COUNCIL

The 443rd meeting of the Council will be held at 9:15 am on Friday 1 December 2017 in the R C Mills Room, Chancery. Any additional papers and briefing materials will be available in the Mills Room from 8.30am.

Apologies and enquiries to the Corporate Governance and Risk Office by telephone on (02) 6125 2113 or email at: director.governance@anu.edu.au

Chris Reid
Director, Corporate Governance and Risk Office

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IMPORTANT INFORMATION FOR MEMBERS

CONFIDENTIALITY
Members of Council and others receiving the agenda are reminded of the need for careful discretion in the use and communication of Council business, referring to the Director, Corporate Governance and Risk Office, or other appropriate officer of the Council when in doubt.

Council business marked or declared to be confidential is not at any stage to be communicated to others without prior reference to the Chairperson or the Director, Corporate Governance and Risk Office. Only papers considered especially confidential are so marked.

All matters relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential.

CONDUCT OF COUNCIL MEMBERS
Members of Council are considered officials for the purposes of the Public Governance, Performance & Accountability Act 2013. The definition of officials includes all members of the ANU Council, as well as all officers, employees and members of the University.

Division 3, sections 25 to 29 of the Public Governance, Performance & Accountability Act 2013, sets out the general duties of officials. As an official, a member of the Council may be removed from their position if they breach those general duties.

Duty of Care & Diligence
A member of the Council must exercise their powers, perform their functions and discharge their duties with the degree of care and diligence that a reasonable person would exercise if they:

- were a member of the Council in the University's circumstances; and
- occupied the position held by, and had the same responsibilities within the University as, the member of the Council.

Duty to Act in Good Faith and for Proper Purpose
A member of the Council must exercise their powers, perform their functions, and discharge their duties in good faith and for a proper purpose.

Duty in Relation to Use of Position
A member of the Council must not improperly use their position to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth or to any other person.

Duty in Relation to Use of Information
A member of the Council who obtains information because of that position, must not improperly use that information to gain an advantage for themselves or for any other person; or to cause detriment to the University, the Commonwealth, or any other person.

Duty to Disclose Interests
A member of the Council who has a material personal interest that relates to the affairs of the University must disclose the details of the interest.

SUBMISSION OF ITEMS BY MEMBERS OF COUNCIL
Members of Council should communicate to the Vice-Chancellor matters which they wish to have included on the agenda for a meeting of Council. Full details and documentation relating to any items to be included in the agenda should be submitted at least 16 days before the meeting.

AGENDA ON THE INTERNET
The agenda and minutes for meetings of Council are available on the Internet at:

http://www.anu.edu.au/about/governance/committees/council
PART 1 – PROCEDURAL ITEMS

* 1 ANNOUNCEMENTS AND APOLOGIES

Mr Graeme Samuel is an apology on account of official commitments requiring his presence in Sydney.

Mr Peter Yu is also an apology, for personal reasons.

There are no other apologies for this meeting.

The Chair may speak.

* 2 LEAVE OF ABSENCE

Subsection 15(1)(d) of the *Australian National University Act 1991* provides that if a member of the Council (other than an ex officio member) is absent without leave of the Council from three consecutive meetings of the Council, the member’s office becomes vacant.

The Chair invites members to seek leave from meetings of Council which they expect to be unable to attend.

* 3 DISCLOSURE OF MATERIAL PERSONAL INTEREST

In accordance with Division 3, section 29 of the *Public Governance, Performance and Accountability Act 2013*, members of Council are required to declare any direct or indirect material personal interest in matters on the agenda.

* 4 ARRANGEMENT OF AGENDA

1. The Chair will ask whether any further items should be considered confidential.
2. The Chair will ask whether any further items should be starred for discussion.
3. The Vice-Chancellor will move that the unstared items be dealt with as proposed in the agenda.
4. The Chair will invite members to foreshadow matters to be raised under Agenda Item 38, Other Business.
5. The Chair will ask if there are any agenda items that need to be re-sequenced.

*C5. MINUTES

**RECOMMENDATION**

That Council confirm the minutes of the meeting held on 29 September 2017 (376/2017) as a true and accurate record.
THE AUSTRALIAN NATIONAL UNIVERSITY

COUNCIL MINUTES

The 442nd meeting of the Council was held at 9:15am on Friday 29 September 2017, in the R C Mills Room, Level 4, Chancelry Building, ANU campus, Canberra.

Confidential until confirmed by Council
PART 2 – KEY BUSINESS ITEMS

*C6 - 9 Confidential to Council Members
PART 3 – STRATEGIC ISSUES

*10. RESEARCH AND INNOVATION

PURPOSE
To consider the issues identified in the presentation about ANU research and innovation.

PREPARED BY
Deputy Vice-Chancellor (Research & Innovation)

REVIEWED BY
Vice-Chancellor

APPROVED BY
Vice-Chancellor

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the issues identified in the presentation about ANU research and innovation.

ACTION REQUIRED
For discussion ☑ For decision ☐ For information ☑

CONSULTATION
Staff ☐ Students ☐ Alumni ☐ Government ☐ Other ☐ Not applicable ☑

BACKGROUND
The Deputy Vice Chancellor (Research & Innovation) will make a presentation to the Council on research and innovation at ANU.

ATTACHMENT
10.1 Presentation: ANU Research and Innovation (406/2017).

COMMUNICATION
☐ For public release ☐ For internal release ☑ Not for release
PART 4 – OTHER MATTERS FOR DECISION

*11. ANNUAL GOVERNANCE CONFORMANCE STATEMENT 2017

PURPOSE

PREPARED BY
Associate Director, Corporate Governance and Policy

REVIEWED BY
Director, Corporate Governance and Risk Office

APPROVED BY
Chancellor

SPONSOR
Chancellor

RECOMMENDATION
That Council, for the 2017 reporting period, confirm the University’s conformance with the:

- Australian National University Act 1991,
- Public Governance, Performance and Accountability Act 2013,
- Higher Education Standards Framework (Threshold Standards) 2015: Part 6 Governance and Accountability, and
- Voluntary Code of Best Practice for the Governance of Australian Universities.

ACTION REQUIRED
☑ For discussion ☐ For decision ☐ For information

CONSULTATION
☐ Staff ☐ Students ☐ Alumni ☐ Government ☐ Other ☑ Not applicable

COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION
☐ Finance ☐ Audit & Risk Management ☐ Campus Planning ☐ Remuneration
☐ Major Projects ☐ Honorary Degrees ☐ Nominations ☐ Academic Board

BACKGROUND

The Voluntary Code requires that:

Principle 6: On an annual basis, the governing body should also review its conformance with this Code of Best Practice and identify needed skills and expertise for the future.

Principle 14: A university should disclose in its annual report its compliance with this Code of Best Practice and provide reasons for any areas of non-compliance.
Consistent with this, the 2017 review, has considered all sources of authority for governance, to provide Council with a comprehensive account of conformance with mandated governance arrangements and processes.

SUMMARY OF ISSUES

The Corporate Governance and Risk Office (CGRO) has undertaken an assessment of each individual requirement within the four source authorities for the governance arrangements and processes of the University. The full assessment of conformance runs to 45 pages and so has not been included in the Council papers. It is however available on the Council secure server (December 2017 meeting folder) for Council members to review should they wish. A summary of the analysis is presented below.

**Australian National University Act 1991**

Consistent with the findings and recommendations of the Walker Review 2014 the University continues to demonstrate a high standard of governance. Substantive work, led by CGRO, has seen almost all of the Walker Review recommendations implemented, thus further lifting the standard of governance in the University. Outstanding recommendations for implementation relate to the Bill for a new ANU Act, or actions that are contingent on the passage of that Bill.

The detailed analysis by CGRO also confirms compliance with all requirements of the *Australian National University Act 1991* for the 2017 reporting period.

**Public Governance, Performance and Accountability Act 2013**

Since the commencement of the *Public Governance, Performance and Accountability Act 2013* the University has been working to adjust relevant existing arrangements, and putting in place new arrangements to conform to legislative requirements. Further examination by CGRO also confirms that in 2017 the University has in place satisfactory arrangements to respond and comply with all requirements.

The University has been liaising with the Department of Finance to ensure that the preparation of its new Strategic Plan meets the requirements under this Act for a ‘corporate plan’. With the Department’s agreement, the strategic plan was submitted to the relevant Ministers and published as required in February 2017 (following consideration and approval by the Council at its 2 December 2016 meeting). Preparation of performance statements (within the Annual Report), reporting on the strategic plan, as required by the Act, will formally occur for the first time in the 2017 Annual Report (noting the University has reported on its performance in the Annual Report in other ways for many years).

**Higher Education Standards Framework (Threshold Standards) 2015: Part 6 Governance and Accountability**

Analysis has confirmed compliance with all requirements of the *Higher Education Standards Framework (Threshold Standards) 2015*. This analysis has been undertaken by the Corporate Governance and Risk Office and Division of Student Administration.

**Voluntary Code of Best Practice for the Governance of Australian Universities**

In response to the Walker Review recommendations, the University has further strengthened its governance arrangements processes. There has been no diminution of governance standards since the last occasion the Council affirmed its view the University complied with the requirements of the Voluntary Code. The detailed analysis by CGRO also confirms compliance with all requirements of the Voluntary Code over the 2017 reporting period.

ATTACHMENT

11.1 Annual governance conformance statement (407/2017) *(available on the secure server as a separate file)*

COMMUNICATION

☑ For public release  ☐ For internal release  ☐ Not for release

A statement confirming Council’s attestation that the University conforms to the mandated and voluntary governance requirements will be included in the 2017 Annual Report.
C12 - 14. Confidential to Council Members
*15. ANU (ACADEMIC BOARD) STATUTE 2017

PURPOSE For Council to consider making of the Australian National University (Academic Board) Statute 2017

PREPARED BY Associate Director, Corporate Governance and Policy

REVIEWED BY Director, Corporate Governance and Risk Office

APPROVED BY Chair, Academic Board

SPONSOR Vice-Chancellor


ACTION REQUIRED
☑ For discussion ☑ For decision ☐ For information

CONSULTATION ☑ Staff ☑ Students ☐ Alumni ☐ Government ☐ Other ☐ Not applicable

The Statute (and the associated Academic Board Rule which will be subsequently made by the Vice-Chancellor) has been considered and endorsed by the Academic Board.

BACKGROUND

The Australian National University (Academic Board) Statute 2017 establishes the formal basis for the Academic Board. The Higher Education Standards Framework (Threshold Standards) 2015 require that the University have processes and structures that establish and assign responsibilities that collectively:

- achieve effective academic oversight of the quality of teaching, learning, research and research training
- set and monitor institutional benchmarks for academic quality and outcomes
- establish and maintain academic leadership at an institutional level, consistent with the types and levels of higher education offered, and
- provide competent advice to the corporate governing body and management on academic matters, including advice on academic outcomes, policies and practices.

The Academic Board of the University is intended to support compliance with these requirements, being the apex of the academic governance of the University.

SUMMARY OF ISSUES

The arrangements for the Academic Board and its supporting committee structure have been reviewed. The following changes are proposed:

- The statute for the Academic Board is restructured to move most of its substantive content into a new version of the Academic Board Rule (which is made by the Vice-Chancellor). The statute will establish (and continue) the Academic Board and allow the Vice-Chancellor to determine the details for its operation through the making of a rule.

- The Academic Board Rule will modify the membership of the Academic Board to:
  - Reflect the new colleges of ANU College of Science and ANU College of Health and Medicine; and
  - Remove the Dean of the ANU Medical School as a member, now that the Dean of the ANU College of Health and Medicine will be a member.
• The Academic Board Rule will no longer explicitly state the details of the committees of the Academic Board, instead it will provide authority for the Academic Board to establish committees as it deems appropriate and necessary.

• Subject to Council’s approval of this Statute, at the Academic Board meeting of 5 December, it will be asked to (re)establish Committees of the Academic Board as:
  o University Research Committee
  o Academic Quality Assurance Committee
  o Teaching and Learning Development Committee

The latter two committees replace the existing University Education Committee and its 3 associated sub-committees, establishing a more effective and less complex committee structure. The Committees will have charters approved for them by the Academic Board (the charters have been modelled on the charters used for Council Committees).

ATTACHMENT
15.1 Australian National University (Academic Board) Statute 2017 (411/2017)

COMMUNICATION
☐ For public release ☑ For internal release ☐ Not for release

The new legislation is published on the ANU legislation webpage and the Federal Legislation website.
16. POSTGRADUATE & RESEARCH STUDENTS’ ASSOCIATION CONSTITUTION – AMENDMENT TO CONSTITUTION

**PURPOSE**
To consider changes to the Postgraduate and Research Students’ Association (PARSA) Constitution, as approved by the PARSA Ordinary General Meeting on 26 September 2017

**PREPARED BY**
President, Postgraduate and Research Students’ Association (PARSA)

**REVIEWED BY**
Associate Director, Corporate Governance and Policy

**APPROVED BY**
Deputy Vice-Chancellor (Academic)

**SPONSOR**
Vice-Chancellor

**RECOMMENDATION**
That Council approve the changes to the Postgraduate and Research Students’ Association Constitution, as approved by the PARSA Ordinary General Meeting held on 26 September 2017.

**ACTION REQUIRED**
- For discussion
- For decision
- For information

**CONSULTATION**
- Staff
- Students
- Alumni
- Government
- Other
- Not applicable

**BACKGROUND**
As per section 33 of the PARSA Constitution all constitutional amendments must be ratified by the University Council.

Aspects of four sections (sections 2, 6, 11 and 27) within the PARSA constitution were changed at the PARSA Ordinary General Meeting on 26 September 2017, in order to:

- Align the PARSA Constitution with the changes to the Colleges of Science
- Include the new Higher Degree by Research Officer role
- Allow for stipends and honoraria to be determined by a Regulation, thus determined by the Postgraduate Representative Council (PRC), rather than enshrined in the Constitution

The proposed changes to the PARSA Constitution have been developed with PARSA’s Principal Lawyer.

**SUMMARY OF ISSUES**

*Changes to the Colleges of Science (section 2)*
PARSA has changed the Constitution to ensure alignment with the Colleges of Science changes. This is a priority for the organisation as otherwise the College Representatives would have been elected through outdated structures, not in alignment with College structures, and compromising PARSA’s ability to represent students of these Colleges.

*Inclusion of a Higher Degree by Research (HDR) Officer (section 6)*
The PARSA PRC brought to the membership at the 2017 AGM in May a proposal to consider a HDR Officer role, noting the marginalisation and issues within this community. There was overwhelming support for such a role at the AGM, and thus, to constitutitionally enshrine this position, the PARSA
PRC brought this recommendation forward to the membership at the 26 September 2017 Ordinary General Meeting.

**Stipend and Honoraria Payment (sections 11 and 27)**

The regulation of Stipend or Honoraria to PARSA Officers has been a contentious issue for several years, with previous PRC’s failing to change the current section of the constitution, namely section 27. Issues with the past section included: the ability for the President to draw a large honoraria; an inability to provide honoraria in a timely way which undermines its usefulness in reducing barriers to participating in PARSA; a lack of rights for Officers, aside from the President, to request honoraria.

Moving to a Regulation allows Stipends and Honoraria to be determined by the PRC, and therefore updated as needed through this body, rather than the membership. The Regulation also allows for a through articulation surrounding the conditions for Honoraria or Stipend. This Regulation must also be publically available, thus ensuring accountability to our membership: [Stipend and Honoraria Regulation](#).

