provide: “One prize is available for award to a Master degree graduate each year, whose program of study has been composed of or included research and preparation of a thesis.”]

An appropriate name for the prize(s) has been under consideration by the Deputy Vice-Chancellor (Academic) and in consultation with the Director, Alumni Relations & Philanthropy, ANU Endowment for Excellence. They recommend that the prize be named:

ANU Master’s Prize for Excellence

Would you be willing to approve this name for the prize? An item is in preparation for the information of Council.

Endorsed

Marnie Hughes Warrington
Deputy Vice-Chancellor (Academic)

Approved

Ian Young
Vice-Chancellor

Janet Bruce

Item 21: Recognition of Excellence in Master Degrees by Coursework

The Board:

1. Recommended for approval by the Vice-Chancellor the proposal (as detailed in the Awards Subcommittee Report 1099/2013) that a University-level prize be available for award to students who have completed an AQF Level 9 or Level 9 Extended program of at least 96 units, with sufficient distinction across both coursework and research components. Where credit for prior study (either
ANU or external) is claimed as part of the 96-unit program, the level of achievement in that prior study will be included in the assessment of “sufficient distinction”;

2. Noted that the prize – a framed certificate and $1,000 – will be presented at conferring ceremonies;
3. Noted that nominations for the prize will be considered by the Awards Subgroup of CAAC, and that no maximum or minimum number of prizes will be specified for award in any year;
4. Noted advice on potential names for this prestigious prize;
5. Noted that discussion on identification of funds to support alumni events for University Medallists and regular on-campus events for undergraduate scholarship holders is being taken forward by the Deputy Vice-Chancellor (Academic);
6. Noted that Colleges will be asked to review their processes for identifying Medal nominees for report back to CAAC in early 2014.
23. SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES

PURPOSE
To consider a report of significant visits and events, grants and consultancies.

AUTHOR
Communications Officer (Special Events)
Office of Research Excellence

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the report of significant visits and events, grants and consultancies (20/2014).

ACTION REQUIRED
For discussion ☐ For decision ☐ For information ☑

ATTACHMENT
23.1 Report of significant visits and events, grants and consultancies (20/2014)
SIGNIFICANT VISITS AND EVENTS

NOVEMBER

Book launch
*Friday, 15 November 2013*
Dog Days: Australia after the boom, Professor Ross Garnaut in conversation with Professor Andrew MacIntyre.
Host area: Crawford School of Public Policy, **ANU College of Asia and the Pacific**

Seminar
*Tuesday, 19 November 2013*
The causes of breast cancer - Professor Dame Valerie Beral AC FRS
Host area: Research School of Population Health, **ANU College of Medicine, Biology and Environment**

School launch
*Monday, 25 November 2013*
ANU Research School of Population Health Launch. The launch brought together five centres:
- the National Centre for Epidemiology and Population Health (NCEPH, established in 1988)
- the Centre for Mental Health Research (CMHR, established as the Social Psychiatry Research Unit in 1975)
- the Centre for Research on Ageing Health and Wellbeing (CRAHW, established in 2012)
- the Australian Primary Health Care Research Institute (APHCRI, established in 2003)
- the Australian Centre for Economic Research on Health (ACERH, established in 2005)
Host area: ANU Research School of Population Health, **ANU College of Medicine, Biology and Environment**

VIP Visit – Delegation from the China University of Mining and Technology
*Tuesday, 26 November 2013*
A delegation from the China University of Mining and Technology, led by President Ge Shirong, visited ANU to investigate opportunities for developing articulation programs between ANU and CUMT.
The delegation met with the Vice-Chancellor, Ms Davina Potts, Professor Jayne Godfrey, Professor Andrew Roberts, and representatives of the **ANU College of Engineering and Computer Science**, led by Professor John Hosking.

VIP visit – University of Nizwa, Oman
*Wednesday, 27 November 2013*
A delegation from the University of Nizwa in Oman, led by the Chancellor, Professor Ahmed Khalfan Al Rawahi, visited ANU to explore areas of potential collaboration. They met with the Vice-Chancellor, Ms Davina Potts, Professor Andrew Roberts and Professor Hosking. Attended a lunch hosted by Professor Toni Makkai and were taken on a tour of the Research School of Biology.

Major prize
*Wednesday, 27 November 2013*
2014 Defence Science and Technology Organisation (DSTO) Undergraduate Scholarship for Female Students in Science and Engineering
Dr Alexander Zelinsky, Chief Defence Scientist and Head of DSTO was in attendance.
Host area: **ANU Executive**

VIP visit – Kyushu University
*Thursday, 28 November 2013*
Ms Shiho Yoshizaki, a researcher from Kyushu University in Japan, met with Ms Gay Kennedy (Coordinator, Office of Policy and Regulation) to discuss the Education Services for Overseas Students Act and how it affects learning institutions.

Community event – ANU Twilight Festival
*Thursday, 28 November 2013*
This event attracted more than 2,000 staff, students and members of the community; featured food, entertainment and festivities. In addition, attendees were offered an exclusive preview of
the 2013 ANU School of Art Graduating Exhibition. This was the largest ANU community event in 2013.

Host area: Strategic Communications and Public Affairs, ANU School of Music and the ANU School of Art

**Special event – Honorary Doctorate Award**
*Friday, 29 November 2013*
Awarding of Honorary Doctorate to Daw Aung San Suu Kyi AC.
Host: ANU

**VIP event – Australia-China Forum**
*Friday, 29 November 2013*
VIP guests included The Hon Peter Costello, Ambassador Li Zhaoxing and The Hon Julie Bishop MP.
Host area: Australian Centre on China in the World, ANU College of Asia and the Pacific.

**DECEMBER**

**Conference: Energy Update, World Energy Outlook 2013**
*Monday, 2 December 2013*
VIP’s included Mr Ian Cronshaw, International Energy Agency and Dr Subho Banerjee, Deputy Secretary, Industry Economics and Energy Efficiency, Department of Industry
Host area: ANU Energy Change Institute

**VIP visit – Shandong University, Weihai**
*Tuesday, 3 December 2013*
A delegation from Shandong University, Weihai, led by President Han Shenghao, visited ANU to further cooperation in education and research. The Vice-Chancellor and President Han signed a letter of intent. The delegation also had meeting with Professor Andrew Roberts, Professor John Hosking and Mt Stromlo Observatory representatives. Professor Ian Young hosted a dinner and Dr Erik Lithander hosted a lunch.

**VIP event – Signing of ANU-NACS Agreement**
*Thursday, 5 December 2013*
National Academy of Civil Service (NACS) Taiwan-ANU opening ceremony
Dr Erik Lithander attended the signing of the official ANU-NACS agreement and gave the welcoming address. This is the second year ANZSOG have run this program after the successful pilot program in 2012.
Host area: ANU College of Arts and Social Sciences

**VIP visit – Al-Maktoum Foundation, Dubai**
*Monday, 9 December – Wednesday, 11 December*
Visit of Mr Mirza Al-Sayegh and delegation
Mr Mirza Al-Sayegh, Chairman, Al-Maktoum Foundation, Dubai, were hosted by Professor Amin Saikal. Program included a visit to The John Curtin School of Medical Research and several dinners.
Host area: Centre for Arab and Islamic Studies, ANU College of Arts and Social Sciences

**VIP visit - MIT International Science and Technology Initiatives (MISTI)**
*Tuesday, 10 December 2013*
Mr Sean Gilbert, Managing Director of the MIT International Science and Technology Initiatives (MISTI) Australia and New Zealand Pilot Program, visited ANU to explore collaboration on undergraduate student placement programs. He met with Dr Erik Lithander, Professor John Hosking, Professor Stephen Buckman, Ms Davina Potts and Ms Jaclyn Cruz, before meeting with Professor Sylvie Thiebaux from NICTA.

**VIP visit - Universitas Muhammadiyah Yogyakarta**
*Tuesday, 10 December 2013*
A delegation from Universitas Muhammadiyah Yogyakarta, led by the Vice-Chairman of the Board of Trustees, Mr Dason Hamid, visited ANU to explore areas of potential cooperation. They met with Dr Erik Lithander and Ms Davina Potts along with representatives of the ANU.
College of Asia and the Pacific, led by Professor Andrew Walker and representatives of the ANU College of Business and Economics, led by Professor Jayne Godfrey.

Public event - Teachers to the node: the future of online science education
Tuesday, 10 December 2013
Speakers:
Professor Brian Schmidt AC, ANU
Dr Paul Francis, ANU
Professor Ian Chubb AC, Australia’s Chief Scientist
Dr Iain Hay, University of Canberra
Professor Marnie Hughes-Warrington, ANU
Host area: Strategic Communications and Public Affairs, and Inspiring Australia (CSIRO)

Seminar - Presentation of the Fenner Medal and Dewar-Milne Prize
Tuesday, 10 December 2013
Dr John Altin, Scientist, Applications Development, Prognosys Biosciences, San Diego, CA
Host area: The John Curtin School of Medical Research, ANU College of Medicine, Biology and Environment

Public lecture - Why economics can’t explain the modern world
Wednesday, 11 December 2013
Professor Deirdre McCloskey, University of Illinois, Chicago
Host area: Crawford School of Public Policy, ANU College of Asia and the Pacific

Special event
Thursday, 12 December 2013
Nelson Mandela memorial at ANU. Speakers included:

The Honourable Bob Hawke AC
US Ambassador John Berry
Hosts: ANU and the South African High Commission

VIP visit - Ambassador of the Republic of Colombia to Australia
Monday 16 December 2013
Her Excellency, Dr Clemencia Forero-Ucrós, Ambassador of the Republic of Colombia to Australia, was hosted to an introductory luncheon by the Vice-Chancellor. Also present were Dr Erik Lithander and Ms Davina Potts

VIP visit - Minister for Tourism and Creative Economy, Indonesia
Monday 16 December – Thursday 19 December 2013
Visit by Dr Mari Pangestu, Minister for Tourism and Creative Economy, Indonesia
During this visit Dr Pangestu was awarded an Honorary Doctorate, hosted a roundtable discussion on Indonesia’s economy, attended several dinners and met graduating students from the ANU College of Business and Economics.