**ATTACHMENT**

16.1 Amended PARSA Constitution (412/2017)

**COMMUNICATION**

☑ For public release   ☐ For internal release   ☐ Not for release

PARSA will be notified of the decision by Council and will be advised that they may communicate the decision to their membership.
PART 5 – OTHER MATTERS FOR NOTING

*C17 - 20.  Confidential to Council Members
21. COUNCIL AND COUNCIL COMMITTEE MEMBERS – SKILLS MATRIX

PURPOSE
To inform Council about the range of available skills, experience and networks of members of Council and Council Committees, as provided in the accompanying skills matrix.

PREPARED BY
Associate Director, Corporate Governance and Policy

REVIEWED BY
Director, Corporate Governance and Risk Office

APPROVED BY
Chancellor

SPONSOR
Chancellor

RECOMMENDATION
That Council:

1. Approve the updated ANU Council and Council Committee Skills Matrix for reference by the Nominations Committee;

2. Note that the skills matrix will inform Council and Council Committee succession planning and will continue to be updated annually, or as, and when, new appointments are required; and

3. Note identified skills gaps, and advise the Nominations Committee, as appropriate, on priorities for future Council membership.

ACTION REQUIRED
For discussion ☑  For decision ☑  For information ☑

CONSULTATION
Staff ☐  Students ☐  Alumni ☐  Government ☐  Other ☑  Not applicable ☐

All members of Council and Council Committees were provided with a copy of the draft update to ANU Council and Council Committee Skills Matrix and were for feedback by 3 November 2017. The accompanying matrix reflects the feedback provided by members.

BACKGROUND
In 2014, the Walker Review of the Australian National University Act 1991 and Governance Arrangements recommended:

Recommendation 14

It is recommended that the Nominations Committee should develop a ‘skills register’ to ensure that the members of Council, collectively, meet the needs of a skills-based board.

(Finding 4)

The Corporate Governance and Risk Office developed a framework for the skills register, which was approved by Council at its 4 December 2015 meeting. To update the skills register, the Corporate Governance and Risk Office have again undertaken an initial assessment and update of the skill sets of all members of Council and Council Committees, which has then been supplemented with feedback from members of Council and Council Committees.

Council should note that the terms of Ms Naomi Flutter (as a Council member) and Professor Suzanne Cory AC will end on 30 June 2018. Under the Council’s succession plan, external Council members are ordinarily appointed for two four-year terms. Ms Flutter and Professor Cory are reaching the end of their first four-year term, and are eligible for re-appointment. These members are asked to advise the Chancellor of their desire to serve a second term.

In February 2018, the Nominations Committee will nominate three people to the Minister to fill two vacancies. The Bill for the Australian National University Act 2017 establishes a requirement whereby the Nominations Committee must nominate one more person than the
number of vacancies on the Council. This is not formally required at this time, but the Committee has sought to observe it as a goodwill gesture to the Minister.

At this point, the working assumption is that the Nominations Committee will nominate Ms Flutter and Professor Cory for re-appointment, plus one further suitable person. Council members may wish to offer their views on either the attributes of the nominee or the name of a possible nominee to the Chancellor.

The Nominations Committee are also deliberating on the future pipeline of nominees, looking to potential replacements for Mr Graeme Samuel AC (2019) and Dr Doug McTaggart (2020), both of whom will reach the end of their two four-year terms.

The members of the Nominations Committee are:

- Chancellor
- Pro-Chancellor
- Vice-Chancellor
- Ms Julie Steiner
- Ms Robin Hughes AO
- Professor Tim Senden

**SUMMARY OF ISSUES**

The ANU Act requires that:

- there is a balance of skills, expertise and gender among members of the Council;
- at least two of the members appointed by the Minister must have a high level of relevant financial expertise; and
- at least one member appointed by the Minister must have a high level of relevant commercial expertise.

The Council has also identified the following key attributes:

- people who are regarded as fit and proper persons;
- people who have an appreciation of the values of the University, its core activities of teaching and research, its exercise of independence and academic freedom, and the capacity to appreciate what the University’s external community needs from it;
- a balance of members who reside amongst the States and Territories of Australia; and
- the need for the Council membership to include indigenous Australians.

Some key features and skills gaps in the membership which Council may wish to note or deliberate on:

- Council maintains high levels of relevant financial and commercial expertise (currently provided by Naomi Flutter, Graeme Samuel, Doug McTaggart and Peter Yu).
- The gender balance of the Council is now (as at 1 December 2017) 60% female and 40% male.
- The Council now has members drawn from New South Wales, Victoria, South Australia, Queensland, Western Australia and the Australian Capital Territory. It should be noted, following the retirement of Robin Hughes, only one member is from New South Wales.
- The average years of service amongst Council members has dropped from 5.1 years in 2016 to 4.4 years in 2017.
- One Council or Committee member identifies as being an indigenous Australian.
- The limited number of Council or Committee members with relevant philanthropic networks.
- The absence of experience in campus/urban planning, estate management, or a related field.
- Following retirements of Mr Graeme Samuel AC (2019) and Dr Doug McTaggart (2020), Council will lose substantial commercial, financial and investment expertise amongst its membership.

**ATTACHMENTS**

21.1 ANU Council and Council Committee Skills Matrix (418/2017)

**COMMUNICATION**

For public release ☐  For internal release ☐  Not for release ☑
22. FINANCE COMMITTEE REPORT ON 2017 PERFORMANCE

PURPOSE
To provide a summary of the Finance Committee’s report on 2017 performance.

PREPARED BY
Manager, Audit & Risk, Corporate Governance & Risk

REVIEWED BY
Director, Corporate Governance & Risk

APPROVED BY
Director, Corporate Governance & Risk

SPONSOR
Chair, Finance Committee

RECOMMENDATION
That Council note the summary of the Finance Committee’s report on 2017 performance.

ACTION REQUIRED
☐ For discussion ☐ For decision ☑ For information

CONSULTATION
☐ Staff ☐ Students ☐ Alumni ☐ Government ☐ Other ☑ Not applicable

COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION
☑ Finance ☐ Audit & Risk Management ☐ Campus Planning ☐ Remuneration
☐ Major Projects ☐ Honorary Degrees ☐ Nominations ☐ Academic Board

SUMMARY
Clause 36 of the ANU Finance Committee Charter requires that the Committee conducts a review of its performance each year. A self-assessment survey was circulated to all members of the Committee to provide the opportunity for evaluation of the Committee’s performance.

Respondents provided the following positive feedback:

- The skills, experience and quality of contribution of Committee members is outstanding in providing financial diligence and expert stewardship of the University’s financial affairs. Views are sought, appreciated and discussed with respect and honesty.
- University Management has been exemplary in provision of high quality papers and its contribution to the effective operation of the Committee.
- The Committee has focused on major strategic issues, asked probing questions that have been well answered by management. This has enabled decisions/recommendations to be made, with the Committee discharging its responsibilities in an appropriate manner.

Respondents noted improvement opportunities in the areas outlined below:

- To include historical metrics in future reporting, in addition to recurrent budget tracking (e.g. staff levels, grant income, student levels).

Overall, respondents strongly agreed that the Finance Committee is an effective Committee of Council.

ATTACHMENT
22.1 2017 Finance Committee Self-Assessment Survey Results (419/2017)

COMMUNICATION
☐ For public release ☐ For internal release ☑ Not for release
23. ARMC REPORT ON 2017 PERFORMANCE

PURPOSE To present the results of the 2017 ARMC self-assessment.

PREPARED BY Senior Auditor, Corporate Governance and Risk Office

REVIEWED BY Manager, Audit & Risk, Corporate Governance and Risk Office

APPROVED BY Director, Corporate Governance and Risk Office

SPONSOR Chair, Audit & Risk Management Committee

RECOMMENDATION That Council note the results of the 2017 ARMC self-assessment.

ACTION REQUIRED
For discussion ☐ For decision ☐ For information ☑

CONSULTATION
Staff ☐ Students ☐ Alumni ☐ Government ☐ Other ☑ Not applicable ☐

Members of the Audit & Risk Management Committee were invited to complete a survey to assess the Committee’s performance for 2017.

COUNCIL COMMITTEE / ACADEMIC BOARD CONSIDERATION
☐ Finance ☑ Audit & Risk Management ☐ Campus Planning ☐ Remuneration
☐ Major Projects ☐ Honorary Degrees ☐ Nominations ☐ Academic Board

BACKGROUND
Clause 33 of the ANU Audit & Risk Management Committee (ARMC) Charter requires that the ARMC conducts a review of its performance on a self-assessment basis each year.

On behalf of the ARMC, Corporate Governance & Risk Office (CGRO) developed a survey based on the Public Sector Audit Committee Better Practice Guide published by the ANAO. The self-assessment survey was circulated to all ARMC members to invite their evaluation of the Committee’s performance.

Overall, respondents strongly agreed that the ARMC is an effective Committee of Council.

Respondents provided the following positive feedback:

- Members possess the appropriate mix of skills and contribute at a high-quality level to the effective operation of the committee.
- The Committee has adequate knowledge of the risks associated with operations of the University to meet its obligations under the charter.
- Quality of support from the CGRO and secretariat is consistently excellent.
- Papers are of consistently high standard and are received on a timely basis in advance of meetings to enable members to properly prepare for meetings.
- Internal audit contribution has been of very good quality.

Respondents noted improvement opportunities in the areas outlined below:

- ANAO to provide more timely reports on the results of the interim audit process (previously received in Feb/March) and the final Audit Closing Report (previously received in May). A draft should be provided at the time of the Committee recommending the financial statements to the Council for signing.
Some key risks such as major developments on campus, international rankings, capacity to attract/retain the right staff and growth in student numbers may warrant further discussion by the Committee, in relation to its role in supporting the University as it addresses these issues.

ATTACHMENT

23.1 2017 ARMC Self-Assessment Survey Results (420/2017)

COMMUNICATION

For public release ☐  For internal release ☐  Not for release ☑
*C24 - 33. Confidential to Council Members

PART 5 – OTHER MATTERS FOR NOTING
34. **POWER OF ATTORNEY**

**PURPOSE**
To note that one document has been executed under Power of Attorney since the 29 September meeting of Council.

**PREPARED BY**
Director, Investment Office

**REVIEWED BY**
Chief Financial Officer

**APPROVED BY**
Chief Operating Officer

**SPONSOR**
Chief Operating Officer

**RECOMMENDATION**
That Council note that one document has been executed under Power of Attorney since the 29 September 2017 meeting of Council.

**ACTION REQUIRED**
☐ For discussion ☐ For decision ☑ For information

**CONSULTATION**
☐ Staff ☐ Students ☐ Alumni ☐ Government ☐ Other ☑ Not applicable

**BACKGROUND AND SUMMARY OF ISSUES**
Power of Attorney was granted to the Investment Manager and executed under the Common Seal of the University on 4 September 2003.

Council is asked to note that one document has been signed under Power of Attorney by the Investment Manager, between 11 September 2017 and 8 November 2017 as listed below

<table>
<thead>
<tr>
<th>No.</th>
<th>Date</th>
<th>Reference</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1322</td>
<td>11/9/17</td>
<td>FI</td>
<td>Application for Amendment a Money Market Deposit Account Bankwest re NAS Custody Standard Settlement Instructions and Remove signatory O’Neill</td>
</tr>
</tbody>
</table>

**COMMUNICATION**
☐ For public release ☐ For internal release ☑ Not for release
35. UNIVERSITY SEAL REPORT

PURPOSE
To inform Council of the uses of the University Seal.

PREPARED BY
Director, Office of the Vice-Chancellor

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the document to which the University Seal has been affixed since the meeting of Council held on 29 September 2017.

ACTION REQUIRED
For discussion ☐  For decision ☐  For information ☑

CONSULTATION
Staff ☐  Students ☐  Alumni ☐  Government ☐  Other ☐  Not applicable ☑

BACKGROUND AND SUMMARY OF ISSUES

The University Seal Statute 2002 came into effect on 11 June 2002. The Statute provides that the seal of the University must not be used except upon the order of the Council or as provided by the Statute. Section 5 of the Statute provides that:

1. **Affixing of seal to other documents**
   a. If a document is required to be under the seal of the University but the affixing of the seal is not authorised by section 4, the Chancellor or the Vice-Chancellor may direct the custodian to affix the seal of the University to the document, and, at the first opportunity, the Chancellor or the Vice-Chancellor, as the case requires, must report to the Council the action so taken.

A document to which the University Seal was affixed since the meeting of Council held on 29 September 2017 is as follows:

24 October 2017  Deed of Indemnity and Access – Eleanor Kay

COMMUNICATION
For public release ☐  For internal release ☐  Not for release ☑
36. **SIGNIFICANT VISITS AND EVENTS, GRANTS AND CONSULTANCIES**

**PURPOSE**
To consider a report of significant visits and events, grants and consultancies.

**PREPARED BY**
Communications Officer (Special Events)
Office of Research Excellence

**REVIEWED BY**
Vice-Chancellor

**APPROVED BY**
Vice-Chancellor

**SPONSOR**
Vice-Chancellor

**RECOMMENDATION**
That Council note the report of significant visits and events, grants and consultancies.

**ACTION REQUIRED**
For discussion ☐  For decision ☐  For information ☑

**ATTACHMENT**
36.1 Report of significant visits and events, grants and consultancies (433/2017)
37. LEGISLATION

PURPOSE
For Council to note legislation made by the Vice-Chancellor

PREPARED BY
Associate Director, Corporate Governance and Policy

REVIEWED BY
Director, Corporate Governance and Risk Office

APPROVED BY
Vice-Chancellor

SPONSOR
Vice-Chancellor

RECOMMENDATION
That Council note the legislation as approved by the Vice-Chancellor, the:

* Australian National University (Parking and Traffic) Instrument 2017

ACTION REQUIRED
☐ For discussion  ☐ For decision  ☑ For information

CONSULTATION
☐ Staff  ☐ Students  ☐ Alumni  ☐ Government  ☐ Other  ☐ Not applicable

Section 9.6 of the *Vice-Chancellorship Statute 2013* requires that if the Vice-Chancellor exercises his power to make a Rule or Order, it must report to the Council at the next meeting of the Council after the Rule or Order concerned was made.

SUMMARY OF ISSUES
The Vice-Chancellor is conferred with power to make notifiable instruments in respect of certain matters under the *Australian National University (Parking and Traffic) Statute 2017* (‘the Statute’).

A notifiable instrument is a type of instrument recognised under the *Legislation Act 2003*, which (should it come to it) a court must take notice of as proof of decisions made under a legal authority (in this case the Statute). In the context of parking and traffic this is a useful device, as parking infringements on the ANU campus are often challenged in court.

The Statute was approved by the ANU Council at its 29 September 2017 meeting. This instrument completes the package of reform measures for the legislative governance of parking and traffic for the ANU campus, which take effect on 1 January 2018. The Vice-Chancellor’s approval of this notifiable instrument legally authorised the University’s continued use of its:

- PAYG parking system (provided by CellOPark Pty Ltd) and vPermit system for the issuing of parking permits in electronic form; and
- PAYG parking system (provided by CellOPark Pty Ltd) as a method for paying ticket parking fees.

ATTACHMENT

37.1 Australian National University (Parking and Traffic) Instrument 2017 (434/2017)

COMMUNICATION

For public release ☑  For internal release ☑  Not for release ☐

The new legislation is published on the [ANU legislation webpage](https://legislation.anu.edu.au) and the [Federal Legislation website](https://federallegislation.gov.au).
PART 6 – OTHER BUSINESS

*38. QUESTION TIME

PURPOSE
For Council members to ask questions.

SPONSOR
Chancellor

RECOMMENDATION
That Council note any matters raised in question time.