JANUARY

VIP event
Thursday, 16 January 2014
Professor Ian Young and Professor Amin Saikal hosted a dinner with The Hon Julie Bishop MP.
Host area: Centre for Arab and Islamic Studies, ANU College of Arts and Social Sciences
Australian National University - Research Services Division

Grants and Consultancies
Awarded between 1 November 2013 and 31 December 2013

College of Arts and Social Sciences ............................................................................................................. 2
College of Asia and the Pacific ..................................................................................................................... 5
College of Business and Economics ............................................................................................................. 8
College of Engineering and Computer Science ............................................................................................ 9
College of Law ............................................................................................................................................ 12
College of Medicine, Biology and Environment ........................................................................................... 13
College of Physical and Mathematical Sciences ........................................................................................... 18
Administrative Division ............................................................................................................................... 26

Caveats:

1. The amount shown reflects the funds that were awarded for the entire grant/consultancy, grouped against the primary funds provider.

2. Although many grants/consultancies are collaborative efforts involving more than one area of the ANU, they are reported under the college of the primary department.

3. All amounts reported are in Australian dollars.

4. In a few cases the amount reported is nil. This can be for a variety of reasons, such as the contract is still under negotiation, or that the project is a non-monetary agreement.
<table>
<thead>
<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Katherine Curchin</td>
<td>Reconciling rival visions for Indigenous development in remote Australia</td>
<td>$306,770</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Samuel Furphy</td>
<td>A Due Observance of Justice? Protectors of Aborigines in Britain's Australasian Colonies (1838-1857)</td>
<td>$392,403</td>
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<td>Australian Research Council (ARC)</td>
<td>Mr Nikola Regent</td>
<td>Francesco Guicciardini as a Political Theorist</td>
<td>$394,105</td>
</tr>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Jeanine Leane</td>
<td>The David Unaipon Award: Shaping the literary and cultural history of Aboriginal writing in Australia</td>
<td>$558,270</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Peter Bellwood</td>
<td>Landscape, resources and human migration during the Southeast Asian Neolithic</td>
<td>$401,320</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Patrick McConvell</td>
<td>The Long-term Dynamics of Higher Order social Organisation in Aboriginal Australia</td>
<td>$146,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Christian Goeschel</td>
<td>Mussolini and Hitler: fascism, the cultural history of diplomacy and male friendship</td>
<td>$145,985</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Angela Woollacott</td>
<td>Don Dunstan and political and social reform in Australia</td>
<td>$150,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Christian Barry</td>
<td>The Ethical Responsibilities of Consumers</td>
<td>$593,000</td>
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</table>
### Significant Visits, Events, Grants & Consultancies

<table>
<thead>
<tr>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Nicholas Southwood</td>
<td>The Demands of Reason</td>
<td>$166,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Darren Halpin</td>
<td>The organised interest system in Australian public policy: Size, focus, impact and transformation</td>
<td>$382,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Robert Ackland</td>
<td>Understanding online attention and user-generated content creation: An information consumption and production perspective</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Melinda Hinkson</td>
<td>Place and displacement in Aboriginal Australia: a Warlpiri visual cultural enquiry</td>
<td>$688,594</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Patricia O'Brien</td>
<td>Colonialism, Violence and Resistance in the Interwar Pacific: Australia, New Zealand, New Guinea, Samoa and Beyond</td>
<td>$689,524</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Jane Simpson</td>
<td>A Living Archive of Aboriginal Languages - Stage II</td>
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<td>Australia Food and Grocery Council</td>
<td>Dr Emmeline Taylor</td>
<td>Staying Ahead of the Game</td>
<td>$16,364</td>
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<td>Taiwanese National Academy of Civil Service</td>
<td>Prof John Wanna</td>
<td>Taiwanese Training Program (NACS) 2013</td>
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<td>United Nations Population Fund</td>
<td>Dr Iwu Utomo</td>
<td>Population and Development Policy Training 2013</td>
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### College of Arts and Social Sciences

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<td>Minerals Council of Australia</td>
<td>Prof Matthew Gray</td>
<td>Impacts of Indigenous mobility on employment and retention outcomes in the minerals industry</td>
<td>$50,000</td>
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<td>Dr Sanghamitra (Sango) Mahanty</td>
<td>Landscapes of change: resources, access and political agency on the Cambodia-Vietnam borderland</td>
<td>$683,676</td>
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<td>Energy governance and climate change: towards a low carbon economy</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Andrew Kipnis</td>
<td>The Commercialization of Ritual and Transformation of Kinship in Urban China</td>
<td>$133,136</td>
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<td>Business-Local State Relationships in China</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Gwendolyn Hyslop</td>
<td>Reconstructing Eastern Himalayan Histories: languages, plants, and people</td>
<td>$294,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Simon Haberle</td>
<td>Environmental Transformations linked to Early Human Occupation in Northern Australia</td>
<td>$365,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Hyaewool Choi</td>
<td>Beyond Domestic Borders: Transnational Mobility in the Making of Modern Korea, 1920-1945</td>
<td>$185,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Kuntala Lahiri-Dutt</td>
<td>Farmers of the Future: Challenges of a Feminised Agriculture in India</td>
<td>$300,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Edward Aspinall</td>
<td>Money Politics: Patronage, Political Networks and Electoral Dynamics in Southeast Asia</td>
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<td>A/Prof Ippei Fujiwara</td>
<td>Optimal policy in the global liquidity trap</td>
<td>$267,350</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr John McCarthy</td>
<td>Household Vulnerability and the Politics of Social Protection in Indonesia: Towards an Integrated Approach</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Haroro Ingram</td>
<td>Through their eyes: Rethinking the role of information operations in counterinsurgency scholarship and strategy</td>
<td>$393,359</td>
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<td>A/Prof Renee Jeffery</td>
<td>Amnesties and peace accords in the Asia-Pacific</td>
<td>$155,823</td>
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<td>The impact of political reconciliation in the Asia-Pacific</td>
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<td>Dr Michelle Burgis</td>
<td>The Politics of International Criminal Justice after the Arab Uprisings</td>
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<td>Melanesia's violent environments: Extractive resource industries, agrarian change and conflict in the Western Pacific</td>
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<td>Group of Eight</td>
<td>Dr Bjoern Dressel</td>
<td>Judicial politics in the global south: The role of clientelism</td>
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<td>A/Prof Ariel Heryanto</td>
<td>Social identities in Contemporary Indonesia; a new framework of studying Asia</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Nicholas Evans</td>
<td>ARC Centre of Excellence for the Dynamics of Language</td>
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<td>Commonwealth Department of Foreign Affairs and Trade (DFAT)</td>
<td>Prof Peter Drysdale</td>
<td>Australia and strategies for South Asian and East Asian economic integration</td>
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<tr>
<td>Folke Bernadotte Academy</td>
<td>Prof Veronica Taylor</td>
<td>Whose rule of law in post-conflict Mindanao</td>
<td>$56,116</td>
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<td>ACT Department of Environment and Sustainable Development</td>
<td>Prof R Quentin Grafton</td>
<td>A report which evaluates the approaches and measures which can be used to measure performance towards achieving the ACT legislated greenhouse gas emissions targets</td>
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# College of Business and Economics

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<td>Australian Research Council (ARC)</td>
<td>Dr Steven Roberts</td>
<td>Min/Max Autocorrelation Factors in Time Series Studies of the Adverse Health Effects of Ozone</td>
<td>$135,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Timothy Hatton</td>
<td>Height, Body Mass Index and Demographic Changes in the Asian Giants</td>
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<td>Orima Research</td>
<td>Prof Kieron Meagher</td>
<td>Proposal to conduct a Regression/Structural Equation Modelling (SEM) half day workshop at ORIMA Research Pty Ltd</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Kylie Catchpole</td>
<td>Nanophotonic tandem designs for high efficiency solar cells</td>
<td>$843,636</td>
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<td>Australian Research Council (ARC)</td>
<td>Mr Yuchao Dai</td>
<td>Advancing Dense 3D Reconstruction of Non-rigid Scenes by Using a Moving Camera</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Yuerui Lu</td>
<td>Radioisotope-powered Parallel Electron Lithography for High-throughput Nano-manufacturing</td>
<td>$395,220</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Sylvie Thiebaux</td>
<td>Robust AI Planning for Hybrid Systems</td>
<td>$480,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Salman Durrani</td>
<td>Realizable Synchronization Techniques: Unlocking the Potential of Future Wireless Networks</td>
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<td>Coherent Feedback Synchronisation and Stabilisation of Quantum Systems</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Qing Hua Qin</td>
<td>Finite element and optimization methods for creating complex structure in additive manufacturing</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Rajeev Gore</td>
<td>Machine-checked Foundations for Verified Vote Counting</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Shankar Kalyanasundaram</td>
<td>Flexible forming facility for low cost light weight applications</td>
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## Significant Visit, Events, Grants & Consultancies

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<td>Australian Research Council (ARC)</td>
<td>Dr Paul Compston</td>
<td>An Australasian composites research facility with automated bespoke fabrication</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof John K Slaney</td>
<td>Practical Automated Deduction</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Thushara Abhayapala</td>
<td>Active Sound Control and Noise Cancellation over Space</td>
<td>$370,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Stephen Blackburn</td>
<td>Micro Virtual Machine</td>
<td>$300,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Brendan McKay</td>
<td>A new model for random discrete structures: distributions, counting and sampling</td>
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<td>Group of Eight</td>
<td>Dr Kylie Catchpole</td>
<td>High efficiency silicon solar cells with plasmonic back contacts for light trapping</td>
<td>$15,800</td>
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<td>Analysing Voting Schemes Using Logic</td>
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<td>CRC for Advanced Automotive Technology</td>
<td>Dr Matthew Doolan</td>
<td>Development of a technology roadmap for next generation batteries for electrical vehicle application</td>
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<td>Automotive Composites Manufacturing Capability and Quality – Current State and Component Design</td>
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## College of Engineering and Computer Science

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<td>National ICT Australia Ltd</td>
<td>Dr Pascal Van Hentenryck</td>
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<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr David Nisbet</td>
<td>Alpha-P Fourier Transform Infra Red Spectrometer</td>
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### College of Law