ACTION REQUIRED
☑ For discussion  □ For decision  □ For information
*39. OTHER BUSINESS

PURPOSE For Council members to raise any other business for the consideration of the meeting.

SPONSOR Chancellor

RECOMMENDATION That the Council consider any other business raised.

ACTION REQUIRED ☑ For discussion ☐ For decision ☐ For information
40. NEXT MEETING

PURPOSE For Council members to note the date of the next meeting of Council.

SPONSOR Chancellor

RECOMMENDATION That it be noted that the next meeting of Council and Council’s planning day will be held on Thursday 1 and Friday 2 February 2018, at ANU House, Melbourne.

ACTION REQUIRED
☐ For discussion ☐ For decision ☑ For information
ATTACHMENTS
## GLOSSARY OF ANU TERMS

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Explanation</th>
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</thead>
<tbody>
<tr>
<td>AB</td>
<td>Academic Board</td>
</tr>
<tr>
<td>AHEGS</td>
<td>Australian Higher Education Graduation Statement</td>
</tr>
<tr>
<td>ANIP</td>
<td>Australian National Internships Program</td>
</tr>
<tr>
<td>ANU</td>
<td>Australian National University</td>
</tr>
<tr>
<td>ANUE</td>
<td>ANU Enterprise</td>
</tr>
<tr>
<td>AOU</td>
<td>Academic Organisational Unit</td>
</tr>
<tr>
<td>AQF</td>
<td>Australian Qualifications Framework</td>
</tr>
<tr>
<td>ARC</td>
<td>Australian Research Council</td>
</tr>
<tr>
<td>ARMC</td>
<td>Audit and Risk Management Committee</td>
</tr>
<tr>
<td>ARP</td>
<td>Alumni Relations &amp; Philanthropy Division</td>
</tr>
<tr>
<td>CAP</td>
<td>ANU College of Asia &amp; the Pacific</td>
</tr>
<tr>
<td>CASS</td>
<td>ANU College of Arts &amp; Social Sciences</td>
</tr>
<tr>
<td>CBE</td>
<td>ANU College of Business &amp; Economics</td>
</tr>
<tr>
<td>CECS</td>
<td>ANU College of Engineering &amp; Computer Science</td>
</tr>
<tr>
<td>CFO</td>
<td>Chief Financial Officer</td>
</tr>
<tr>
<td>CGRO</td>
<td>Corporate Governance and Risk Office</td>
</tr>
<tr>
<td>CHELT</td>
<td>Centre for Higher Education, Learning &amp; Teaching</td>
</tr>
<tr>
<td>CMBE</td>
<td>ANU College of Medicine, Biology &amp; Environment</td>
</tr>
<tr>
<td>CoL</td>
<td>ANU College of Law</td>
</tr>
<tr>
<td>COO</td>
<td>Chief Operating Officer</td>
</tr>
<tr>
<td>CPC</td>
<td>Campus Planning Committee</td>
</tr>
<tr>
<td>CPMS</td>
<td>ANU College of Physical &amp; Mathematical Sciences</td>
</tr>
<tr>
<td>CRICOS</td>
<td>Commonwealth Register of Institutions and Courses for Overseas Students</td>
</tr>
<tr>
<td>CSP</td>
<td>Commonwealth Supported Place</td>
</tr>
<tr>
<td>CSS</td>
<td>Commonwealth Support Student</td>
</tr>
<tr>
<td>DET</td>
<td>Commonwealth Department of Education and Training</td>
</tr>
<tr>
<td>DSA</td>
<td>Division of Student Administration</td>
</tr>
<tr>
<td>Acronym</td>
<td>Explanation</td>
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<tr>
<td>---------</td>
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</tr>
<tr>
<td>DSL</td>
<td>Division of Student Life</td>
</tr>
<tr>
<td>DTF</td>
<td>Domestic Tuition Fees</td>
</tr>
<tr>
<td>DVC(A)</td>
<td>Deputy Vice-Chancellor (Academic)</td>
</tr>
<tr>
<td>DVC(GE)</td>
<td>Deputy Vice-Chancellor (Global Engagement)</td>
</tr>
<tr>
<td>DVC(RI)</td>
<td>Deputy Vice-Chancellor (Research and Innovation)</td>
</tr>
<tr>
<td>EFT</td>
<td>Equivalent Full Time</td>
</tr>
<tr>
<td>EFTSL</td>
<td>Equivalent Full Time Student Load</td>
</tr>
<tr>
<td>ERMC</td>
<td>Electronic Records Management System</td>
</tr>
<tr>
<td>ESOS</td>
<td>Educational Services for Overseas Students Act 2000</td>
</tr>
<tr>
<td>F&amp;BS</td>
<td>Finance and Business Services Division</td>
</tr>
<tr>
<td>F&amp;S</td>
<td>Facilities and Services Division</td>
</tr>
<tr>
<td>FBT Act</td>
<td>Fringe Benefits Tax Assessment Act 1986</td>
</tr>
<tr>
<td>FC</td>
<td>Finance Committee</td>
</tr>
<tr>
<td>FOI</td>
<td>Freedom of Information</td>
</tr>
<tr>
<td>GMSDD</td>
<td>College General Managers and Service Division Directors (group)</td>
</tr>
<tr>
<td>Go8</td>
<td>Group of Eight</td>
</tr>
<tr>
<td>HDC</td>
<td>Honorary Degrees Committee</td>
</tr>
<tr>
<td>HDR</td>
<td>Higher Degree Research</td>
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<tr>
<td>HECS</td>
<td>Higher Education Contribution Scheme</td>
</tr>
<tr>
<td>HELP</td>
<td>Higher Education Loan Program</td>
</tr>
<tr>
<td>HESA</td>
<td>Higher Education Support Act 2003</td>
</tr>
<tr>
<td>HRD</td>
<td>Human Resources Division</td>
</tr>
<tr>
<td>ISF</td>
<td>International Student Fees</td>
</tr>
<tr>
<td>ISP</td>
<td>International Strategy &amp; Partnerships</td>
</tr>
<tr>
<td>ITS</td>
<td>Information Technology Services Division</td>
</tr>
<tr>
<td>LO</td>
<td>University Legal Office</td>
</tr>
<tr>
<td>MO</td>
<td>Marketing Office</td>
</tr>
<tr>
<td>MPJSC</td>
<td>Major Projects Joint Sub-Committee</td>
</tr>
<tr>
<td>NC</td>
<td>Nominations Committee</td>
</tr>
<tr>
<td>NCIS</td>
<td>National Centre for Indigenous Studies</td>
</tr>
<tr>
<td>Acronym</td>
<td>Explanation</td>
</tr>
<tr>
<td>---------</td>
<td>-------------</td>
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<tr>
<td>NHMRC</td>
<td>National Health and Medical Research Council</td>
</tr>
<tr>
<td>OVC</td>
<td>Office of the Vice-Chancellor</td>
</tr>
<tr>
<td>PG</td>
<td>Postgraduate</td>
</tr>
<tr>
<td>PGPA</td>
<td>Public Governance, Performance &amp; Accountability Act 2013</td>
</tr>
<tr>
<td>PID</td>
<td>Public Interest Disclosure</td>
</tr>
<tr>
<td>PPM</td>
<td>Planning &amp; Performance Measurement Division</td>
</tr>
<tr>
<td>PVC(I)</td>
<td>Pro Vice-Chancellor (Innovation)</td>
</tr>
<tr>
<td>PVC(UE)</td>
<td>Pro Vice-Chancellor (University Experience)</td>
</tr>
<tr>
<td>RC</td>
<td>Remuneration Committee</td>
</tr>
<tr>
<td>RSD</td>
<td>Research Services Division</td>
</tr>
<tr>
<td>RTS</td>
<td>Research Training Scheme</td>
</tr>
<tr>
<td>SCAPA</td>
<td>Strategic Communications &amp; Public Affairs</td>
</tr>
<tr>
<td>SIG</td>
<td>Service Improvement Group</td>
</tr>
<tr>
<td>SIS</td>
<td>Scholarly Information Services Division</td>
</tr>
<tr>
<td>SMG</td>
<td>Senior Management Group</td>
</tr>
<tr>
<td>TEQSA</td>
<td>Tertiary Education Quality and Standards Agency</td>
</tr>
<tr>
<td>Tjabal</td>
<td>Tjabal Indigenous Higher Education Centre</td>
</tr>
<tr>
<td>TTO</td>
<td>Technology Transfer Office</td>
</tr>
<tr>
<td>UA</td>
<td>Universities Australia</td>
</tr>
<tr>
<td>UAC</td>
<td>University Admissions Centre (for NSW and ACT)</td>
</tr>
<tr>
<td>UEC</td>
<td>University Education Committee</td>
</tr>
<tr>
<td>UG</td>
<td>Undergraduate</td>
</tr>
<tr>
<td>URC</td>
<td>University Research Committee</td>
</tr>
<tr>
<td>VC</td>
<td>Vice-Chancellor</td>
</tr>
</tbody>
</table>
ANU Research and Innovation Plan

University Council
December 2017

Margaret Harding, Deputy Vice-Chancellor (Research & Innovation)

Mick Cardew-Hall, Pro Vice-Chancellor (Innovation)

Imelda Whelehan, Dean of Higher Degree Research
2017 Progress

ANU 1.3   ANU Futures Scheme
ANU 1.5   ANU Grand Challenges
ANU 1.6   Innovation Institutes
           Vice-Chancellor’s Entrepreneurial Scheme
ANU 1.7   Redefining the ANU PhD
ANU 2.5   Indigenous Health and Well-Being Research Initiative
ANU Futures Scheme

$20M investment in 30-40 early and mid-careers researchers over 5 years
7 male ($3,594,296), 7 female ($2,728,395)

Chemistry
• Lara Malins: Caltech, DECRA 2018
• Zongyou Yin: MIT, HiCi

John Curtin School of Medical Research
• Di Yu: Monash, Garvan; 2 x NHMRC Project Grants (2018), NHMRC and Viertel Fellowship
• Tamas Fischer: Heidleburg; NMHRC Project Grant (2018)
• SiMing Man: Cambridge; 2 x NHMRC Project Grants (2018), NHMRC Fellowship
• Nathalie Dehorter: Marseille, King’s College; NHMRC Project Grant (2018)

Philosophy
• Katie Steele: LSE
• Rachael Brown: Macq., West. Ontario
Academic Excellence

- Normalised Citations by Discipline
- Highly Cited Researchers: 6 in 2017
- Academic Excellence Project

**Subject Rankings top 50**

Philosophy  
International relations  
Arts and Humanities  
Social Sciences  
Plant sciences, ecology  
Law  
Earth sciences  
Mathematics  
Economics

**Subject Rankings > 50**

Clinical medicine and health  
Engineering  
Materials Science  
Chemistry  
Physical Sciences

_Excellence in Research Australia (ERA)_
Results Dec 2018 (lag indicator)
Attraction and Retention of Fellowships

ARC and NHMRC Fellowships transferred over the last 5 years

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>In</td>
<td>1</td>
<td>5</td>
<td>4</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>Out</td>
<td>7</td>
<td>15</td>
<td>9</td>
<td>12</td>
<td>4</td>
</tr>
<tr>
<td>ANU (gain/loss)</td>
<td>-6</td>
<td>-9</td>
<td>-4</td>
<td>-11</td>
<td>-1</td>
</tr>
<tr>
<td>Net position</td>
<td>-$1,502,279</td>
<td>-$3,101,439</td>
<td>-$2,715,069</td>
<td>-$3,480,783</td>
<td>$6,958</td>
</tr>
</tbody>
</table>

ARC Laureate Fellows 2017: CASS (Indigenous History); 2 x Chemistry (biological and materials).
Total Income Reported June 2017: Determines block grant for 2018
Australian Donations, Bequests and Foundations

International Donations, Bequests and Foundations

ANU Grand Challenges Scheme

Case for Support

Foundations
Industry, Business and Impact Engagement

- Strategic Allocation National Institutes Grant
- Entrepreneurial Academic Scheme and Innovation Institutes
- SME Engagement Strategy
- Public Policy Hub/incubator

PROFESSOR
FLORENCE VIOLET MCKENZIE CHAIR
DIRECTOR, AUTONOMY, AGENCY & ASSURANCE (3A) INSTITUTE

ANU Cybersecurity Institute
National Responsibilities

Indigenous Research (RAP)
- Repatriation
- Community-led scheme and researcher-led funding
- North Australia
- Health and well-being initiative

PhD Students
- Green Paper on ANU PhD February 2018
- HDR Supervision
THE AUSTRALIAN NATIONAL UNIVERSITY

Australian National University (Academic Board) Statute 2017

Council of the University makes the following statute.

Dated 1 December 2017

Professor the Hon Gareth Evans AC QC FASSA FAIIA
Chancellor
Contents

1 Name ........................................................................................................................ 1
2 Commencement ....................................................................................................... 1
3 Authority .................................................................................................................. 1
4 Academic Board continues in existence ................................................................. 1
5 Rules ........................................................................................................................ 1
6 Orders ....................................................................................................................... 1
7 Repeal ...................................................................................................................... 2
1 Name

This is the Australian National University (Academic Board) Statute 2017.

2 Commencement

This instrument commences on 1 January 2018.

3 Authority

This instrument is made under the Australian National University Act 1991, section 50 (Statutes).

4 Academic Board continues in existence

The Academic Board established under the Academic Board and Committees Statute 2014 continues in existence.

5 Rules

(1) The Vice-Chancellor may make rules:
   (a) regulating, or providing for the regulation of, matters relating to the Academic Board; or
   (b) for carrying out or giving effect to this instrument.

(2) Without limiting subsection (1), a rule may make provision with respect to:
   (a) the functions and powers of the Academic Board; or
   (b) the membership of the Board, including:
      (i) the persons who are members of the Board without further appointment; and
      (ii) the classes of persons from which persons may be appointed or selected for appointment as members of the Board; and
      (iii) the process by which persons are to be appointed or selected for appointment, which may include requiring an election to be held; or
   (c) the operations of the Board, including the establishment and operations of committees and working groups; or
   (d) meetings and other proceedings of the Board or its committees or working groups; or
   (e) reporting and other accountability arrangements for the Board or its committees or working groups.

(3) Without limiting subsection (2)(b)(iii), a rule may make provision with respect to:
   (a) the method of any election provided for by rule; or
   (b) the determination of questions raised in relation to the conduct or result of such an election.

(4) To remove any doubt, a rule may provide for any election to be conducted, in whole or part, by electronic means, including by the use of computers or the internet.

6 Orders

The Vice-Chancellor may make orders:
(a) regulating, or providing for the regulation of, matters mentioned in this instrument, including matters required or permitted by a rule to be prescribed by order; or
(b) for carrying out or giving effect to this instrument.

7 Repeal

The Academic Board and Committees Statute 2014 is repealed.
The Australian National University
Postgraduate and Research Students’ Association
Incorporated

Constitution

(adopted 25/5/2017)

Part 1  Preliminary

1  Name

(1) The name of the Association is “The Australian National University Postgraduate and Research Students’ Association Incorporated”.

2  Definitions

In this Constitution—

(1) “AGM” means the Annual General Meeting of the Association;

(2) “ANU College” means a College established by the Council, including:

(a) The ANU College of Arts and Social Sciences;
(b) The ANU College of Asia and the Pacific;
(c) The ANU College of Business and Economics;
(d) The ANU College of Engineering and Computer Science;
(e) The ANU College of Law;
(f) The ANU College of Medicine, Biology and Environment;
(g) The ANU College of Physical and Mathematical Sciences;
3 Objects

The objects of the Association are—

(a) to promote the welfare and further the interests of Postgraduate Students; and
(b) to afford a recognised means of representation for Postgraduate Students both within and outside the University, and a recognised means of communication between Postgraduate Students and the University authorities.