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<td>Australian Research Council (ARC)</td>
<td>Mr James Stellios</td>
<td>Law, order and federalism in Australia</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Thomas Faunce</td>
<td>Advancing Energy Sustainability by Governance Leadership in Artificial Photosynthesis (Making Fuels from Sunlight, Water and Carbon Dioxide)</td>
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<td>Chuo University</td>
<td>Dr Hitoshi Nasu</td>
<td>Two week Legal English Program and Introduction to the Australian Legal System for Chuo Students</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Samuel Banks</td>
<td>How does ecological disturbance shape the genetic diversity of natural populations?</td>
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<td>Dr Ben Corry</td>
<td>Understanding ion conduction and drug binding in voltage gated sodium channels</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Peter Butterworth</td>
<td>Mental health, job quality and workforce participation: evidence from population health research to address complex problems and conflicting policies</td>
<td>$857,689</td>
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<td>DIICCSRTE Office for Learning and Teaching</td>
<td>Dr Dirk Van Rooy</td>
<td>Stat Tree: An interactive decision tree mobile application to guide students' statistical decision making</td>
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<td>Prof Robert Heinsohn</td>
<td>Evolutionary and conservation implications of extreme predation on female endangered swift parrots</td>
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<td>Prof John Evans</td>
<td>Thermal acclimation of leaf mesophyll conductance</td>
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<td>Prof Susanne Von Caemmerer</td>
<td>Enhancing and manipulating C4 photosynthesis</td>
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<td>Transcriptome analysis of Phytophthora-plant interactions: characterisation of plant inhibitor proteins targeting Phytophthora extracellular effectors</td>
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<td>Understanding how dynamic changes in chromatin composition control genome function</td>
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<td>Contemporary contestations over working time: should health weigh in?</td>
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<td>Dr Danny Rangasamy</td>
<td>Understanding the role of endogenous siRNAs in the maintenance of genomic defenses</td>
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<td>Re-evaluating the nature, origins and roles of terpenes in Eucalyptus</td>
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<td>Temperature-dependent toxicity of plant secondary compounds to mammalian herbivores</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Vincent Daria</td>
<td>Four-dimensional analysis of information processing in brain circuits</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Albert Van Dijk</td>
<td>Forecasting drought impacts months ahead using satellite data</td>
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<td>Dr Zhong-hua Chen</td>
<td>Drought regulation of chloroplastic retrograde signal PAP and membrane transport in guard cells</td>
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<td>Defining the specificity and efficacy of microRNAs in plants</td>
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<td>The temporal dynamics of conscious object perception</td>
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<td>Why does Australia have so many lizards: Understanding the diversification of a continental fauna</td>
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<td>Dr Dan Warren</td>
<td>Incorporating Phylogeny Into Niche Modelling</td>
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<td>Dr Susanna Venn</td>
<td>Snow, shrub and climate feedbacks: impacts of shrub expansion in the Australian alpine zone</td>
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<td>Dr Luke Holman</td>
<td>Resolving the effect of sexual selection on population fitness and extinction</td>
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<td>Dr Nasser Bagheri</td>
<td>Getting real about risk: using patient medical records for the geospatial analysis of chronic disease risk in Australia</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Ceridwen Fraser</td>
<td>Volcanoes as safe-havens for Antarctic species during ice ages</td>
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<td>Alzheimer's Australia Dementia Research Foundation</td>
<td>Dr Moyra Mortby</td>
<td>Apathy in Mild Cognitive Impairment and Clinically Normal elderly: a biomarker of and risk factor for brain structure, cognitive trajectories and apathetic symptom progression?</td>
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<td>Mr Kim Kiely</td>
<td>The impact of sensory impairment on cognitive decline and dementia: A collaborative cohort study</td>
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<td>A shared mass spectrometer with compound-specific capabilities to support innovative research in biology,</td>
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<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Natalie Spillman</td>
<td>Exported expoxide hydrolases of the malaria parasite, Plasmodium falciparum, and their role in modulation of host vasoregulation</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Murray Badger</td>
<td>ARC Centre of Excellence in Translational Photosynthesis</td>
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<td>Science and Industry Endowment Fund</td>
<td>Ms Yalin Liao</td>
<td>RNA binding metabolic enzymes orchestrate gene transcript organizations and functions</td>
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<td>Australian Rotary Health</td>
<td>Dr Lyndall Strazdins</td>
<td>Work family conflict, work family enrichment and their significance for children's mental health</td>
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<td>Ms Wendy Neilan</td>
<td>The effect of mosaic heterogeneity on the beta diversity of avian assemblages in temperate and tropical modified landscapes.</td>
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<td>Fine-scale post-fire landscape ecology of the Mountain Brushtail Possum, Trichosurus cunninghamii</td>
<td>$7,180</td>
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<td>The World Bank Group, Washington</td>
<td>Dr Sharon Friel</td>
<td>Integrating Nutrition Promotion and Rural Development (INPARD) Sri Lanka</td>
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<td>Condamine Catchment Natural Resource Management Corporation Ltd</td>
<td>Dr Sondoss El Sawah</td>
<td>Systems modelling for NRM planning</td>
<td>$89,250</td>
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<td>Wakefield Gastroenterology Research Trust</td>
<td>Dr Mark Hayes</td>
<td>Studies related to the confirmation of gene and protein expression changes in human adipose tissue, HepG2 cells, rat liver and rat duodenum before and after gastric bypass surgery</td>
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<td>Norman Wettenhall Foundation</td>
<td>Ms Shana Nerenberg</td>
<td>Leveraging landscapes for biodiversity restoration - floristic diversity at no extra cost?</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Ryan Phillips</td>
<td>The evolution and conservation consequences of promiscuity in plants pollinated by vertebrates</td>
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<tr>
<td>Diabetes Australia</td>
<td>Dr Viviane Augusto</td>
<td>The role of iron in inflammatory set injury</td>
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<td>Grains Research and Development Corporation (GRDC)</td>
<td>A/Prof Josette Masle</td>
<td>Plants better adapted to moisture stress and increased soil strength</td>
<td>$840,000</td>
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<tr>
<td>Genentech</td>
<td>Dr Stephen Winslade</td>
<td>Genentech Research Collaboration and Exclusive License Agreement</td>
<td>$1,310,127</td>
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<td>Commonwealth Scientific and Industrial Research Organisation (CSIRO)</td>
<td>Prof Murray Badger</td>
<td>Australian Plant Phenomics Facility</td>
<td>$283,633</td>
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<tr>
<td>Australian Academy of Science</td>
<td>A/Prof Alex Maier</td>
<td>Understanding the role of skeletal binding protein 1 in malaria pathogenesis</td>
<td>$13,800</td>
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<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Marco Casarotto</td>
<td>Wyatt QELS-Quasi-Elastic Light Scattering Accessory</td>
<td>$32,240</td>
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<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Anselm Enders</td>
<td>561nm yellow green laser for flow cytometry</td>
<td>$31,000</td>
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</table>
## College of Physical, and Mathematical Sciences