Part 2 Membership

4 Membership

(1) There are three classes of membership: ordinary membership, associate membership and honorary life membership.

(2) Any person who—

(a) is a Postgraduate Student; and
(b) has not stated that she/he does not wish to be a member is an ordinary member of the Association.

(3) Any person who—

(a) has been an ordinary member of the Association for twelve (12) months or more;
(b) is not currently an ordinary member;
(c) has not been awarded the degree for, or failed, that postgraduate course in which she/he was enrolled; and
(d) has not stated that she/he does not wish to be a member is an associate member of the Association.

Honorary life membership of the Association may be conferred upon any person by a meeting of the PRC.

Associate members and honorary life members shall not vote at any meeting or election of the Association, shall not constitute a quorum, and shall not be eligible for election to the PRC, but shall otherwise have rights equal to ordinary members.

An ordinary or associate member ceases to be a member of the Association at such time as she/he ceases to fulfil the conditions in sub-sections 4(2) or 4(3) respectively. An honorary life member ceases to be a member if her/his membership is revoked by a meeting of the PRC.

There shall be no fee payable for membership of the Association as an ordinary, associate or honorary life member.

Part 3 The PRC

5 Powers of the PRC

(1) The PRC, subject to the Act, the regulation, this Constitution, and to any resolution passed by the association in general meeting—

(a) controls and manages the affairs of the association; and
(b) may exercise all functions that may be exercised by the association other than those functions that are required by these rules to be exercised by the association in general meeting; and
(c) has power to perform all acts and do all things that appear to the committee to be necessary or desirable for the proper management of the affairs of the association.

(2) The PRC is the Committee of the Association for the purposes of the Associations Incorporation Act 1991 (A.C.T.)
6 Composition and membership of the PRC

(1) The PRC consists of three types of member—
   (a) the Officers of the Association; and
   (b) College Representatives; and
   (c) General Representatives
   each of whom must be elected or appointed under the Election Regulations made under Section 8 of this Constitution, or under Section 13 of this Constitution.

(2) The Officers of the Association are—
   (a) the President; and
   (b) the Vice-President; and
   (c) the General Secretary; and
   (d) the Treasurer; and
   (e) the Equity Officer; and
   (f) the Indigenous Australian Officer; and
   (g) the International Student Officer; and
   (h) the Social Officer; and
   (i) the Environmental Officer; and
   (j) the Communications Officer; and
   (k) the Education Officer; and
   (l) the Women’s Officer; and
   (m) the Higher Degree by Research (HDR) Officer.

(3) For each ANU College, there are two (2) College Representatives.

(4) Five (5) General Representatives are representative of the general student body at large.

(5) Each member of the PRC takes office:
   (a) in the case of a member elected in an annual general election, on the expiry of six weeks from the declaration of the results of that election; and
   (b) in the case of a member elected to fill a casual vacancy, immediately on the declaration of results of that election; and
   (c) in the case of a member appointed to fill a casual vacancy, immediately on appointment.

(6) A member of the PRC holds office until the expiry of six weeks from the declaration of the results of the next annual general election.

(7) A member of the PRC may stand for re-election to any position on the PRC, subject to the provisions of the Election Regulations.

(8) The Executive of Association consists of the President, Vice-President, General Secretary, Treasurer and such of the Officers appointed from time to time by the President to serve on the Executive.

(9) The Executive is a committee of the PRC and reports to the PRC.

(10) If a vacancy arises in the membership of the Executive, the PRC must follow the procedure in Section 13 of this Constitution.
7 Roles, duties, and responsibilities of PRC members and committees

(1) The PRC may, subject to this Constitution, provide instructions, directions, or guidance to any member of the PRC or any committee of the PRC with respect to any aspect of the roles, duties, or responsibilities of the member or committee.

(2) The President is the chief executive officer of the Association.

(3) Subject to this Constitution and subject to specific direction given to the President by the PRC or by a general meeting (including an AGM), the President shall, in consultation with other members of the PRC—
   (a) carry out the Association’s business, including the conduct of all correspondence on behalf of the association;
   (b) take such actions as are necessary to give effect to the objects of the Association;
   (c) report on the Association’s business to the AGM; and
   (d) delegate such responsibilities as she/he sees fit to delegate to any other member of the PRC.

(4) The Treasurer must—
   (a) collect and receive all amounts owing to the association and make all payments authorised by the association; and
   (b) keep correct accounts and books showing the financial affairs of the association with full details of all receipts and expenditure connected with the activities of the association;
   (c) carry out any other roles and duties assigned by this Constitution or by the PRC.

(5) No public or official statement may be made on behalf of the Association except—
   (a) by the President; or
   (b) by the authority of the President; or
   (c) by the authority of the PRC.

8 Election of the PRC and Postgraduate student member of the ANU Council

(1) An annual general election must be held to elect the PRC in accordance with the Election Regulations.

(2) All members of the PRC shall be directly elected by the ordinary members of the Association.

(3) An election of a postgraduate student member of the Council must be conducted concurrently with the PRC election. The election shall be conducted in accordance with any relevant Statute or Rule of the University and under the same Election Regulations as specified in subsection (1).

(4) Elections must be conducted by a Returning Officer appointed in accordance with the Electoral Regulations.

9 Removal of Executives or Members of the PRC

There are two ways in which disciplinary matters and disputes involving a PRC member may be resolved—

(1) A PRC member may be removed from office by the members of the Association as set out in Section 10 of this Constitution.

(2) A PRC member may be disciplined as set out in Sections 11 and 12 of this Constitution.

10 Removal of PRC Member Not Enjoying the Continued Support of the Membership

(1) The Association in general meeting may by resolution of no confidence carried in accordance with this clause by a 75% majority of those present and voting, remove any member or members of the PRC from office before the end of their term of office.

(2) A resolution of the Association under subsection (1) is of no effect unless:
   (a) the motion names the member or members of the PRC subject of the motion;
   (b) the motion is signed by at least 100 members of the Association (including 5 members of the PRC);
   (c) notice of the general meeting is provided in accordance with the requirements of this Constitution to members of the Association, and to the officers affected by the proposed resolution;
   (d) the officers affected by the proposed resolution are provided with an adequate opportunity to speak against the motion and to participate in debate concerning it; and
(c) no less than 20 ordinary members of the Association are present when the motion is voted on, in accordance with Part 4.

(3) Subject to subsection (4), a motion of no confidence must state the grounds for lack of confidence in the member or members of the PRC named in the motion.

(4) A motion of no confidence and debate on it, must not raise allegations of misconduct or conduct prejudicial to the Association, which should be dealt with in accordance with section 11 of this Constitution.

(5) On a successful resolution of no confidence, the affected members of the PRC are deemed to no longer enjoy the continued support of the Association’s membership and the affected positions are vacant.

(6) If all positions on the executive are vacant as a result of a successful resolution of no confidence, the general meeting must appoint a caretaker committee of three members of the PRC who shall exercise caretaker powers of the executive on behalf of the Association, pending the filling of the vacancies in accordance with this Constitution.

(7) Only business contemplated by this clause, may be carried out at a general meeting called to consider a motion of no confidence.

(8) Throughout this process, the Association must comply with the rules of natural justice, at least to the extent required by Section 50 of the Associations Incorporation Act 1991.

Explanation: Section 50 of the Associations Incorporation Act 1991, which is available online, provides that the rules of natural justice must be complied with in any process of this kind.

11  Discipline of Members of the PRC

(1) For the purposes of this clause misconduct means—

(a) wilful conduct contrary to a provision of this Constitution, or a regulation or policy of the Association; or

(b) wilful conduct prejudicial to the reputation or interests of the Association.

(2) If a member of the Association believes that a member of the PRC has engaged in misconduct as defined in this clause, the member may write to the Administrator setting out the alleged basis of the misconduct and providing any evidence supporting the allegations.

(3) The Administrator must refer the allegations to the Disputes Committee established under section 34.

(4) The Disputes Committee must, as soon as practicable, serve a written notice on the member—

(a) setting out the allegations raised against the member in sufficient detail for the member to be able to respond to the allegations; and

(b) stating that the member may address the Disputes Committee at a meeting to be held not earlier than 14 days and not later than 28 days after service of the notice; and

(c) stating the date, place and time of that meeting; and

(d) informing the member that the member may do either or both of the following:

(i) attend and speak at that meeting;

(ii) submit to the Disputes Committee at or before the date of that meeting written representations relating to the allegations.

(5) At a meeting of the Disputes Committee mentioned in subsection (4), the committee must—

(a) give to the member mentioned in subsection (4) an opportunity to make oral representations; and

(b) give due consideration to any written representations submitted to the PRC by that member at or before the meeting; and

(c) decide whether a claim of misconduct is made out against the member.

(6) The Disputes Committee must comply with subsection 34(4) of this Constitution.  
Note: Section 34(4) requires that the Disputes Committee comply with the rules of natural justice.

(7) If after careful enquiry in accordance with this section, the Disputes Committee concludes that the member has engaged in misconduct the Committee must, in writing, inform the member and the PRC of its decision, setting out the Committee’s findings, reasons and decisions and may—

(a) remove the member from the PRC; or

(b) suspend the member from the PRC for a specified period; or
(c) withhold a payment amount of not more than $500 from the PRC member from an amount they are otherwise entitled to receive from the Association as an honorarium or stipend or honoraria; or

(d) issue the PRC member with a formal letter reprimanding the PRC member; or

(e) any combination of the above.

(8) If the Disputes Committee does not make a finding of misconduct, it must inform the member in writing that no finding of misconduct has been made and that the inquiry will be closed.

(9) If the Disputes Committee reasonably believes the allegations it has received credibly disclose possible criminal conduct, the Disputes Committee must refer the allegations to the police, and may also proceed in accordance with this section.

(10) Other than for the proper performance of its functions, the Disputes Committee shall treat allegations referred to it, as confidential.

(11) Other than a decision removing or suspending a member, which takes effect immediately, a decision of the Disputes Committee under subsection (7) does not take effect—

(a) until the end of the period within which the member is entitled to appeal against the resolution if the member does not exercise the right of appeal within that period; or

(b) if within that period the member exercises the right of appeal—unless and until the Association holds a meeting in accordance with section 12 (4) to consider the member’s appeal.

12 Right of appeal of disciplined PRC member

(1) A member may appeal to the Association in general meeting against a decision of the Disputes Committee under section 11(7), within 7 days after notice of the resolution is served on the member, by lodging with the Administrator a notice to that effect.

(2) On receipt of a notice under subsection (1), the Administrator must notify the PRC which must call a general meeting of the Association to be held within 21 days after the date when the Administrator received the notice or as soon as possible after that date.

(3) Subject to Section 50 of the Associations Incorporation Act 1991, at a general meeting of the Association called under subsection (2)—

(a) no business other than the question of the appeal may be transacted; and

(b) the PRC, a representative of the Disputes Committee and the member must be given the opportunity to make representations in relation to the appeal orally or in writing, or both; and

(c) the members present must vote by secret ballot on the question of whether the decision of the Disputes Committee made under section 11(7) should be revoked.

Explanation: Section 50 of the Associations Incorporation Act 1991, which is available online, provides that the rules of natural justice must be complied with in any process of this kind.

(4) Unless the meeting passes a special resolution in favour of revoking a decision of the Disputes Committee made under section 11(7), that decision is confirmed.

13 Vacancies

(1) For this Constitution, a vacancy in the office of a member of the PRC happens if the PRC member—

(a) dies; or

(b) resigns the office; or

(c) ceases to be an ordinary member of the Association; or

(d) is removed from the PRC by either of the processes identified in Section 9 of this Constitution; or

(e) is absent without the consent of the PRC from three consecutive meetings of the PRC.

(2) If a vacancy arises under Section 13(1) the PRC must as soon as practicable either—

(a) fill the vacancy by an election held in accordance with the Election Regulations; or

(b) fill the vacancy by decision of the PRC to appoint a named individual to the vacancy.
(3) Pending the filling of a vacancy under subsection (2), the most senior serving member of the Executive may carry out or delegate the functions of the vacant office, other than any power to vote connected with that office.

(4) If a member of the PRC is appointed under Section 13(2)(b) to fill a vacancy in another position on the PRC, that appointment creates a vacancy, if the person was previously a General Representative, in the position of General Representative, if the person was previously a College Representative, in the position of College Representative, and if the person previously held a position as an officer in the PRC, that position.

(5) The postgraduate student member of the Council holds office subject only to the provisions of the Australian National University Act and any relevant Statute or Rule of the University.

(6) A vacancy in the office of postgraduate student member of the Council, shall be filled in accordance with the Australian National University Act, any relevant Statute or Rule of the University, and as provided for in the Election Regulations specified in subsection 8(3).

14 Operation of the PRC

(1) The PRC shall meet no less frequently than six (6) times a year. The first meeting of the PRC shall be held not more than two (2) weeks after the declaration by the Returning Officer of the results of the annual general election electing the PRC. Subsequent meetings shall be convened by the Administrator, at the direction of the President or of the PRC.

(2) Each member of the PRC shall be given seven (7) days' notice in writing of the time, place and agenda of the next PRC meeting, except that the President or, in her/his absence, a Vice-President may call an emergency PRC meeting with one (1) days' notice. Any resolutions adopted by the PRC at an emergency meeting shall be considered at the next ordinary meeting of the PRC.

(3) Any representative on the PRC may ask for item(s) to be included on the agenda.

(4) Meetings of the PRC shall be open to all members of the Association, and all members of the Association shall have the right to speak to any motion or item of business.

(5) At every meeting of the PRC, quorum shall be constituted by at least two of the President, Vice-President, General Secretary and Treasurer and at least half of the total elected members of the PRC.

(6) At meetings of the PRC, the chairperson shall be the President, or the Vice President in the absence of the President. If neither the President nor the Vice President is present, the President’s nominee shall be the chairperson.

(7) Any decision of the PRC shall be by a majority vote of PRC members present. In the event of a tie, the chairperson shall have a casting as well as a deliberative vote.

15 Pecuniary interest and membership eligibility

(1) Where—

(a) a member of the PRC has a direct or indirect pecuniary interest in a contract or other arrangement which has been made, or is proposed to be made, with the Association; and

(b) that member of the PRC is present at a meeting of the PRC at which that contract or other arrangement is considered;

that representative shall disclose the nature of the pecuniary interest to the meeting, and may participate in the discussion, but may not vote on the matter.

(2) A disclosure of pecuniary interest made under Section 15(1) shall be recorded in the minutes of the meeting.

(3) During the period that any member of the PRC holds office, the Administrator is entitled to seek and obtain information from the University concerning the person's enrolment status as a postgraduate or research student.

(4) If the Administrator is satisfied that a particular person is ineligible to be, or to continue to be, a member of the PRC, the Administrator must so inform the President and the chairperson of any meeting of the Association at which that person attends.

16 Regulations and standing orders

(1) Subject to this Constitution, the PRC may make such regulations or standing orders as it sees fit to assist the convenient conduct of the Association’s business. These regulations or standing orders may include, but are
not necessarily limited to—standing orders to govern the conduct of any meeting of the Association or its members;

(a) methods by which meetings of the PRC or of the Association are to be advertised; and

(b) Election Regulations to govern the conduct of any election held by the Association in accordance with Section 8 of this Constitution.

(2) Any regulations or orders made by the PRC must be advertised and made available to all members within seven (7) days of being made by the PRC.

(3) Notwithstanding anything in this Section, any changes to regulations relating to the conduct of Association elections shall not take effect until three (3) months after their adoption by the PRC.

Part 4 General meetings

17 General meetings: calling of, procedure, and quorum

(1) A general meeting of the Association shall be called by the Administrator at the direction of the President, or at the direction of the PRC, or upon receipt of a written request signed by at least twenty (20) ordinary members of the Association. There shall be no limit to the number of general meetings held each year provided general meetings are called in accordance with this clause.

(2) A general meeting shall only be held between ANU Orientation Week (usually mid-February) and the publication of examination results (usually late November).

(3) Except where the nature of the business proposed to be dealt with at a general meeting requires a special resolution of the Association, notice of the time, place and provisional agenda of a general meeting shall be given by advertisement to members at least seven (7) days prior to the meeting.

(4) Advertisement shall be effected by—

(a) the placement of a public notice in a newspaper of the Australian Capital Territory; and

(b) the placement of a notice in an official newspaper, website, or similar publication that the University might produce; and

(c) such other means as the PRC may, from time to time, determine under section 16.

(5) Matters for inclusion on the agenda of a general meeting, other than those matters requiring a special resolution, may be submitted by any member and must be given to the Administrator at least one (1) day prior to the meeting. In any case there shall be an item on the agenda under which any other business may be raised by those present at the meeting.

(6) The quorum at a general meeting shall be twenty (20) ordinary members except that where a quorum is not achieved, the meeting may be reconvened with a quorum of eleven (11) ordinary members, under the following conditions—

(a) that seven (7) days’ notice of the reconvened meeting be given by advertisement;

(b) that such a meeting be designated a reconvened general meeting; and

(c) that all items and only those items on the original agenda, except for the item ‘any other business’, shall constitute the agenda of the reconvened general meeting.

(7) The chairperson at a general or reconvened general meeting shall be the President or, in her/his absence, the Vice-President or, in their absence, a representative on the PRC appointed by the President.

(8) All business at a general or reconvened general meeting shall be carried out in accordance with any standing orders made by the PRC under section 16.

(9) Decisions at a general or reconvened general meeting shall be by a majority vote of ordinary members present. In the event of a tie, the chairperson shall have a casting vote as well as a deliberative vote. At the request of any five (5) ordinary members present at the general meeting, a secret ballot shall be held. Voting by proxy shall not be permitted at any general meeting of the Association.

18 Annual general meetings: calling of, procedure, and quorum

(1) The Annual General Meeting (AGM) of the Association shall be convened by the Administrator within five (5) months of the end of the financial year.
The President’s Annual Report, the Treasurer’s Annual Report, Draft Budget and duly audited Annual Financial Statements and such other reports as the PRC determines shall be presented at the AGM and shall be made available for inspection by members at least fourteen (14) days before the AGM.

The AGM shall be conducted in accordance with the rules for general meetings as outlined in Section 17 of this Constitution, with the additional requirement that a notice of the AGM shall also be sent by email to every member of the Association for whom the Association has a University email address.

Part 5  Administration and finances

19  The Administrator

(1) The PRC shall appoint an Administrator.

(2) The Administrator may be a member of the Association.

(3) Notwithstanding any other provision of this Constitution, the Administrator shall not vote at any general meeting of the Association (including the AGM) or at any PRC meeting, and may not speak at any such meeting except by invitation of the chairperson or by direction of the meeting.

(4) The duties of the Administrator shall include—

(a) attending all general meetings (including AGMs) and PRC meetings, except where she/he has sought leave from the President, and taking minutes of these meetings, including a list of all members present; and

(b) such other duties required by this Constitution and as directed by the PRC or by the President.

(5) If the position of Administrator becomes vacant, or if the Administrator is unavailable to perform her/his duties—

(a) the President shall appoint one of the members of the PRC to act as Administrator pro tem; and

(b) The Administrator pro tem shall assume all the duties and responsibilities of the Administrator until a new Administrator is appointed, except that the Administrator pro tem shall not be subject to the restrictions placed upon the Administrator by Section 19(3) of this Constitution.

20  Public Officer

(1) The PRC shall appoint a person, normally the Administrator, to be the Public Officer of the Association.

(2) The Public Officer shall, fourteen (14) days after her/his appointment, notify the relevant authority in writing of her/his appointment and supply her/his full name and address.

(3) The Public Officer shall also supply any and all documents required by the relevant authority and shall perform any other duties as required by the relevant legislation.

(4) The office of Public Officer becomes vacant if the person holding that office—

(a) dies;

(b) becomes bankrupt, applies to take the benefits of a law for the relief of bankrupt or insolvent debtors or compounds with her/his creditors;

(c) becomes of unsound mind;

(d) resigns her/his office by writing to the President; or
e) ceases to be resident in the ACT.

(5) If the office of Public Officer becomes vacant, the PRC shall within fourteen (14) days after it becomes vacant, appoint another person to fill the vacancy.

21  Auditor and audit

(1) An auditor shall be appointed by the PRC and shall audit the financial affairs of the Association and certify as to the correctness of the Annual Financial Statement and Balance Sheet.

(2) The auditor must not be a member of the Association.

(3) The Treasurer shall ensure that the audit is completed at least fourteen (14) days before the AGM.
22 Finances and funding
(1) The assets and income of the organisation shall be applied solely in furtherance of its above-mentioned objects and no portion shall be distributed directly or indirectly to the members of the organisation except as bona fide compensation for services rendered or expenses incurred on behalf of the organisation.
(2) The Association derives its funds from the Student Associations Finance Committee of the Australian National University, from any external sponsorship which may be obtained, and any investments held.
(3) No member of the Association shall be personally liable to contribute towards the payment of the debts and liabilities of the Association or the costs, charges and any expenses of the dissolution or winding up of the Association.

23 Budget and Treasurer’s Report
(1) The Treasurer and President shall prepare a budget to be presented to the PRC for approval.
(2) The Treasurer shall prepare an Annual Report and Annual Financial Statement and Balance Sheet for audit and presentation to the AGM.
(3) In accordance with the Associations Incorporation Act 1991, the Administrator shall lodge the annual return of the Association within six (6) months of the end of each financial year.

24 Financial year
The financial year of the Association shall commence on the first day of January in each year.

25 Bank accounts, liabilities, and expenditures
(1) The Association shall maintain such bank accounts in its name as the Treasurer deems necessary.
(2) Withdrawal of moneys from any account shall be authorised by—
   (a) the signatures (including electronic approval) of any two (2) members of the Executive of the Association;
   or
   (b) the signatures (including electronic approval) of any member of the Executive of the Association and the Administrator.
(3) No person shall incur liabilities on behalf of the Association without consulting the Treasurer or the President. Should a situation arise where actions taken in good faith have caused expenditure to exceed a budget estimate, then this fact shall be reported to the next meeting of the PRC.
(4) Between the start of a financial year and approval of that year’s budget, the Treasurer shall authorise expenditure to continue the ordinary business of the Association or to meet any outstanding liability incurred by the Association.

26 Association’s books
(1) Subject to the provisions of the Associations Incorporation Act 1991, the Regulations made under that Act, and the provisions of this Constitution, the Administrator shall keep in her/his custody or under her/his control all records, books, and other documents relating to the Association.
(2) The records, books and other documents of the Association shall be open to inspection in the office of the Association at any reasonable hour.

27 Stipend and Honoraria Payments
(1) The PRC must adopt a Stipend and Honoraria Regulation to provide for reasonable bona fide compensation to student representatives for services rendered to the Association.
(2) This section 27 does not create a right in any person to receive any payment, and any payment is subject to the availability and allocation of funding to the Association.
(3) The PRC must ensure that the Stipend and Honoraria Regulation is publicly available at all times on the PARSA website.

(1) Subject to this section, the President may be paid an honorarium of not more than the net sum equivalent to:
   (a) An ANU PhD Annual basic stipend (after any related taxes); and
   (b) 30% of the difference between:
      (i) the Association’s funds at the time of the proposed payment of the first instalment plus reasonably expected income for the rest of that year of office; and
      (ii) reasonably estimated financial liabilities for the rest of that year of office.
(2) In this section “year of office” means the period from one Annual General Meeting until the next.
The first instalment of the President’s honorarium must not be made earlier than 6 months after the previous election of the PRC. The second instalment must not be made earlier than 11 months after the previous election of the PRC.

If during the year of office the person elected as President ceases to hold that office and one or more other persons subsequently holds that office, the honorarium for that year of office is to be divided between the holders of the office of President proportionately to the length of time they each held that office, and is to be paid at a time or times determined by the PRC.

If the office of President is vacant for more than 4 weeks, the honorarium for that year of office is to be reduced by an amount proportionate to the length of time of the vacancy.

The President may waive the right to receive some or all of the honorarium, and if she or he does so, the President may recommend to the PRC, which may approve, that the relevant amount be paid instead to one or more other officers as nominated by the President.

Freedom of information

Upon receipt of a request in writing, the President shall supply to any member of the Association within fourteen (14) days copies of—

(a) this Constitution, as amended;
(b) any regulations or standing orders of the Association;
(c) the minutes of any general meeting (including an AGM) or the minutes of any PRC meeting;
(d) audited financial statements and Treasurer’s reports; and
(e) the current draft budget or approved budget.

Upon receipt of a request in writing, the President shall within fourteen (14) days grant to any member of the Association access to documents or electronic records other than those referred to in Section 28(1) of this Constitution produced by the Association, its officers, employees or the PRC, except that the President may restrict access to protect the confidentiality of any document or records.

Access to any document or record not produced by the Association, its officers, employees or the PRC but which has been submitted to or is held by the Association explicitly or implicitly in confidence, shall only be granted with the permission of the other parties to that document or record. All disputes concerning access shall be resolved by the PRC.

Common seal

The common seal of the Association shall be kept by the Administrator.

The common seal shall be affixed by authority of the PRC, and any two of the President, Vice-President, and Treasurer shall sign below the seal.

Interpretation

The President shall be empowered to interpret this Constitution except that, at any meeting of the Association or of the PRC, the chairperson shall interpret the Constitution subject to the direction of the meeting.

Indemnity

All members and employees of the Association shall be and are hereby indemnified by the Association against losses or expenses incurred by them in or about the discharge of their respective duties except against any liability that by law would otherwise attach to her/him in respect of any negligence, default, breach of duty, breach of trust or unlawful conduct of which she/he may be guilty in relation to the Association.

Dissolution or Winding-up

A motion to dissolve or wind up the Association may be considered at any general meeting (including an AGM) in accordance with the rules for general meetings in section 17 except that

(a) at least 21 days’ notice of such a general meeting shall be given to members; and
(b) such notice shall be accompanied by a notice of intention to propose a motion to dissolve or wind up the Association.
A motion to dissolve or wind up the Association must be passed by a majority of at least 75% of the votes of those ordinary members of the Association who, being entitled to vote, vote in person at the general meeting.

In the event of the organisation being dissolved, the amount that remains after such dissolution and the satisfaction of all debts and liabilities shall be transferred to another organisation with similar purposes which is not carried on for the profit or gain of its individual members.

33 Alteration of the Constitution

(1) This Constitution may be amended by special resolution at any general meeting (including an AGM) in accordance with the rules for general meetings in sections 17 and 18 of this Constitution, except that

(a) at least twenty-one (21) days’ notice of the special resolution shall be given to members of the Association; and

(b) a decision to amend the Constitution shall require the support of seventy-five per centum (75%), to the nearest whole number, of ordinary members present and voting.

(2) Full notice of proposed amendments to the Constitution shall be given to members at the same time and in the same way as notice of the general meeting at which the amendments are to be proposed.

(3) The Administrator shall ensure that, once passed at a general meeting, amendments to the Constitution are submitted to the Council for ratification and thence to the relevant local authority.

(4) No amendment to the Constitution shall have any effect until ratified by the Council and, if necessary, by the relevant local authority.

34 Disputes Committee

(1) There is to be a Disputes Committee, which must be established and operate under this Section 34.

(2) No sooner than 3 months and no later than 6 months after the election of the PRC, the PRC must appoint a Disputes Committee.

(3) The Disputes Committee is established to determine any allegation of misconduct against a member of the PRC.

(4) In exercising its functions, the Disputes Committee must comply with the principles of natural justice.

Explanation: Section 50 of the Associations Incorporation Act 1991, which is available online, provides that the rules of natural justice must be complied with in any process of this kind.

(5) The Disputes Committee must consists of not less than 3 and not more than 5 individuals.

(6) In appointing the members of the Disputes Committee, the PRC must ensure that:

(i) one member of the Disputes Committee is not a member of the Association at the time of the appointment;

(ii) other than the member appointed under Section 34(6)(i), all other members of the Disputes Committee must be members of the Association at the time of the appointment; and

(iii) no member of the Disputes Committee is a member of the PRC at the time of the appointment.

Explanation: the requirements in Section 34(6) are intended to bolster the independence of the Disputes Committee. The appointment under Section 34(6)(i) might be, for example, an appointment of an ANU lecturer, an undergraduate student, a lawyer, or a graduated past president of PARSA, or anyone else not a member of PARSA at the time of their appointment.

(7) For the avoidance of doubt, no serving member of the PRC may serve on the Disputes Committee at any time.

(8) The Disputes Committee has the power to view any document in the possession of the Association relevant to the performance of the Committee’s functions.

(9) The Disputes Committee must appoint one member of their Committee to act as chair.

(10) Decisions of the Disputes Committee must be by majority vote.

(11) In addition to their vote as a member of the Committee, the chair of the Committee has a casting vote in the case of a tied vote on a motion before the Committee.

(12) A member of the Disputes Committee hold office until:

(a) (in the case of a member of the Committee who was a member of the Association when appointed to the Committee under Section 34(6)(i)) the member is no longer a member of the Association;
(b) (in the case of a member of the Committee who was not a member of the Association when appointed to the Committee under Section 34(6)(i)) the member becomes a member of the Association;
(c) The member resigns, in writing, to the Administrator of the Association;
(d) The member is removed by a resolution carried by a two-thirds majority of those present and voting at a general meeting of the Association;
(e) The member became a member of the PRC; or
(f) A new Disputes Committee is appointed by the PRC in accordance with subsection 34(2)

35 Discipline of Member of the Association

(1) Except for those provisions of this Constitution relating to the disciplinary processes for members of the PRC as members of the PRC, this Constitution makes no provision for the disciplining of members of the Association.