<table>
<thead>
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<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
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<th>Total Amount Awarded</th>
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<tbody>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Alexander Isaev</td>
<td>The reconstruction and recognition problems for hypersurface singularities</td>
<td>$375,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Pierre Portal</td>
<td>Harmonic analysis in rough contexts</td>
<td>$574,820</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Michelle Coote</td>
<td>p-H switching of radical reactivity by Orbital Conversion</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Julie Clutterbuck</td>
<td>Curvature flows and spectral estimates</td>
<td>$605,460</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Penelope King</td>
<td>Tracking Water on Planetary Surfaces Using Data from the Curiosity Rover, the Laboratory, Meteorites and Australian Field Sites</td>
<td>$755,041</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Michael Ireland</td>
<td>Directly Imaging Exoplanet Birth</td>
<td>$656,777</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Alan Welsh</td>
<td>Prediction, inference and their application to modelling correlated data</td>
<td>$351,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Gottfried Otting</td>
<td>New methods for structural biology and drug discovery by nuclear magnetic resonance spectroscopy</td>
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</table>
### College of Physical and Mathematical Sciences

<table>
<thead>
<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
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<th>Total Amount Awarded</th>
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<td>Australian Research Council (ARC)</td>
<td>Dr Andrew Lawrence</td>
<td>Biomimetic Synthesis of Dimeric Natural Products</td>
<td>$420,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Neil Manson</td>
<td>Mechanical control of defect spins in diamond and its applications in quantum technology</td>
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<td>Australian Research Council (ARC)</td>
<td>A/Prof Jodie Bradby</td>
<td>Investigating the behaviour of semiconductor materials under extreme pressures</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Bram Slagmolen</td>
<td>Building and commissioning Gravitational Force Sensors</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Mark Kendrick</td>
<td>Global Scale Cycling of Noble Gases and Halogens</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Geoffrey Bicknell</td>
<td>The key role of Black Holes in galaxy evolution</td>
<td>$399,231</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Scott Morrison</td>
<td>Symmetries of sub factors</td>
<td>$360,000</td>
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</table>
### College of Physical and Mathematical Sciences

<table>
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<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
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<tbody>
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<td>Australian Research Council (ARC)</td>
<td>Prof Mark Humphrey</td>
<td>Advanced Cluster Materials for Optical Limiting Applications</td>
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<td>Australian Research Council (ARC)</td>
<td>Prof Christopher Easton</td>
<td>Studies of peptide hormones directed towards therapies for human cancers</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Stephen Williams</td>
<td>Understanding dissipation, thermal conduction and diffusion in superionic conductors using ab initio nonequilibrium molecular dynamics simulation</td>
<td>$357,230</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Anthony Licata</td>
<td>Braid groups and higher representation theory</td>
<td>$357,230</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Michael Sherburn</td>
<td>Next generation synthesis</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Anton Wallner</td>
<td>Laboratory studies of Nucleosynthesis via Accelerator Mass Spectrometry</td>
<td>$630,000</td>
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<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Ms Nerilie Abram</td>
<td>Equator to Pole: Reconstructing tropical and Antarctic climate variability over the last millennium and their</td>
<td>$480,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Jimin Yu</td>
<td>Mid-depth Atlantic circulation during the Last Glacial Maximum and deglaciation</td>
<td>$303,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Ross Griffiths</td>
<td>Interactions of physical processes for Southern Ocean dynamics</td>
<td>$405,000</td>
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College of Physical and Mathematical Sciences

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<th>Primary Funds Provider</th>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Masahiko Honda</td>
<td>Diamonds – Time Capsules of Ancient Mantle Volatiles and the Key to Dynamic Earth Evolution</td>
<td>$370,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Vincent Craig</td>
<td>Surface forces between novel surfaces</td>
<td>$565,000</td>
</tr>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Hrvoje Tkalcic</td>
<td>Rapid Determination of Earthquake Sources in Australia</td>
<td>$170,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Trevor Ireland</td>
<td>Sulfur isotope fractionations in Earth evolution</td>
<td>$468,498</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Gregory Yaxley</td>
<td>The Earth’s Deep Carbon Cycle</td>
<td>$330,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Mark Humphrey</td>
<td>Multifunctional metal alkynyls for Nonlinear Optics</td>
<td>$560,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Wieslaw Krolikowski</td>
<td>Microengineering of nonlinear optical media with ultrafast light</td>
<td>$380,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Lan Fu</td>
<td>Towards high performance compound semiconductor nanowire array solar cells</td>
<td>$390,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Andrew Stuchbery</td>
<td>Auger-electron yields of medical radioisotopes</td>
<td>$453,000</td>
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### College of Physical and Mathematical Sciences