36 Transitional Provisions

(1) This Constitution supersedes and revokes the previous constitution of the Association.
## ANU Council and Council Committee Skills Matrix

<table>
<thead>
<tr>
<th>Membership category</th>
<th>Council member</th>
<th>Mandatory</th>
<th>Desirable (skills, experience or qualifications)</th>
<th>Other qualities</th>
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<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td></td>
<td>Ms Alyssa Shaw</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td></td>
<td>Ms Eleanor Kay</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
<td>✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>Membership category</td>
<td>Council committee members</td>
<td>Mandatory</td>
<td>Desirable (skills, experience or qualifications)</td>
<td>Other qualities</td>
</tr>
<tr>
<td>---------------------</td>
<td>---------------------------</td>
<td>-----------</td>
<td>-----------------------------------------------</td>
<td>-----------------</td>
</tr>
<tr>
<td>Council Committee</td>
<td>Mr Geoff Knuckey</td>
<td>✓</td>
<td>✓</td>
<td>M ACT 8</td>
</tr>
<tr>
<td></td>
<td>Mr Jeremy Chandler</td>
<td>✓</td>
<td>✓</td>
<td>M ACT 4</td>
</tr>
<tr>
<td></td>
<td>Mr Mark Ridley</td>
<td>✓</td>
<td>✓</td>
<td>M ACT 6.2</td>
</tr>
<tr>
<td></td>
<td>Ms Janine McMinn</td>
<td>✓</td>
<td>✓</td>
<td>F ACT 4</td>
</tr>
<tr>
<td></td>
<td>Mr Darren Keogh</td>
<td>✓</td>
<td>✓</td>
<td>M NSW 4</td>
</tr>
<tr>
<td></td>
<td>Ms Merran Kelsall</td>
<td>✓</td>
<td>✓</td>
<td>F VIC 2</td>
</tr>
<tr>
<td></td>
<td>Mr Tony McGrath</td>
<td>✓</td>
<td>✓</td>
<td>M NSW 2</td>
</tr>
<tr>
<td></td>
<td>Professor Sue Holliday</td>
<td>✓</td>
<td>✓</td>
<td>F NSW 3</td>
</tr>
<tr>
<td></td>
<td>Mr Jure Domazet</td>
<td>✓</td>
<td>✓</td>
<td>M ACT 1.75</td>
</tr>
<tr>
<td></td>
<td>Ms Robin Hughes AO</td>
<td>✓</td>
<td>✓</td>
<td>F NSW 3</td>
</tr>
<tr>
<td></td>
<td>Ms Julie Steiner</td>
<td>✓</td>
<td>✓</td>
<td>F NSW 6</td>
</tr>
<tr>
<td></td>
<td>Professor Chennupati Jagadish AC</td>
<td>✓</td>
<td>✓</td>
<td>M ACT 2</td>
</tr>
<tr>
<td></td>
<td>Professor Ann McGrath</td>
<td>✓</td>
<td>✓</td>
<td>F ACT 2</td>
</tr>
</tbody>
</table>
## 2017 ANU FINANCE COMMITTEE SELF ASSESSMENT SURVEY

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. FINANCE COMMITTEE CHARTER</strong></td>
<td></td>
</tr>
<tr>
<td>The Charter articulates the Committee’s responsibilities and provides the Committee with the necessary authority to fulfil them.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Charter facilitates and supports the effective operation of the Committee.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee adequately addressed all of its responsibilities as detailed in its Charter during the past 12 months.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td><strong>2. SKILLS AND EXPERIENCE</strong></td>
<td></td>
</tr>
<tr>
<td>The mix of skills on the Committee allows it to effectively perform its assigned responsibilities.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee has been able to analyse and critically evaluate information presented to it by management.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee has access to appropriate internal and / or external expertise to assist with thorough examination of key issues brought before it.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee has shown an openness to new ideas and different views in its deliberations.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee has been sufficiently probing and challenging in its deliberations.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee works in a collegial and cooperative manner to ensure it fulfils its duties.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td><strong>3. UNDERSTANDING OF THE ANU</strong></td>
<td></td>
</tr>
<tr>
<td>New members of the Committee are provided with sufficient information about the University and the higher education sector as part of their induction program.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee is well informed about financial, budgetary, investment and commercial issues affecting the University.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td><strong>4. MEETING ADMINISTRATION CONDUCT</strong></td>
<td></td>
</tr>
<tr>
<td>The Committee meets on a sufficiently regular basis to enable it to properly discharge its duties.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Chair is actively involved in setting key agenda items for Committee consideration and approving the agenda for upcoming meetings.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>Agenda papers are issued in a timely manner to enable members to adequately prepare for the meeting.</td>
<td>Agree</td>
</tr>
<tr>
<td>Committee members are given the opportunity to be briefed prior to meetings and these briefings are useful.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee agenda and supporting papers are of sufficient clarity and quality to make informed decisions.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Committee meetings are productive and well run, allowing sufficient time for members to discuss key agenda items.</td>
<td>Strongly Agree</td>
</tr>
</tbody>
</table>
### Questions

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Committee minutes achieve the right balance between fulfilling record keeping obligations, disclosure requirements and ensuring Committee decisions/outcomes are clear and actionable.</td>
<td>Strongly Agree</td>
</tr>
<tr>
<td>The Corporate Governance &amp; Risk Office is responsive and provides adequate service delivery to support the Committee.</td>
<td>Strongly Agree</td>
</tr>
</tbody>
</table>

### 5. VICE-CHANCELLOR / COUNCIL COMMUNICATIONS

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>RESPONSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Committee has adequate oversight on its key deliberations and recommendations that are being tabled to Council.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee receives timely feedback on Council’s adoption of its recommendations and other relevant resolutions.</td>
<td>Agree</td>
</tr>
</tbody>
</table>

### 6. PERFORMANCE MONITORING

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>RESPONSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Committee is provided with sufficient information to enable it to obtain assurance that the University is complying with the financial, investment and commercial management aspects of the University’s statutory and regulatory obligations.</td>
<td>Agree</td>
</tr>
<tr>
<td>There is sufficient detail in the financial reports to enable the Committee to discharge its responsibilities effectively.</td>
<td>Strongly Agree</td>
</tr>
</tbody>
</table>

### 7. EFFECTIVE CONSIDERATIONS

In which of the areas outlined below has the Committee added value to the ANU?

<table>
<thead>
<tr>
<th>Responses</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Financial Performance Management</td>
<td>83%</td>
</tr>
<tr>
<td>Policies and Practices</td>
<td>50%</td>
</tr>
<tr>
<td>Legislative and Policy Compliance</td>
<td>17%</td>
</tr>
<tr>
<td>External Accountability</td>
<td>50%</td>
</tr>
<tr>
<td>Investment Management</td>
<td>100%</td>
</tr>
<tr>
<td>Monitoring of Commercial Activities</td>
<td>83%</td>
</tr>
</tbody>
</table>
### 8. GENERAL

<table>
<thead>
<tr>
<th>I believe the strengths of the Committee are:</th>
<th>I believe the weaknesses of the Committee are:</th>
<th>Are there issues that the Committee should focus on in respect of financial management and monitoring during the coming year?</th>
</tr>
</thead>
</table>
| • Strong collegiality, formed on a basis of solid information.  
  • Probing questions in a constructive way  
  • Different skills enabling a very broad set of comments/questions  
  • Its membership and capacity to discuss the full range of financial issues impacting the university  
  • Skills, experience and contribution of the members quality of information in committee papers excellent working relationship between the committee and management.  
  • Strong knowledge base, largely engaged group  
  • Diverse group of skills that work positively together | • Needs to have a better sense of the micro- or low level finances and the disconnect between ability to forecast liabilities (salaries)  
  • An occasional misallocation of time - sometimes, discussion on important issues is truncated  
  • No obvious weaknesses  
  • Virtual attendance has not proved entirely successful - OK with singles - but multiples have not been good meetings | • Professional staff expenditure profiles between Colleges and Service Divisions  
  • Key project delivery  
  • Broadening revenue base to decrease risk of international student, especially China exposure  
  • Implementation of more sophisticated budgeting and financial reporting  
  • Operational efficiency (are there ways, probably within those central functions) and long range financial planning (3-5 years out)  
  • All matters are currently on the agenda - Union Court, impact of government policy changes, financial stability of the organisation  
  • Long term income streams and risks associated  
  • Delivery against plan for union court etc will be a significant item  
  • Update on implications of proposed Government funding arrangements and implications |
<table>
<thead>
<tr>
<th>Question</th>
<th>Responses</th>
</tr>
</thead>
</table>
| Are there improvements that can be made to further strengthen the way the Committee functions? | • I would still like to see some historical metrics reports, not just recurrent budget tracking (e.g. staff levels, grant income, student levels). This is collected together but without historical reference, a simple dash board could be helpful.  
• We could be slightly more strategic in how we plan our forward agendas - to ensure that, over the course of a year, everything is covered. We sometimes spend a disproportionate amount of time of things that are not/less material  
• No obvious matters  
• Improvement on technical for video and call ins. Need to pay more attention to attendance |
| Are there any skillsets that might be needed on the membership in the future? | • This would be easier to discuss with a completed skills matrix - also terms of tenure against skills  
• This would need to be assessed if any current members were to leave the committee  
• A former CFO from sector that understands revenue streams |
| Does the FC need to reconsider how it interacts with Council? If yes, how? | • No, the interaction is healthy  
• I think the reporting is adequate both ways. Is Council comfortable that it is getting adequate support and information from the Committee?  
• Satisfactory to my knowledge |
| Other comments / issues not covered above?                               | N/A                                                                                                                                         |

### 9. OVERALL ASSESSMENT

The Finance Committee is an effective Committee of Council.  

<table>
<thead>
<tr>
<th></th>
<th>Strongly Agree</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

# 2017 ANU ARMC SELF ASSESSMENT SURVEY

<table>
<thead>
<tr>
<th>QUESTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AGGREGATED RESPONSES</strong></td>
</tr>
</tbody>
</table>

## 1. ARMC CHARTER
- The ARMC Charter articulates the Committee's responsibilities and provides the Committee with the necessary authority to fulfil them. **Agree**
- The ARMC Charter facilitates and supports the effective operation of the Committee. **Strongly agree**
- The Committee adequately addressed all of its responsibilities as detailed in its Charter during the past 12 months. **Agree**

## 2. SKILLS AND EXPERIENCE
- The mix of skills on the Committee allows it to effectively perform its assigned responsibilities. **Strongly agree**
- The Committee has been able to analyse and critically evaluate information presented to it by management. **Strongly agree**
- The Committee has responded appropriately or taken the required action where significant risks and/or control breakdowns have been brought to its attention. **Agree**
- The Committee has access to appropriate internal and/or external resources to assist it to thoroughly examine key issues brought before it. **Agree**
- The Committee has shown an openness to new ideas and different views in its deliberations. **Agree**
- The Committee has been sufficiently probing and challenging in its deliberations. **Agree**
- The Committee works in a collegial and cooperative manner to ensure it fulfils their duties. **Strongly agree**
- The Committee receives appropriate training/briefings on existing and emerging risks, and developments in the areas of auditing and accounting standards, financial reporting and the environment in which the ANU operates. **Agree**

## 3. UNDERSTANDING OF THE ANU
- The Committee has sufficient understanding and appreciation of the University's: **Agree**
  - Entity-wide Risk Management Framework
  - Internal controls to mitigate significant risks
  - Financial and statutory reporting requirements **Strongly agree**
  - Legislative and policy arrangements **Agree**
  - Fraud control environment **Agree**

## 4. MEETING ADMINISTRATION CONDUCT
- The Committee meets on a sufficiently regular basis to enable it to properly discharge its duties. **Strongly agree**
- The Chair is actively involved in setting key agenda items for Committee consideration and approving the agenda for upcoming meetings. **Agree**
- Agenda papers are issued in a timely manner to enable Members to adequately prepare for the meeting. **Strongly agree**
- Committee members are given the opportunity to be briefed prior to meetings and these briefings are useful. **Neither agree or disagree**
### QUESTIONS

<table>
<thead>
<tr>
<th>Question</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Committee agenda and supporting papers are of sufficient clarity and quality to make informed decisions.</td>
<td>Strongly agree</td>
</tr>
<tr>
<td>The Committee meetings are productive and well run, allowing sufficient time for members to discuss key agenda items.</td>
<td>Strongly agree</td>
</tr>
<tr>
<td>Committee minutes achieve the right balance between fulfilling record keeping obligations, disclosure requirements and ensuring Committee decisions and outcomes are clear and actionable.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee has sufficient engagement with key University stakeholders as appropriate.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Corporate Governance &amp; Risk Office is responsive and provides adequate service delivery to support the Committee.</td>
<td>Strongly agree</td>
</tr>
</tbody>
</table>

### 5. VICE-CHANCELLOR / COUNCIL COMMUNICATIONS

<table>
<thead>
<tr>
<th>Question</th>
<th>AGGREGATED RESPONSES</th>
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</thead>
<tbody>
<tr>
<td>The Committee has adequate oversight on its key deliberations and recommendations that are being tabled to Council.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee receives timely feedback on Council’s adoption of its recommendations and other relevant resolutions.</td>
<td>Agree</td>
</tr>
</tbody>
</table>

### 6. MANAGEMENT INPUT

Information presented by management (nature, clarity, quality and timeliness) meets the Committee's expectations in respect of:

- (a) Risk identification and assessment, including the internal control process to identify and mitigate entity wide risks.  
  | AGGREGATED RESPONSES |
  | Agree |
- (b) Arrangements established by management to ensure compliance with regulations and internal policies.  
  | AGGREGATED RESPONSES |
  | Agree |
- (c) Financial reporting processes and the University's compliance with statutory and regulatory obligations.  
  | AGGREGATED RESPONSES |
  | Agree |
- (d) Performance reporting processes, including the framework for developing and reporting key performance indicators.  
  | AGGREGATED RESPONSES |
  | Neither agree nor disagree |

### 7. INTERNAL AUDIT

<table>
<thead>
<tr>
<th>Question</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Committee appropriately reviewed and approved the annual internal audit plan.</td>
<td>Strongly agree</td>
</tr>
<tr>
<td>The Committee appropriately reviewed and approved any significant changes to the annual internal audit plan.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee appropriately considered the performance of internal audit.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee addressed its responsibilities in respect of its review and consideration of internal audit reports and identified issues.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee reviews the Internal Audit Charter to ensure that appropriate structures, authority, access and reporting arrangements are in place.</td>
<td>Agree</td>
</tr>
</tbody>
</table>
## 8. EXTERNAL AUDIT

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Committee appropriately considered and understood the ANAO audit strategy.</td>
<td>Strongly agree</td>
</tr>
<tr>
<td>The Committee reviewed external audit reports and management letters and considered management responses to findings and recommendations.</td>
<td>Strongly agree</td>
</tr>
<tr>
<td>The Committee provided input and feedback on external audit coverage and performance.</td>
<td>Agree</td>
</tr>
</tbody>
</table>

## 9. RISK MANAGEMENT

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>AGGREGATED RESPONSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>The objectives of risk management are well understood by the Committee.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee has sufficient understanding and appreciation of the University's Entity-wide Risk Management Framework.</td>
<td>Agree</td>
</tr>
<tr>
<td>The Committee is adequately informed about the work in train to align risk management with the University's strategic objectives, through the development of risk appetite statements.</td>
<td>Agree</td>
</tr>
</tbody>
</table>

## 10. EFFECTIVE CONSIDERATIONS

In which of the areas outlined below has the Committee added value to the ANU?