<table>
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<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Matthew Hole</td>
<td>Anisotropy and flow in fast-particle dominated and burning tokamak plasmas: stability of ITER and the coming demonstration fusion power plant</td>
<td>$270,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Daniel Shaddock</td>
<td>Technologies for space based interferometry</td>
<td>$360,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Andrew Roberts</td>
<td>Driving a palaeomagnetic revolution: geophysical and environmental signals from magnetic biominerals</td>
<td>$220,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof David McClelland</td>
<td>Quantum enhancement of long baseline gravitational wave detectors</td>
<td>$575,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof David Hinde</td>
<td>Leading a coordinated international approach to understand the zeptosecond physics of superheavy element formation</td>
<td>$1,070,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Yuri Amelin</td>
<td>Precise cross-calibration of 40Ar/39Ar, Rb-Sr and U-Pb chronometers: towards an integrated geochronology toolbox</td>
<td>$403,334</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Stewart Fallon</td>
<td>Bubble, Bubble CO2 is the trouble: A Natural Ocean Acidification Experiment in a coral reef setting</td>
<td>$255,000</td>
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<td>Australian Research Council (ARC)</td>
<td>Dr Tibor Kibedi</td>
<td>Precision pair spectroscopy of the Hoyle State</td>
<td>$546,000</td>
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### College of Physical and Mathematical Sciences

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<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
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<tbody>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Christine Charles</td>
<td>Physics of a new low power electrothermal radiofrequency plasma thruster</td>
<td>$391,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Joerg Hermann</td>
<td>Water in the deep Earth</td>
<td>$250,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Robert Rapp</td>
<td>Continents in the Mantle Transition Zone? Sediment Recycling and the Geochemical Fertilization of the Deep Mantle</td>
<td>$166,000</td>
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<td>Primary Investigator</td>
<td>Title</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>A/Prof Jodie Bradby</td>
<td>Synthesis of novel phases from Group IV elements under extreme pressure</td>
<td>$290,000</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr David Smyth</td>
<td>New compactifications of moduli spaces of curves</td>
<td>$379,549</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>A/Prof Jarod Alper</td>
<td>Advances in algebraic stacks and applications</td>
<td>$393,979</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Elizabeth Williams</td>
<td>Breaking through the barrier: a new approach to reaching a full understanding of quantum tunneling in nuclear fusion</td>
<td>$395,220</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Simon Wood</td>
<td>The Algebraic Structure of Logarithmic Conformal Field Theory</td>
<td>$334,710</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Mr Bishakhdatta Gayen</td>
<td>A new understanding of Antarctic ice melting</td>
<td>$371,151</td>
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**College of Physical and Mathematical Sciences**

<table>
<thead>
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<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Peter McGregor</td>
<td>A Sensitive Tip-Tilt Wave-Front Sensor for the Multi-Conjugate Adaptive-Optics System on the Gemini South Telescope</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Matthew Colless</td>
<td>TAIPAN - a spectrograph to survey the southern sky</td>
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<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
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</tr>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Vincent Craig</td>
<td>Surface and Colloid Characterisation Facility</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof David McClelland</td>
<td>Equipment for Internal Collaboration in Gravitational Wave Detection</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Barry Luther-Davies</td>
<td>Coherent Tbit/s Universal Transmitter for rapid prototyping and system emulation</td>
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<tr>
<td>Australian Research Council (ARC)</td>
<td>Prof Richard Arculus</td>
<td>Australian Membership of the International Ocean Discovery Program</td>
<td>$3,600,000</td>
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<tr>
<td>Group of Eight</td>
<td>Dr Matthew Hole</td>
<td>Mode drive and damping in fully three dimensional fusion plasmas</td>
<td></td>
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<tr>
<td>Science and Industry Endowment Fund</td>
<td>Prof Michelle Coote</td>
<td>SIEF John Stocker Postdoctoral Fellowship 2014</td>
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<tr>
<td>Group of Eight</td>
<td>Dr Colin Jackson</td>
<td>Exploring the role of arginine through synthetic biology</td>
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</table>