<table>
<thead>
<tr>
<th>Answer</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Policies and practices</td>
<td>60%</td>
</tr>
<tr>
<td>Risk Management</td>
<td>100%</td>
</tr>
<tr>
<td>Control environment</td>
<td>100%</td>
</tr>
<tr>
<td>Legislative and policy compliance</td>
<td>40%</td>
</tr>
<tr>
<td>External accountability</td>
<td>60%</td>
</tr>
</tbody>
</table>
## 11. GENERAL

### I believe the strengths of the ARMC are:
- Experience
- The people around the table and its broad remit
- Skills and experience and quality of input from members
- Quality of papers and support from CGRO
- The range of skills and expertise it brings to bear
- Agenda and meetings are well structured and executed
- The tone of the meetings seems to fit the ANU environment

### I believe the weaknesses of the ARMC are:
- Not all members are able to attend
- The amount of time available - which limits the extent of risks assessed
- Financial risk management: role of the Finance Committee is significant, and ARMC is only broadly advised on this. Perhaps needs to be formally delineated

### Are there issues that the Committee should focus on in respect of audit and risk management during the coming year?
- Improving risk management in the university
- The amount of time available - which limits the extent of risks assessed
- Risk management and performance reporting - these have been already identified.
- Performance management and reporting requirements
- Performance reporting, risk management progress updates

### Are there improvements that can be made to further strengthen the way the Committee functions?
- The "open" discussion could be longer before the formal meeting. Could also have ad hoc conference calls - rather than formal meetings, to increase bandwidth on the specific issues - eg specific reports could be addressed as prepared, not just at meetings
- Important to ensure matters discussed/decided at Finance committee are adequately communicated to audit committee members. (Finance committee is a key decision making committee and touches on many areas of the university operations.)
- Continue to make more clear the interactions between ARMC, Finance Committee and Council

### Are there any skillsets that might be needed on the membership in the future?
- Skills will need to be re-assessed if any of current members were to leave the committee.
- Will need to consider succession

### Does the ARMC need to reconsider how it interacts with Council? If yes, how?
- See above. This has become more clear but can be enhanced over time

### Other comments / issues not covered above?

## 12. OVERALL ASSESSMENT

The ARMC is an effective Committee of Council. | Strongly agree
Grants and Consultancies
Awarded between 01 September 2017 and 07 November 2017

College of Arts and Social Science ................................................................. 2
College of Asia and the Pacific ................................................................. 3
College of Engineering and Computer Science ........................................ 4
College of Health and Medicine .............................................................. 6
College of Science .................................................................................. 8
Joint Colleges of Science ......................................................................... 10
Other Non-College Academic ................................................................. 10

Caveats:
1. The amount shown reflects the funds that were awarded for the entire grant/consultancy, grouped against the primary funds provider.
2. Although many grants/consultancies are collaborative efforts involving more than one area of the ANU, they are reported under the college of the primary department.
3. All amounts reported are in Australian dollars.
4. In a few cases the amount reported is nil. This can be for a variety of reasons, such as the contract is still under negotiation, or that the project is a non-monetary agreement.
<table>
<thead>
<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>St Vincent’s Hospital</td>
<td>Prof Diana Slade</td>
<td>Better Bedside Nursing Handovers Translational Research Pilot at St Vincent’s Hospital, Sydney</td>
<td>$75,000</td>
</tr>
<tr>
<td>Australia Council</td>
<td>Dr Richard Whiteley</td>
<td>Solo Exhibition at the Shanghai Museum of Glass, China</td>
<td></td>
</tr>
<tr>
<td>Australian Research Council</td>
<td>A/Prof David Hansen</td>
<td>Facing New Worlds: Comparative Histories of Australasia and North America</td>
<td></td>
</tr>
<tr>
<td>Universities Australia</td>
<td>Ms Christina Rose</td>
<td>Australia - Singapore Internships Collaboration</td>
<td>$20,000</td>
</tr>
<tr>
<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
</tr>
<tr>
<td>------------------------------------------------------------</td>
<td>--------------------------</td>
<td>------------------------------------------------------------</td>
<td>----------------------</td>
</tr>
<tr>
<td>Academy of the Social Sciences in Australia</td>
<td>Dr Sharon Friel</td>
<td>Regulating Consumptogenic Systems</td>
<td></td>
</tr>
<tr>
<td>Australian Research Council (ARC)</td>
<td>Dr Jane Ferguson</td>
<td>Heritage of the Air: how aviation transformed Australia</td>
<td></td>
</tr>
<tr>
<td>Carnegie Corporation of New York</td>
<td>Prof Rory Medcalf</td>
<td>New Technologies and Nuclear Risk</td>
<td>$566,091</td>
</tr>
<tr>
<td>Commonwealth Department of Foreign Affairs and Trade (DFAT)</td>
<td>Mr James Batley</td>
<td>Pacific Literacy Course</td>
<td></td>
</tr>
<tr>
<td>Commonwealth Department of Foreign Affairs and Trade (DFAT)</td>
<td>Prof Rory Medcalf</td>
<td>Australia-Israel 1.5 Track Cyber Dialogue 2017</td>
<td></td>
</tr>
<tr>
<td>Embassy of the Kingdom of the Netherlands</td>
<td>Dr Haroro Ingram</td>
<td>Assessing and Advancing Civil Society CVE Efforts in Southeast Asia</td>
<td>$220,873</td>
</tr>
<tr>
<td>National Australia Bank</td>
<td>Prof Peter Drysdale</td>
<td>South Korean Research Economy</td>
<td></td>
</tr>
<tr>
<td>Utrecht University</td>
<td>Dr Amanda Smullen</td>
<td>Calibrating Public Sector Accountability – an international survey</td>
<td>$7,434</td>
</tr>
<tr>
<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
</tr>
<tr>
<td>--------------------------------------------</td>
<td>---------------------------------</td>
<td>----------------------------------------------------------------------</td>
<td>----------------------</td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Daniel MacDonald</td>
<td>Driving increased efficiency and reliability in silicon photovoltaics - from ingots to modules</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Daniel MacDonald</td>
<td>Improving World-Record Commercial High-Efficiency n-type Solar Cells through Recombination</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Daniel MacDonald</td>
<td>Development of innovative cast-mono silicon using new hydrogen trapping techniques</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Hieu Nguyen</td>
<td>Multiscale and depth resolved spectral photoluminescence for characterising silicon solar cells</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Kylie Catchpole</td>
<td>Development of stable electrodes for perovskite solar cells</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Kylie Catchpole</td>
<td>Monolithic Perovskite-Silicon Tandem Cells: Towards Commercial Reality</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Dr Marco Ernst</td>
<td>Metallised Encapsulant for Silicon PV Modules: A Path to Reduced LCOE for PV</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Mr Kean Fong</td>
<td>Next Generation Industrial Bifacial Silicon Solar Cells</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Mr The Duong</td>
<td>Development of Practical, High-efficiency Perovskite-Silicon tandem modules</td>
<td></td>
</tr>
<tr>
<td>Organization</td>
<td>Name</td>
<td>Title</td>
<td></td>
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</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Mr Yimao Wan</td>
<td>Dopant-Free Silicon Solar Cells: Rational Design of Transition Metal Oxide Heterocontacts</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Mr Yimao Wan</td>
<td>Advanced high-efficiency silicon solar cells employing innovative atomic scale engineered surface and contact passivation layers</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Prof Andres Cuevas</td>
<td>Advanced Silicon Solar Cells by DESIJN: a novel technology based on deposited silicon junctions</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Prof Andres Cuevas</td>
<td>Increasing efficiency of silicon solar cells by development of PECVD-based metal oxides for novel passivated contact solar cells.</td>
<td></td>
</tr>
<tr>
<td>Australian Renewable Energy Agency (ARENA)</td>
<td>Prof Andrew Blakers</td>
<td>Tandem PV Micro concentrator</td>
<td></td>
</tr>
<tr>
<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
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<tr>
<td>------------------------------------------------------------</td>
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</tr>
<tr>
<td>Australian Genomics Health Alliance</td>
<td>Prof Simon Easteal</td>
<td>Characterising structural variation within Indigenous Australian genomes</td>
<td>$30,000</td>
</tr>
<tr>
<td>Australian Orthopaedic Association Research Foundation</td>
<td>Prof Paul Smith</td>
<td>Surface modifications to prevent infection and promote osseointegration of orthopaedic implants</td>
<td>$45,240</td>
</tr>
<tr>
<td>Commonwealth Department of Health</td>
<td>A/Prof Martyn Kirk</td>
<td>Per- and poly-fluoroalkyl substances (PFAS) Epidemiological Study - Phase II</td>
<td></td>
</tr>
<tr>
<td>CRC for Developing Northern Australia</td>
<td>Dr David Tscharke</td>
<td>Strategies to prevent two viruses devaluing Australian crocodile skins</td>
<td></td>
</tr>
<tr>
<td>Gastroenterological Society of Australia</td>
<td>Dr Claire O'Brien</td>
<td>Host-microbe cross-talk in Crohn's disease</td>
<td>$22,727</td>
</tr>
<tr>
<td>GP Synergy</td>
<td>Prof Kirsty Douglas</td>
<td>Academic Registrar - Melinda Choy</td>
<td></td>
</tr>
<tr>
<td>GP Synergy</td>
<td>Prof Kirsty Douglas</td>
<td>Academic Registrar - Lewis Ryan</td>
<td></td>
</tr>
<tr>
<td>Ian Potter Foundation</td>
<td>Ms Kerri Viney</td>
<td>Ian Potter Foundation 2017 Travel Grant Round 2 - Kerri Viney</td>
<td>$2,000</td>
</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Anselm Enders</td>
<td>Modeling human Actin Related Protein 2/3 Complex subunit 1B (ARPC1B) deficiency in mice</td>
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<tr>
<td>Organization</td>
<td>Name</td>
<td>Project Title</td>
<td>Funding Amount</td>
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<tr>
<td>-------------------------------------------------</td>
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<td>-------------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Elizabeth Gardiner</td>
<td>Platelet glycoprotein proteolysis: novel mechanisms and risk factors</td>
<td></td>
</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Elizabeth Gardiner</td>
<td>Pathobiology that causes fatal thrombosis in HIT</td>
<td></td>
</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Dr Nathalie Dehorter</td>
<td>Molecular Control of the Interneuron Development and Function in Health and Disease.</td>
<td></td>
</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Prof Simon Easteal</td>
<td>An Indigenous Australian reference genome: Indigenous inclusion in the benefits of genomic medicine</td>
<td></td>
</tr>
<tr>
<td>National Health and Medical Research Council (NHMRC)</td>
<td>Prof Thomas Preiss</td>
<td>Mechanisms and Patterns of Post-Transcriptional Gene Control</td>
<td>$707,370</td>
</tr>
<tr>
<td>Retina Australia</td>
<td>Dr Riccardo Natoli</td>
<td>Identifying key modulators of inflammation as therapeutic targets for retinal degenerations</td>
<td>$39,951</td>
</tr>
<tr>
<td>Sylvia &amp; Charles Viertel Charitable Foundation</td>
<td>A/Prof Di Yu</td>
<td>Differentiation and function of a novel cytotoxic T-cell subset: follicular cytotoxic T cells</td>
<td></td>
</tr>
<tr>
<td>Universities Australia</td>
<td>Prof Michael Kyriios</td>
<td>Refining the measurement and diagnostic criteria of pathological buying</td>
<td></td>
</tr>
<tr>
<td>Primary Funds Provider</td>
<td>Primary Investigator</td>
<td>Title</td>
<td>Total Amount Awarded</td>
</tr>
<tr>
<td>------------------------------------------------------------</td>
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</tr>
<tr>
<td>ACT Environment, Planning and Sustainable Development Directorate</td>
<td>Prof Adrian Manning</td>
<td>Year three monitoring and research on the ecology of the Superb Parrot within the Gunghalin and Molongolo Strategic Assessment Areas</td>
<td>$46,120</td>
</tr>
<tr>
<td>ANU Connect Ventures Pty Ltd</td>
<td>Dr Marcus Doherty</td>
<td>A World First Desktop Quantum Computer (DTF244)</td>
<td></td>
</tr>
<tr>
<td>Australian Orchid Foundation</td>
<td>Mr Arild Arifin</td>
<td>Evolutionary shifts in host associations of mycorrhizal fungi in Australian orchids: Tulasnella fungi in Drakaeinae orchids</td>
<td>$10,000</td>
</tr>
<tr>
<td>Australian Orchid Foundation</td>
<td>Mr Tobias Hayashi</td>
<td>The pollination ecology of Australian Pterostylis (Orchidaceae)</td>
<td>$22,500</td>
</tr>
<tr>
<td>Australian Orchid Foundation</td>
<td>Ms Fitria Oktalira</td>
<td>Serendipita diversity in Australia: Biogeography and specificity of Serendipita across the Australian continent</td>
<td>$7,500</td>
</tr>
<tr>
<td>Australian Synchrotron</td>
<td>Prof John Mavrogenes</td>
<td>XANES investigation of the redox state of iron in bononite melt inclusions</td>
<td>$1,564</td>
</tr>
<tr>
<td>Defence Materials Technology Centre Ltd (DMTC)</td>
<td>Dr Robert Sharp</td>
<td>High Altitude Sensor Systems (HASS)</td>
<td></td>
</tr>
<tr>
<td>Jana Robeyst Trust Fund</td>
<td>Ms Georgia Troup</td>
<td>The Nutritional and Socio-ecology of Crop-raiding elephants in Tsavo, Kenya</td>
<td></td>
</tr>
<tr>
<td>Oatley Flora &amp; Fauna Conservation Society</td>
<td>Mr Ross Crates</td>
<td>Enhancing the nesting success of wild regent honeyeaters: an experimental approach</td>
<td>$3,000</td>
</tr>
<tr>
<td>The Australian Water Partnership (AWP)</td>
<td>A/Prof James Pittock</td>
<td>AYEYARWADY State of the Basin Assessment (SOBA)</td>
<td>$6,531</td>
</tr>
<tr>
<td>Universities Australia</td>
<td>Dr Andrey Sukhorukov</td>
<td>Broadband quantum optical spectroscopy with nonlinear integrated circuits</td>
<td></td>
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</tr>
<tr>
<td>University of New South Wales (UNSW)</td>
<td>Prof Anna Moore</td>
<td>AITC-TS-17-02_RAAF M1 Testing Services Agreement</td>
<td></td>
</tr>
<tr>
<td>University of Sydney</td>
<td>Dr Robert Sharp</td>
<td>PRAXIS: Detector System for Collaborative Research</td>
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<thead>
<tr>
<th>University</th>
<th>Professor/Researcher</th>
<th>Project Description</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Universities Australia</td>
<td>Dr Andrey Sukhorukov</td>
<td>Broadband quantum optical spectroscopy with nonlinear integrated circuits</td>
<td></td>
</tr>
<tr>
<td>University of New South Wales (UNSW)</td>
<td>Prof Anna Moore</td>
<td>AITC-TS-17-02_RAAF M1 Testing Services Agreement</td>
<td>$45,000</td>
</tr>
<tr>
<td>University of Sydney</td>
<td>Dr Robert Sharp</td>
<td>PRAXIS: Detector System for Collaborative Research</td>
<td>$50,000</td>
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</tbody>
</table>
## Joint Colleges of Science

<table>
<thead>
<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Embassy of the United States of America</td>
<td>Elizabeth Williams</td>
<td>24th Canberra International Physics Summer School 2018 (CIPSS18)</td>
<td>$12,580</td>
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</tbody>
</table>

## Other Non-College Academic

<table>
<thead>
<tr>
<th>Primary Funds Provider</th>
<th>Primary Investigator</th>
<th>Title</th>
<th>Total Amount Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Institute for Aboriginal and Torres Strait Islander Health Research (Lowitja Institute)</td>
<td>Prof Mick Dodson</td>
<td>Discourse, Data and Deficit- Deconstructing the ‘Indigenous Health’ paradigm and its effects on Aboriginal and Torres Strait Islander peoples</td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>Venue</td>
<td>Host area</td>
<td>Description of event</td>
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</tbody>
</table>
| 7 September| Parliament House               | Strategic Communications and Public Affairs (SCAPA) | **ANU MP Briefing delivered by Government Relations on Korean Peninsula.**  
- Mr Julian Leeser MP (Liberal)  
- Mr Matt Thistlethwaite MP (Labor)  
- Ms Cathy O’Toole MP (Labor)  
- Ms Pip Englund, Communications and Strategy Adviser, Office of Julian Leeser  
- Mr Dan Mumford, Media and Policy Adviser, Office of Julian Leeser  
- Ms Nicole Kaiyan, Adviser, Office of Sen Claire Moore.  
- Adviser, Office of Ms Cathy O’Toole.  
- Adviser, Office of Sen the Hon Penny Wong. |
| 7 September| Crawford School of Public Policy | Young Australians in International Affairs     | **Future 21 – Young Australians in International Affairs Annual conference.**  
Attended by:  
- Mr Richard Maude, Head, Department of Foreign Affairs and Trade, Central Office, Foreign Policy White Paper Taskforce  
- Her Excellency Ms Menna Rawlings CMG, High Commissioner for British High Commission in Australia  
- Ms Michelle Chan, Office of National Assessment and former Ambassador to Myanmar  
- Mr Paul Myler, Assistant Secretary DFAT and former Ambassador to Russia, Kazakhstan and Central Asia |
| 7 September| Chancery Building              | Australian National Internships Program (ANIP) | **ANIP-DFAT collaborative project discussions**  
Attended by:  
- His Excellency Mr Jairo Hernandez, Ambassador Embassy of Costa Rica  
- Her Excellency Mrs Clemencia Forero-Ucros, Ambassador Embassy of the Republic of Colombia  
- Mr Daniel Gasparri-Rey, Chargé d’Affaires, Embassy of the Bolivarian Republic of Venezuela  
- His Excellency Mr Ricardo Varela, Ambassador Embassy of Uruguay  
- Mr Eduardo Martinez Curiel, Deputy Head of Mission, Embassy of Mexico  
- His Excellency Mr Manuel Innocencio De Lacerda Santos Jr, Embassy of Brazil  
- His Excellency Mr Miguel Julian Palomino De La Gala, Embassy of Peru  
- His Excellency Dr Hugo Javier Gobbi Embassage of Argentina  
- Mr Carlos Moran, Charge d’Affaires, Embassy of Chile |
| 11 September| Hedley Bull                    | Culture, History and Language                 | **Re-claiming the Ocean: Climate Change in the Pacific lecture.**                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 12 September| Copland Lecture Theatre        | Strategic Communications and Public Affairs    | **Meet the Author event with Julian Burnside and Simon Rice in conversation.**  
350 people attended.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Event</th>
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<tbody>
<tr>
<td>13 September</td>
<td>National Security College</td>
<td>1.5 Track with France with the French Delegation. Delegation included:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Xavier Pasco, Director</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Bruno Tertrais, Deputy Director</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Valérie Niquet, Senior Research Fellow</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Isabelle Lasserre, Diplomatic Correspondent, Le Figaro</td>
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<tr>
<td></td>
<td></td>
<td>Government of the French Republic attendees:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Jean-Baptiste Jeangene-Vilmer, Director, IRSEM, MoD</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Justin Vaïsse, Director, CAPS, MoFA</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Frédéric Grare, Program Officer, CAPS, MoFA</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Christian Lechervy, Ambassador for the South Pacific, MoFA</td>
</tr>
<tr>
<td>13 September</td>
<td>Australian Parliament House</td>
<td>The Vice-Chancellor attended the Australian National Internships Program reception for ANIP interns. Attendees:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Professor Richard Baker, ANU Pro Vice-Chancellor (University Experience)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Senator The Hon Stephen Parry, President of the Senate</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o The Hon, Mr Tony Smith MP, Speaker of the House of Representatives</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Sen Lisa Singh MP (Labor)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Ms Nicole Kaiyan, Office of Sen Claire Moore (Labor)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Mr Michael Cornish, Office of Ms Rebekah Starkie (NXT)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Adviser, Office of Jane Prentice (Liberal)</td>
</tr>
<tr>
<td>17 September</td>
<td>Llewellyn Hall</td>
<td>The Vice-Chancellor delivered speech at the Prize Winners Ceremony.</td>
</tr>
<tr>
<td>18 September</td>
<td>China in the World</td>
<td>The Vice-Chancellor delivered a speech on the Philosophy of Teaching and Learning.</td>
</tr>
<tr>
<td>18 September</td>
<td>Brian Kenyon Student Space</td>
<td>The Vice-Chancellor hosted Pizza with Brian with undergraduate students. 50 students attended.</td>
</tr>
<tr>
<td>19 September</td>
<td>SA5 – Bruce Hall</td>
<td>Sol Invictus challenge car launched by the Vice-Chancellor.</td>
</tr>
<tr>
<td>19 September</td>
<td>China in the World Building</td>
<td>Meet the Author event with Benjamin Law and Mary Lou Rasmussen. 200 people attended.</td>
</tr>
<tr>
<td>20 September</td>
<td>Nye Hughes Room, Centre for European Studies</td>
<td>Lecture on Exhibiting biskra: art, photography and tourism in an Algerian oasis.</td>
</tr>
<tr>
<td>20 September</td>
<td>Global University Summit</td>
<td>The Vice-Chancellor spoke on panel for Advancing Engagement Practice and Culture.</td>
</tr>
<tr>
<td>20 September</td>
<td>Sir Roland Wilson Building</td>
<td>Meet the Author event with Stuart Keils and Colin Steele in conversation. 100 people attended.</td>
</tr>
<tr>
<td>21 September</td>
<td>Crawford School of Public Policy</td>
<td>The Vice-Chancellor attended the Symposium on Measuring Research Impacts. 50 people attended including:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>o Mr Michael Shoebridge, Contestability Strategy Group, Department of Defence</td>
</tr>
<tr>
<td>Date</td>
<td>Location</td>
<td>Organizing Body</td>
</tr>
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</tr>
<tr>
<td>21 September</td>
<td>Finkel Theatre, The John Curtin School of Medical Research</td>
<td>Strategic Communications and Public Affairs</td>
</tr>
<tr>
<td>22 September</td>
<td>Melbourne</td>
<td>University of Melbourne</td>
</tr>
<tr>
<td>22 September</td>
<td>Molonglo Theatre, Crawford School of Public Policy</td>
<td>ANU Korea Institute</td>
</tr>
<tr>
<td>25 September</td>
<td>Int Astronautical Congress 2017</td>
<td>Innovation ANU/AITC</td>
</tr>
<tr>
<td>27 September</td>
<td>National Press Club</td>
<td>Strategic Communications and Public Affairs</td>
</tr>
<tr>
<td>27 September</td>
<td>Questacon</td>
<td>Euroscience</td>
</tr>
</tbody>
</table>
| 28 September | The Tank, Haydon-Allen Building             | Australian National Centre for Latin American Studies (ANCLAS)/Embassy of Ecuador | Public lecture delivered by Dr John Minns on *Chevron vs the Amazon.* Attended by:  
  - His Excellency Mr Juan Rodrigo Salazar Sancisi, Embassy of the Republic of Ecuador |
| 29 September | The Hall, University House                  | National Capital Model of United Nations  | Deputy Vice-Chancellor (Global Engagement) opened National Capital Model of United Nations conference and welcomed students. |
| 29 September | AITC                                        | Innovation ANU/AITC                       | MoU signed by the Vice-Chancellor and Senior DLR (German Space Agency delegation). |
| 4 October   | National Press Club                         | Office of the Vice-Chancellor/Strategic Communications and Public Affairs | National Press Club address delivered by Chancellor and The Hon Bob Hawke. 175 people attended. |
| 4 October   | Centre for European Studies                 | Centre for European Studies               | Acting Vice-Chancellor Professor Margaret Harding attended meeting with German Academic Exchange Service (DAAD) VP and Director. |
| 4 October   | Finkel Theatre, John Curtin School of Medical Research | Woden Lions Club/Strategic Communications and Public Affairs | Pro Vice-Chancellor (University Experience) delivered opening at Lions Oratory Competition for undergraduate ANU students. 80 people attended. |
| 5 October   | Larry Sitsky Rehearsal Room                 | Australian National Centre for Latin American Studies (ANCLAS) | Duo Deconet Concert. Attended by:  
  - His Excellency Mr Armando Alvarez Reina, Embassy of Mexico  
  - His Excellency Mr Manuel Cacho, Embassy of Spain  
  - Mr Daniel David Gasparri Rey, Chargé d’Affaires a.i., Embassy of the Bolivarian Republic of Venezuela |
<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Event Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>11 October</td>
<td>Regional Institutes Boardroom, University House</td>
<td>PNG Briefing for Senator Claire Moore.</td>
</tr>
<tr>
<td>11 October</td>
<td>The Hall, University House</td>
<td>Eat, Drink and Be Literary Series with Chancellor Professor The Hon Gareth Evans and Laura Tingle. 180 people attended dinner.</td>
</tr>
<tr>
<td>12 October</td>
<td>Boat House</td>
<td>Chancellor Professor Gareth Evans was in conversation with Michele Grattan at the Chancellors' meeting dinner event.</td>
</tr>
<tr>
<td>16 October</td>
<td></td>
<td>Vice-Chancellor delivered the speech Engaging with our Asian partners: getting it right at the China Matters 6th National Meeting.</td>
</tr>
</tbody>
</table>
| 17 October | Parliament House                              | ANU MP Briefing delivered by Government Relations on Southeast Asian Security (Indonesia and Thailand).  
|            |                                               | ▪ Mr Tim Wilson MP (Liberal)  
|            |                                               | ▪ Ms Tori, Adviser, Office of Susan Templeman MP (Labor)  
|            |                                               | ▪ Mr Peter Tidswell, Research Assistant, Office of Sen David Fawcett (Liberal)  
|            |                                               | ▪ Mr Don Bundesen, Senior Adviser, Office of Sen Pauline Hanson  
|            |                                               | ▪ Mr Peter Kelly, Adviser, Office of Sen Brian Burston (Pauline Hanson's One Nation) |
| 17 October | Boat House                                    | The Vice-Chancellor attended the Sir Roland Wilson Foundation Secretaries dinner. |
| 18 October | Parliament House                              | ANU MP Briefing delivered by Government Relations on Australia’s strategic interests in APEC.  
|            |                                               | ▪ Mr Peter Tidswell, Research Assistant, Office of Sen David Fawcett (Liberal) |
| 18 October | University House                              | Launch of the Research School of Finance, Actuarial Studies & Statistics Student Managed Fund. |
| 19 October | Finkel Theatre, John Curtin School of Medical Research | ACT Education Minister Meegan Fitzharris delivered opening address at the Research School of Population Health student conference. |
| 19 October | Parliament House                              | ANU MP Briefing delivered by Government Relations on International Climate negotiations – the biggest risks?  
<p>|            |                                               | ▪ Senator Claire Moore (Labor)    |
| 18-20 October | National Centre for Indigenous Studies (NCIS)  | The Vice-Chancellor attended the NCIS Research Colloquium. |
| 24 October | ANU School of Music                           | Launch of the awards and composed music for the 2018 Australian of the Year Awards. |
| 25 October | Hedley Bull Building                          | Major-General Michael Jeffrey (rt’d) launched Guarding the periphery: the Australian Army in Papua New Guinea. |</p>
<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Event</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>26 October</td>
<td>China in the World Building</td>
<td>Annual Order of Australian-ANU Lecture delivered by Professor Chennupati Jagadish.</td>
<td>The Vice-Chancellor attended and delivered opening remarks. 140 people attended.</td>
</tr>
<tr>
<td>27 October</td>
<td>Llewellyn Hall</td>
<td>Meet the Author event with The Hon Kevin Rudd and Stan Grant.</td>
<td>1000 people attended.</td>
</tr>
<tr>
<td>28 October</td>
<td>Common Room, University House</td>
<td>The Vice-Chancellor spoke at the Churchill Fellows Breakfast Gathering.</td>
<td></td>
</tr>
<tr>
<td>30 October</td>
<td>The John Curtin School of Medical Research</td>
<td>The Vice-Chancellor attended the debate on It’s better to win a Nobel Prize than make a life changing research discovery.</td>
<td></td>
</tr>
<tr>
<td>31 October</td>
<td>Sir Roland Wilson Building</td>
<td>University of Melbourne Vice-Chancellor Professor Glyn Davis delivered the annual Emeritus Faculty Lecture on the Future of Australian Universities.</td>
<td>The Vice-Chancellor attended. 120 people attended.</td>
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<tr>
<td>31 October</td>
<td>China in the World Building</td>
<td>Deputy Vice-Chancellor (Research) hosted the ANU Grand Challenges Finalists event.</td>
<td></td>
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</table>
| 1 November | Centre for European Studies      | Roundtable discussion with members of the European Parliament, including: | o H.E. Dr. Michael Pulch, Ambassador of the European Union to Australia  
 o Mr Klaus Buchner MEP, Member of the International Trade Committee, European Parliament  
 o Ms Karoline Graswander-Hainz MEP, Member of the International Trade Committee, European Parliament  
 o Mr Bernd Lange MEP, Chair of the International Trade Committee, European Parliament  
 o Ms Emma McClarkin MEP, Member of the International Trade Committee, European Parliament  
 o Mr Adam Szejnfeld MEP, Member of the International Trade Committee, European Parliament  
 o Mr Hannu Takkula MEP, Member of the International Trade Committee, European Parliament  
 o Mr Ivano Casella, Counsellor, Head of Trade and Economics Section, Delegation of the European Union to Australia |
| 2 November | Sydney                          | The Vice-Chancellor spoke on panel for driving culture change from the top to accelerate gender diversity and inclusion. |                                                                                                                                                                                                               |
| 3 – 4 November | Perth Crown Convention Centre | The Vice-Chancellor attended the Asia-Pacific Regional Conference. Spoke at the Women in Leadership breakfast panel. Met: | o Prime Minister Turnbull  
 o Mr Frank Steinmeier, German President  
 o Minister Cormann  
 o Minister Cash  
 o Airbus executive |
<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Organizing Body</th>
<th>Event Description</th>
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<tbody>
<tr>
<td>7 November</td>
<td>Canberra College</td>
<td>Canberra College</td>
<td>The Vice-Chancellor delivered presentation to Canberra College Science students.</td>
</tr>
<tr>
<td>7 November</td>
<td>China in the World Building</td>
<td>Strategic Communications and Public Affairs</td>
<td>Meet the Author event with Terri Butler MP and Andrew Leigh MP on <em>Labor of Love</em>. 200 people attended.</td>
</tr>
</tbody>
</table>
I, Professor Brian P. Schmidt, Vice-Chancellor, make the following instrument.

Dated 2 November 2017

Professor Brian P. Schmidt AC FAA FRS
Vice-Chancellor
 Contents

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2 Commencement ....................................................................................................... 1
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6 Approval of e-payment method ................................................................................ 1
1 Name

This is the *Australian National University (Parking and Traffic) Instrument 2017*.

2 Commencement

This instrument commences on the commencement of the *Australian National University (Parking and Traffic) Statute 2017*.

3 Authority

This instrument is made under the following provisions of the statute:

(a) section 15 (Approval of e-permit systems);
(b) section 17 (Approval of e-payment methods).

[Note: This instrument is a notifiable instrument under the *Legislation Act 2003* (Cwlth).]

4 Definitions

In this instrument:

*parking systems provider* means CellOPark Pty Ltd.

*PAYG parking system* means the online Pay-As-You-Go (PAYG) parking system developed by the parking systems provider and managed by the parking systems provider on behalf of the University.

*vPermit system* means the online vPermit system developed by the parking systems provider and managed by the University.

*the statute* means the *Australian National University (Parking and Traffic) Statute 2017*.

5 Approval of e-permit systems

For section 15 of the statute, the PAYG parking system and vPermit system are approved for parking permits issued in electronic form.

6 Approval of e-payment method

For section 17 of the statute, payment using the PAYG parking system is approved as a method for paying ticket parking fees.