**College of Physical and Mathematical Sciences**

<table>
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<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
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<tr>
<td>Science and Industry Endowment Fund</td>
<td>Dr Colin Jackson</td>
<td>Anticipating and Combating the Challenge of Insecticide Resistance</td>
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<td>Ian Potter Foundation</td>
<td>Prof John Howard</td>
<td>Optimizing tokamak performance: a new way to measure the plasma internal current and its instabilities</td>
<td>$10,000</td>
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<td>Science and Industry Endowment Fund</td>
<td>Dr Colin Jackson</td>
<td>Engineering and optimization of a novel oil biosynthesis pathway</td>
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<td>Lockheed Martin Integrated Systems &amp; Services</td>
<td>Prof Christine Charles</td>
<td>R&amp;D plan to increase Pocket Rocket Thruster's Technology Readiness Level (TRL)</td>
<td>$109,084</td>
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<td>Agilent Technologies Australia Pty Ltd</td>
<td>Dr Steve Madden</td>
<td>On-chip Glass Mode Locked Laser for MIR Sensing &amp; Ultrafast Instrumentation</td>
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<td>Attachment 23.1</td>
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<tr>
<td><strong>DIICCSRTE Office for Learning and Teaching</strong></td>
<td><strong>Dr Inger Mewburn</strong></td>
<td><strong>INSIGNIA: An open badge system for research training and supervision at ANU</strong></td>
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<tr>
<td><strong>University of Technology Sydney</strong></td>
<td><strong>Dr Elizabeth Beckmann</strong></td>
<td><strong>General Consultancy - Beckmann</strong></td>
<td><strong>$9,900</strong></td>
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## Grants and Consultancies Awarded & Recorded in ARIES Summary: 1 November to 31 December 2013*

### Category 1 Research Income: Australian Competitive Grants

<table>
<thead>
<tr>
<th>Category</th>
<th>Total 2012</th>
<th>YTD 2013</th>
<th>1 November to 31 December 2013</th>
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<tbody>
<tr>
<td></td>
<td>CAP</td>
<td>CASS</td>
<td>CBE</td>
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<tr>
<td>Australian Research Council</td>
<td>65,990,808</td>
<td>70,343,071</td>
<td>5,113,326</td>
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<tr>
<td>NHMRC</td>
<td>11,648,862</td>
<td>8,130,594</td>
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<tr>
<td>Other</td>
<td>20,548,674</td>
<td>9,811,129</td>
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<td><strong>Category 1 Total</strong></td>
<td><strong>98,188,344</strong></td>
<td><strong>88,284,794</strong></td>
<td><strong>5,113,326</strong></td>
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### Category 2 Research Income: Other Public Sector Income

<table>
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<th>Category</th>
<th>Total 2012</th>
<th>YTD 2013</th>
<th>1 November to 31 December 2013</th>
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<tbody>
<tr>
<td></td>
<td>CAP</td>
<td>CASS</td>
<td>CBE</td>
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<tr>
<td>Local Government</td>
<td>56,051</td>
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<td>State Government</td>
<td>1,284,561</td>
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<td>Other Australian Government</td>
<td>20,102,275</td>
<td>38,253,176</td>
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<td><strong>Category 2 Total</strong></td>
<td><strong>21,442,887</strong></td>
<td><strong>39,025,634</strong></td>
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### Category 3 Research Income: Industry and Other Income

<table>
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<th>Category</th>
<th>Total 2012</th>
<th>YTD 2013</th>
<th>1 November to 31 December 2013</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>CAP</td>
<td>CASS</td>
<td>CBE</td>
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<tr>
<td>Australian Sources</td>
<td>2,243,711</td>
<td>2,428,221</td>
<td>20,000</td>
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<tr>
<td>International A Sources</td>
<td>5,150,143</td>
<td>945,875</td>
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<tr>
<td>International B Sources</td>
<td>4,450,506</td>
<td>13,737,526</td>
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<td><strong>Category 3 Total</strong></td>
<td><strong>11,844,360</strong></td>
<td><strong>17,111,622</strong></td>
<td><strong>76,116</strong></td>
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### Category 4 Research Income: Cooperative Research Centres

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<th>1 November to 31 December 2013</th>
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<tbody>
<tr>
<td></td>
<td>CAP</td>
<td>CASS</td>
<td>CBE</td>
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<tr>
<td><strong>Category 4 Total</strong></td>
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### HERDC Ineligible

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<th>1 November to 31 December 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CAP</td>
<td>CASS</td>
<td>CBE</td>
</tr>
<tr>
<td>ARC Linkage Infrastructure, Equipment &amp; Facilities</td>
<td>3,426,000</td>
<td>3,600,000</td>
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<tr>
<td>Other Funds</td>
<td>15,269,084</td>
<td>13,959,140</td>
<td>83,000</td>
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<tr>
<td><strong>HERDC ineligible Total</strong></td>
<td><strong>18,695,084</strong></td>
<td><strong>17,559,140</strong></td>
<td><strong>83,000</strong></td>
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</tbody>
</table>

*Data extracted from ARIES on 17/01/2014*
*C 24 Confidential to Council Members
PART 5 – OTHER BUSINESS

25. QUESTION TIME

PURPOSE
For Members of Council to ask questions.

SPONSOR
Chancellor

RECOMMENDATION
That Council note any matters raised in question time.

ACTION REQUIRED
For discussion ☑ For decision ☐ For information ☐
26. OTHER BUSINESS

| PURPOSE | For Council Members to raise any other business for the consideration of the meeting. |
| SPONSOR | Chancellor |

**RECOMMENDATION**
That the Council consider any other business raised.

**ACTION REQUIRED**
- For discussion ✓
- For decision ☐
- For information ☐
27. NEXT MEETING

PURPOSE For Council Members to note the date of the next meeting of Council.

SPONSOR Chancellor

RECOMMENDATION That Council note the next meeting of Council to be held on 28 March 2014.

ACTION REQUIRED
For discussion ☐ For decision ☐ For information ☑